



UNIVERSITY OF  
BIRMINGHAM

# Charge density waves in high- $T_c$ superconductors

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ECRYS 2017 – 22 August 2017

# Acknowledgements



Alex Holmes

Ted Forgan

Amy Briffa

Martin Long

Stephen Hayden



Johan Chang



Niels Christensen

Jacob Larsen



Markus Hücker



Anke Watenphul

Martin von Zimmermann

Uta Rütt

Olof Gutowski

Laurence Bouchenoire

Simon Brown

Paul Thompson



Wojciech Tabis



Ruixing Liang

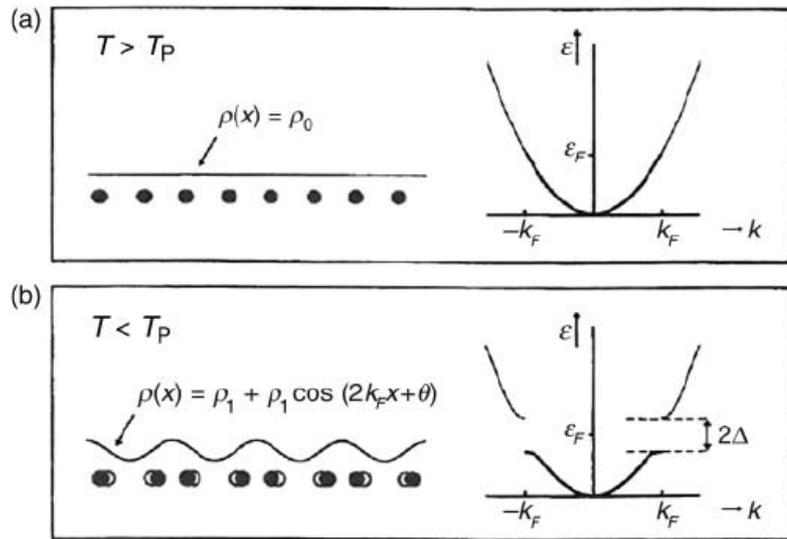
Doug Bonn

Walter Hardy



# Introducing CDWs and Superconductivity

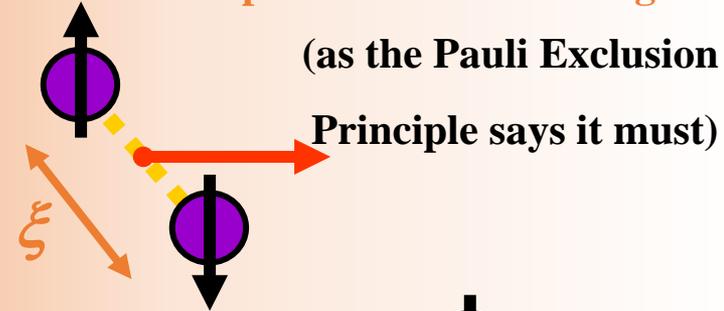
## CDWs



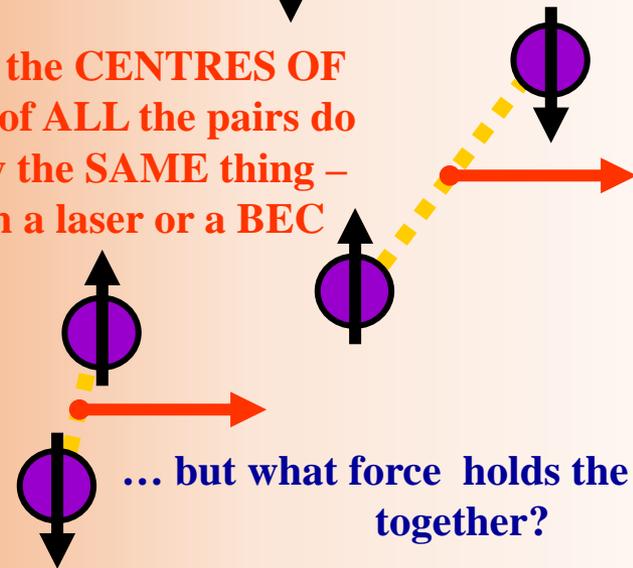
Monceau, Advances in Physics **61**, 325 (2012).

## Superconductors

each electron in a pair does its own thing ...



... but the CENTRES OF MASS of ALL the pairs do exactly the SAME thing – like in a laser or a BEC

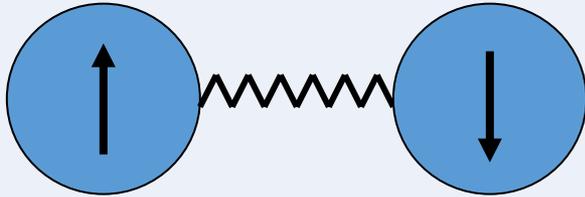


( ~ a “coherence length”  $\xi$  apart)



# Cooper pairs in superconductors

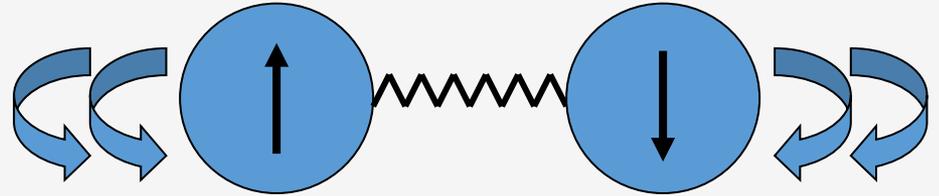
Conventional 's-wave'  
electron pairs



not circulating ...

Unconventional 'd-wave'

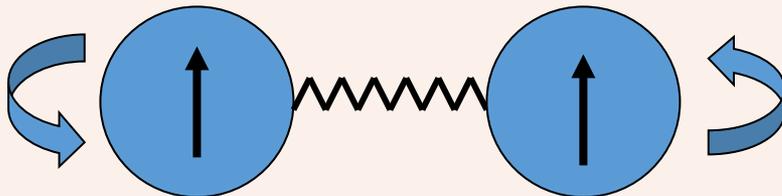
e.g. High-Tc, CeCoIn<sub>5</sub>



circulating *both* ways -  $\ell = 2$

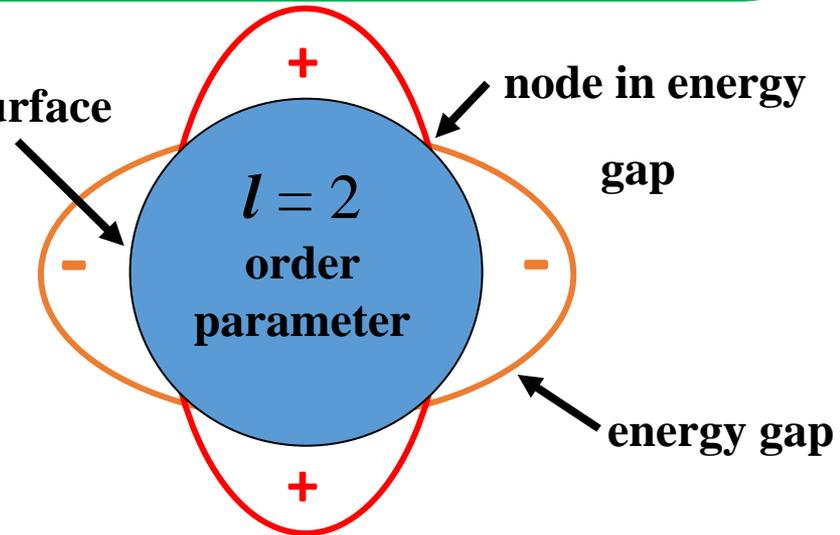
Unconventional: 'p-wave'

e.g. Sr<sub>2</sub>RuO<sub>4</sub>



circulating:  $\ell = 1$

Fermi surface

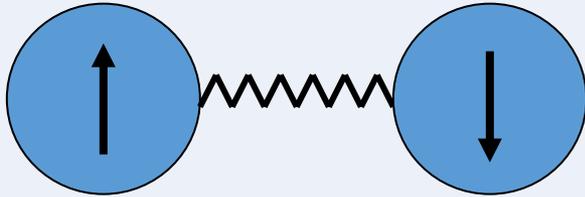


node in energy  
gap

energy gap

# Cooper pairs in superconductors

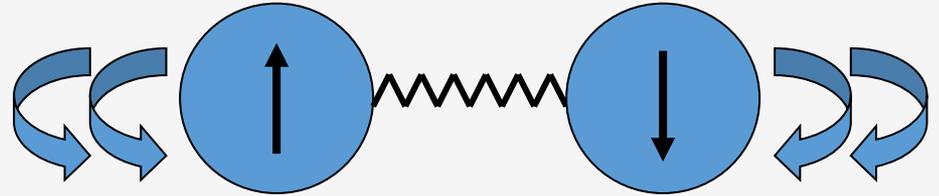
Conventional 's-wave'  
electron pairs



not circulating ...

Unconventional 'd-wave'

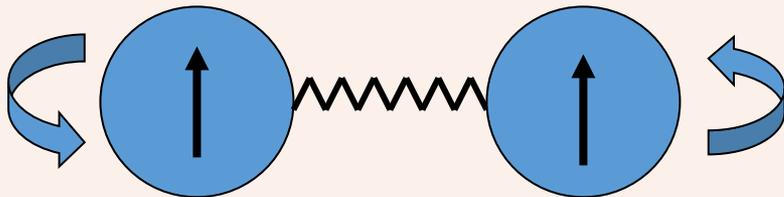
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Unconventional: 'p-wave'

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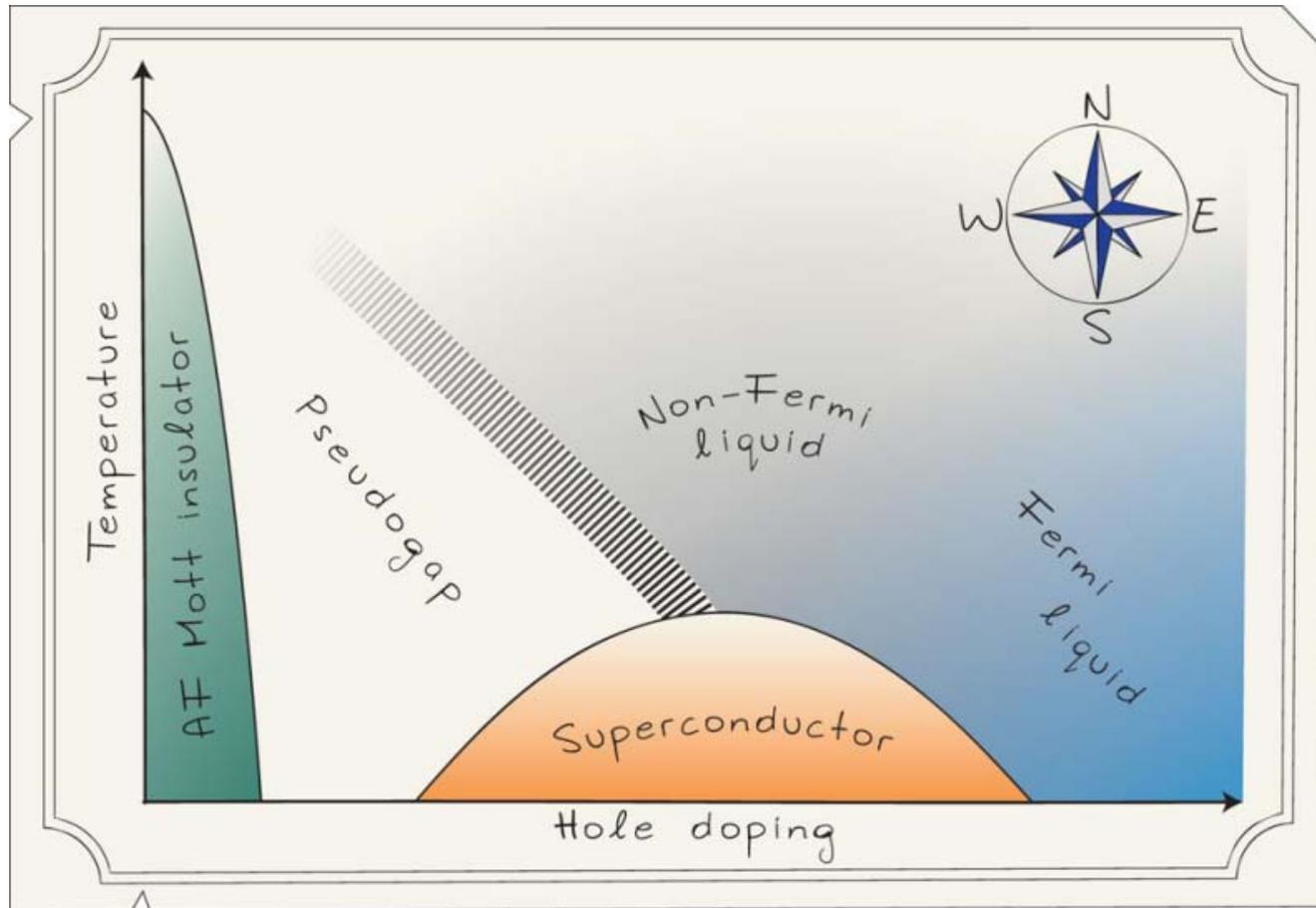


circulating:  $\ell = 1$

The type of pairing controls the symmetry of the superconducting gap function, which, amongst other things, affects flux line and Josephson tunnelling properties

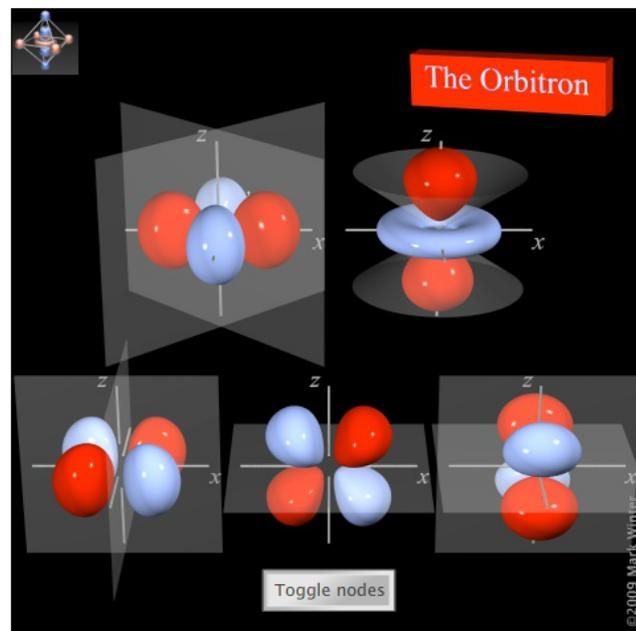
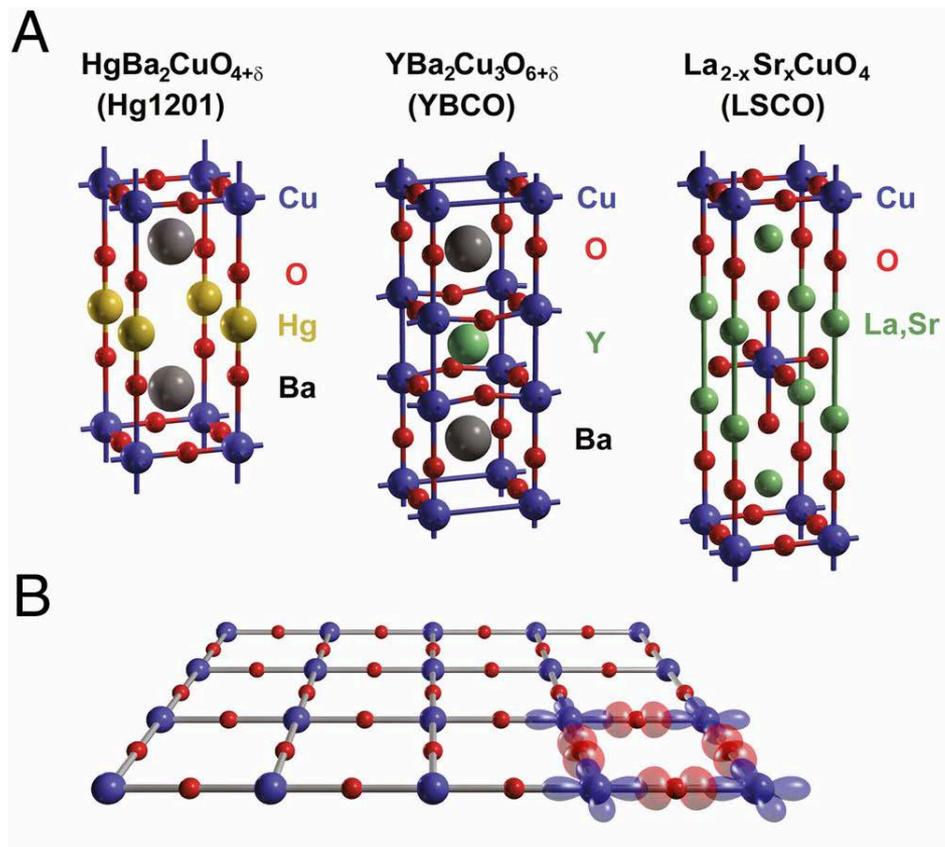
How does the pairing arise??

# High $T_C$ superconductors – the cuprates



Wahl, Nature Physics (2012)

# High $T_C$ superconductors – the Cu-O plane



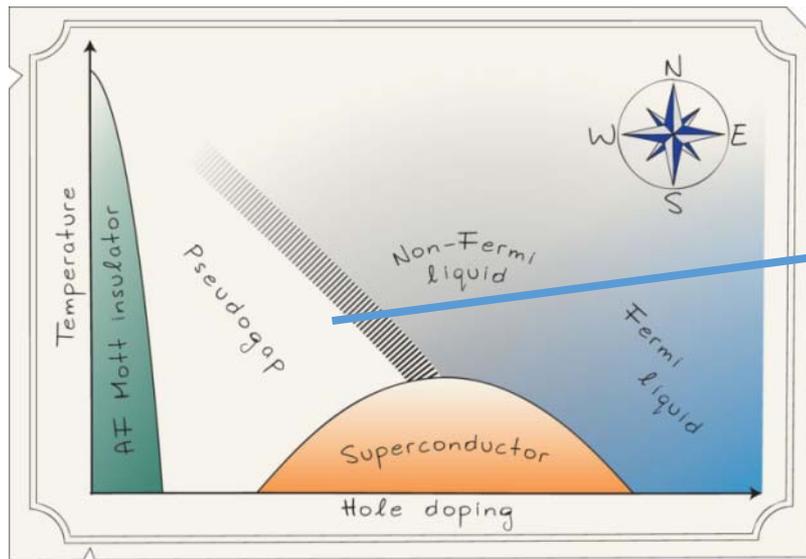
**The shape of the five 3d orbitals.** From left to right: (top row)  $3d_{x^2-y^2}$  and  $3d_{z^2}$  (bottom row)  $3d_{xy}$ ,  $3d_{xz}$  and  $3d_{yz}$ . For each, the yellow zones are where the wave functions have negative values and the blue zones denote positive values.

Barisic *et al.*, PNAS **110**, 12235 (2013)

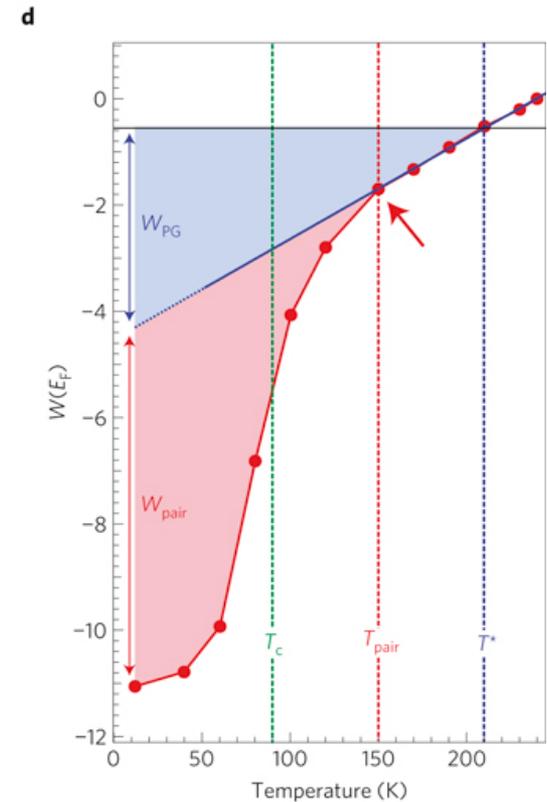
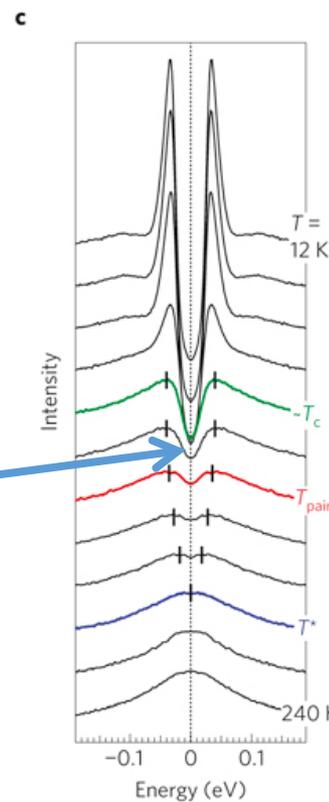
Mark Winter,  
<http://www.shef.ac.uk/chemistry/orbitron/>

# The pseudogap – loss of DOS at Fermi Level, well above $T_c$

- Pre-formed pairs?
- Competing order?

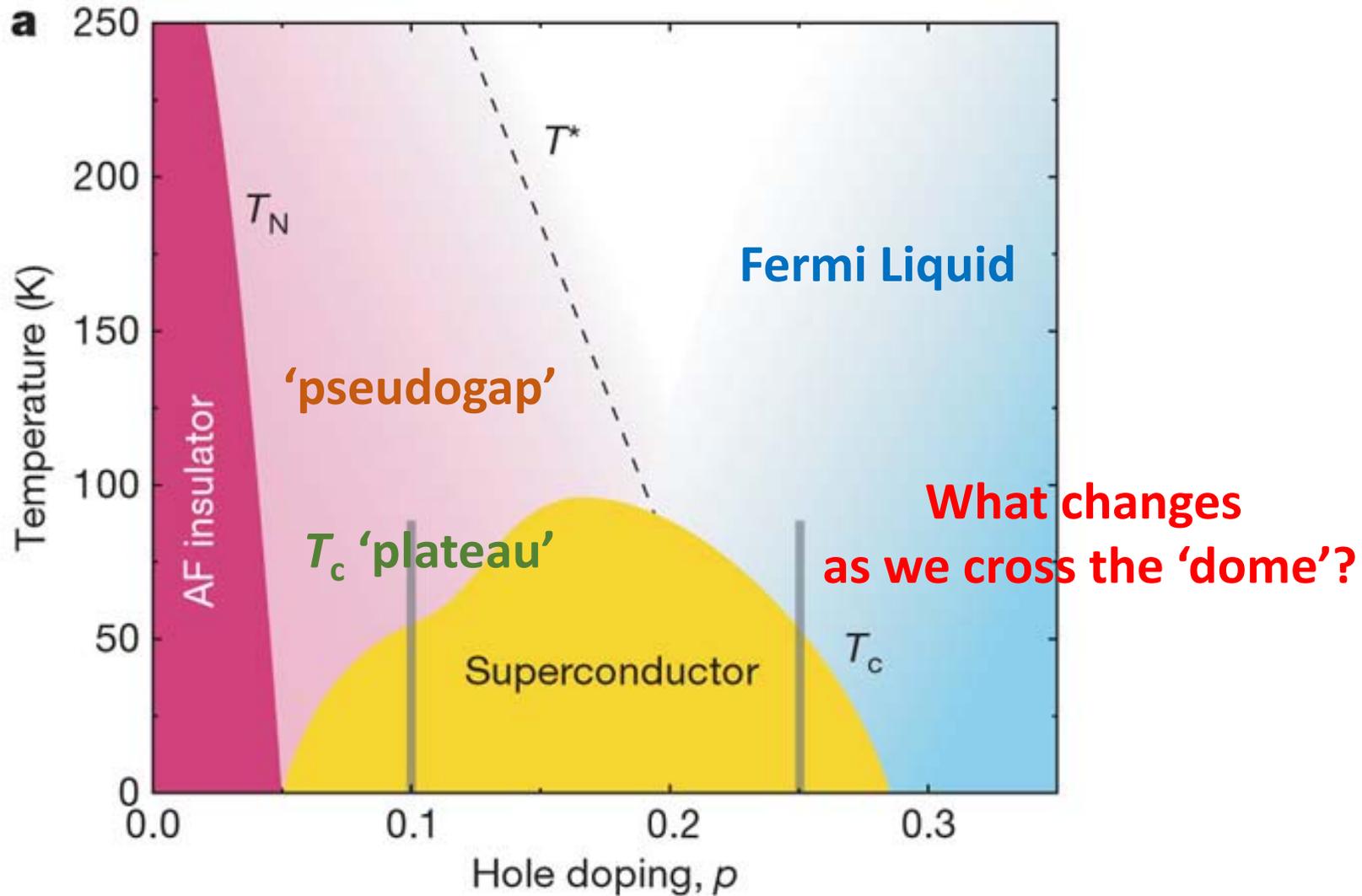


Wahl , Nature Physics (2012)

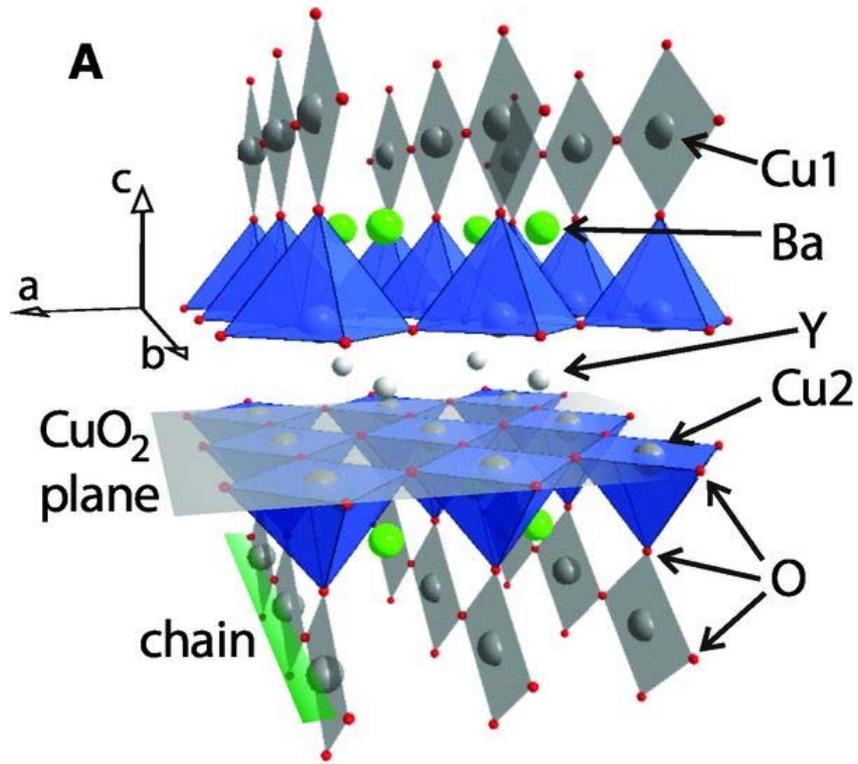


Kondo *et al.*, Nature Physics (2011)

# Phase diagram of $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ versus doping



# Superconductivity in $\text{YBCO}_{6+x}$

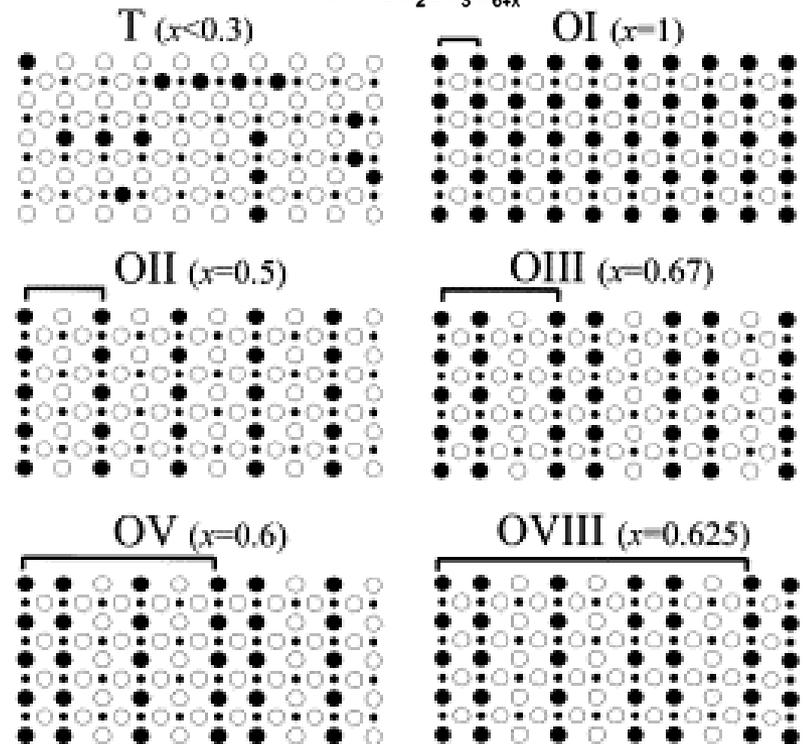
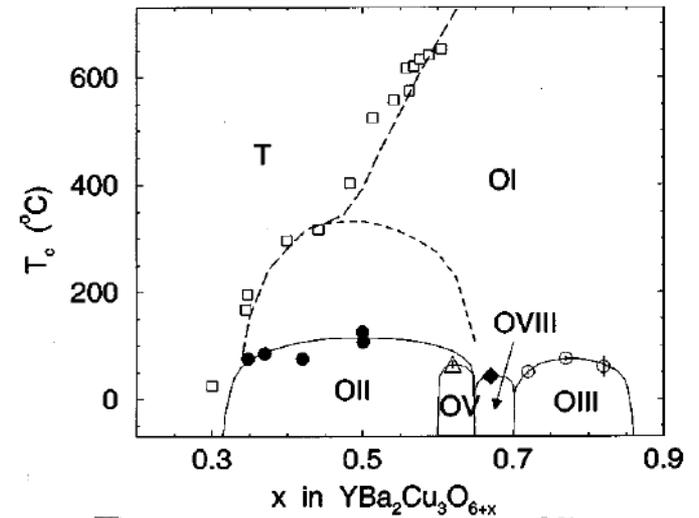
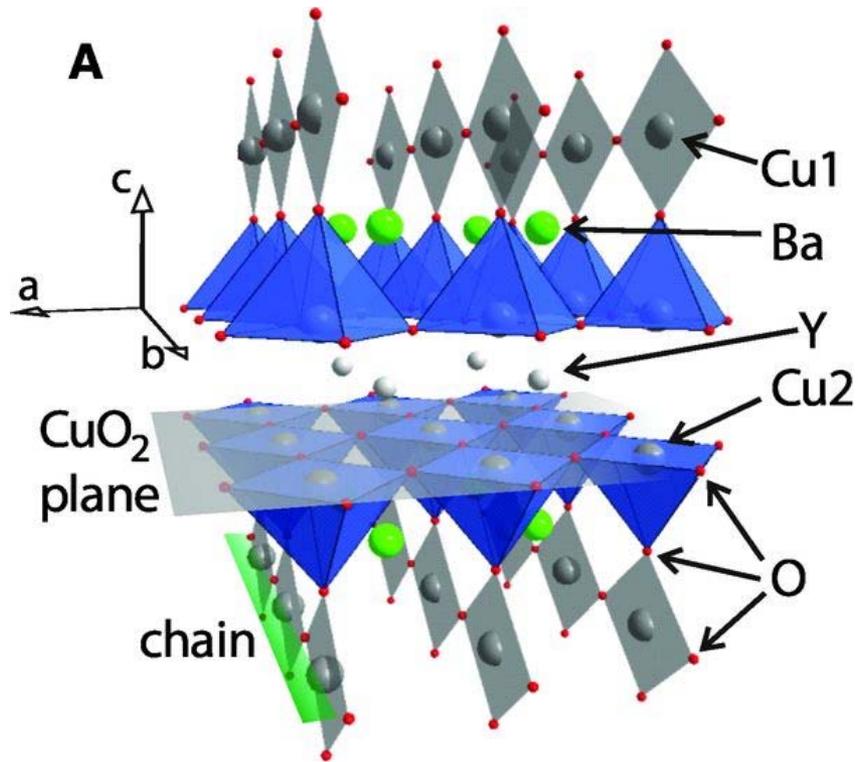


Superconductivity mainly resides in the  $\text{CuO}_2$  bi-layered planes

Oxygen content is varied from  $\text{O}_7$  to  $\text{O}_6$  by removing O from the chains running along  $b$

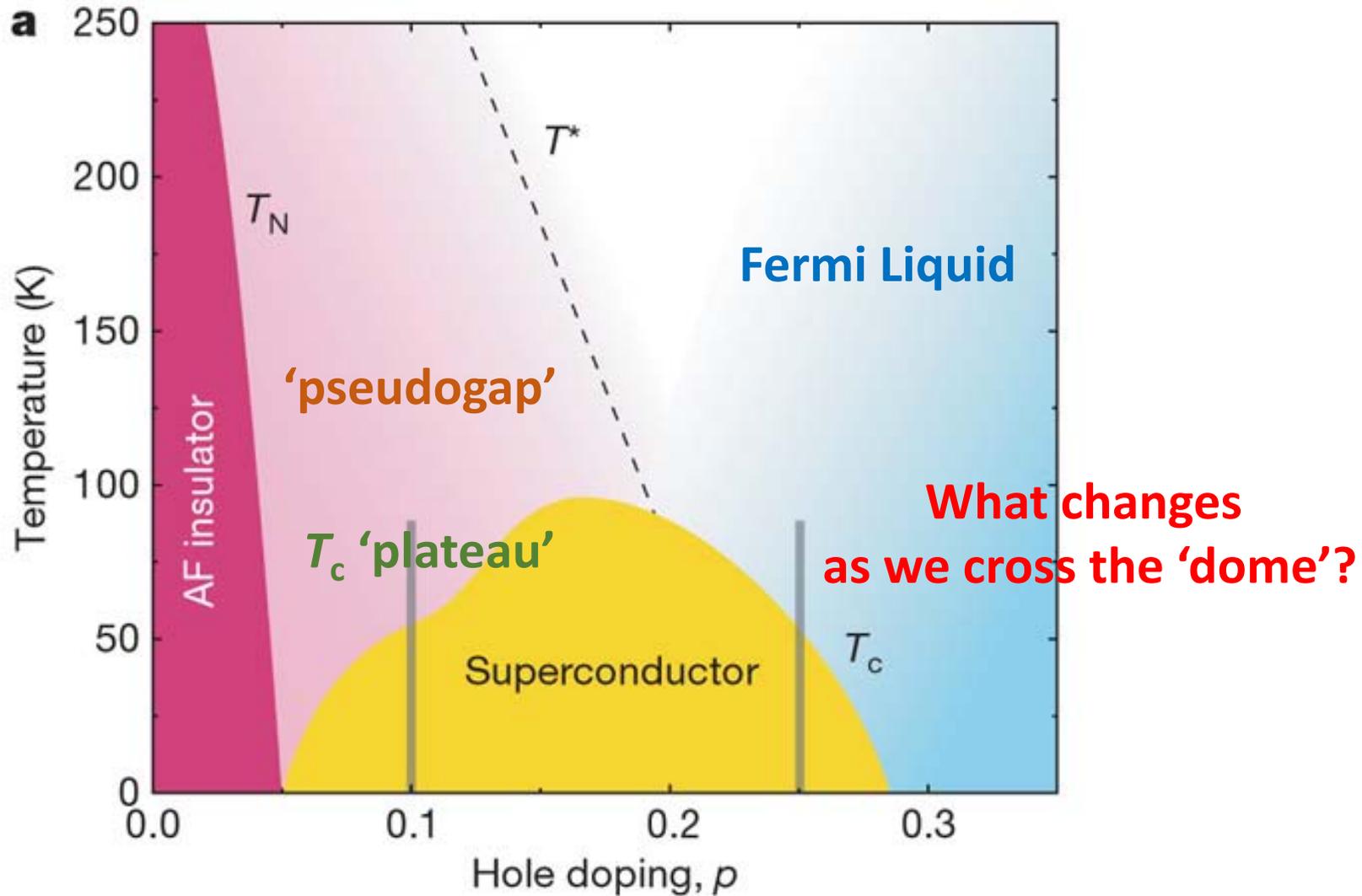
Ghiringhelli *et al.*, Science (2012)  
Andersen *et al.*, Physica C (1999)  
v. Zimmerman *et al.*, Phys.Rev.B (2003)

# Superconductivity in $\text{YBCO}_{6+x}$



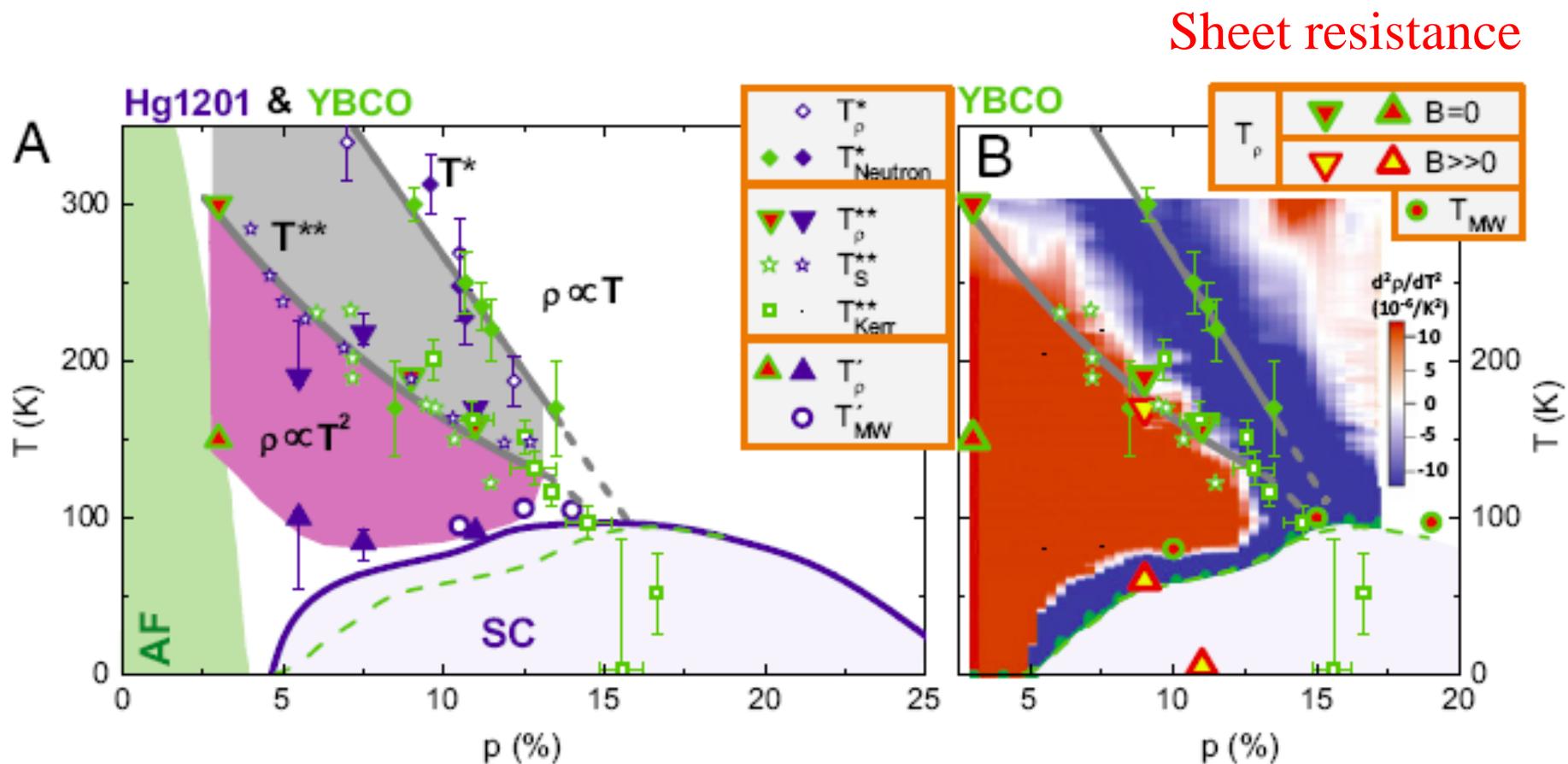
Ghiringhelli *et al.*, Science (2012)  
 Andersen *et al.*, Physica C (1999)  
 v. Zimmerman *et al.*, Phys.Rev.B (2003)

# Phase diagram of $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ versus doping



# Signs of something changing inside the PG region

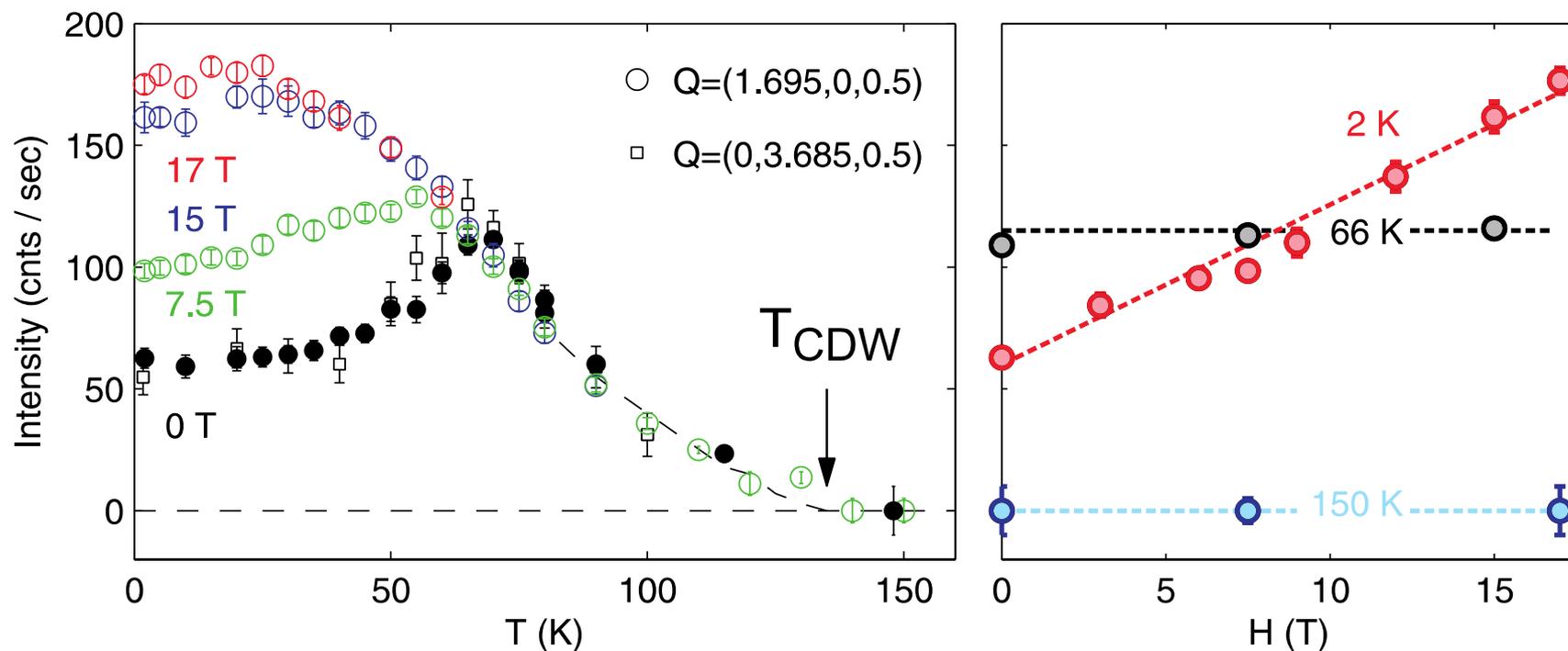
- Transport measurements



Barisic *et al.*, PNAS **110**, 12235 (2013)

# What is changing?

The  $\text{YBa}_2\text{Cu}_3\text{O}_y$  family shows charge density wave order which competes with superconductivity

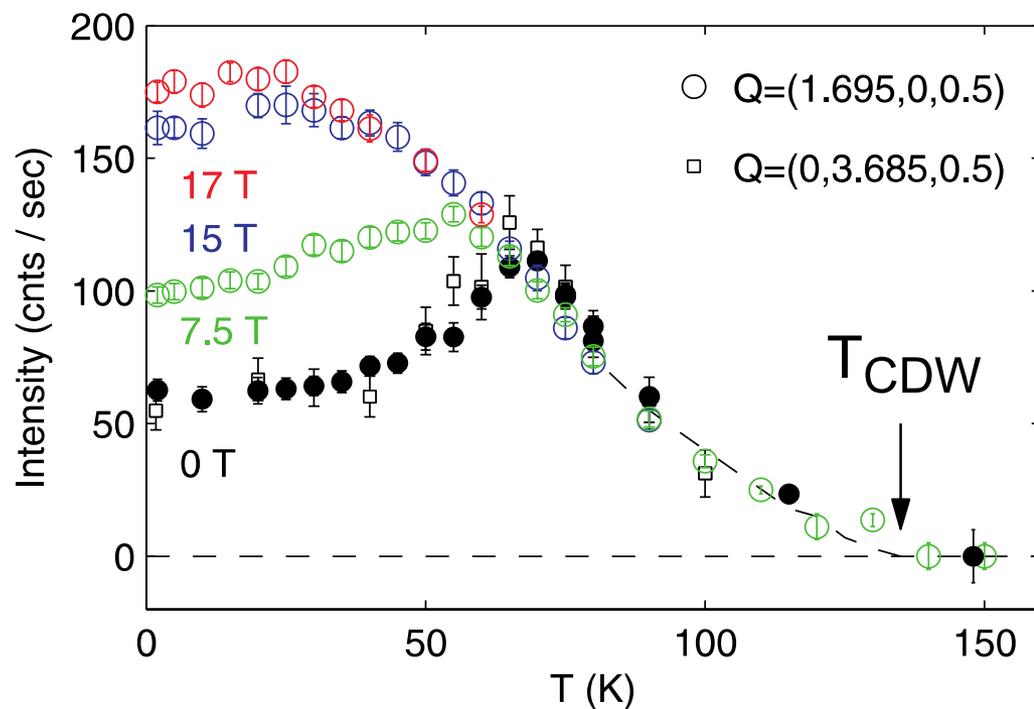


Ortho-VIII

Chang, EB *et al.*, Nature Physics (2012)

# What is changing?

The  $\text{YBa}_2\text{Cu}_3\text{O}_y$  family shows charge density wave order which competes with superconductivity



Correlation lengths:

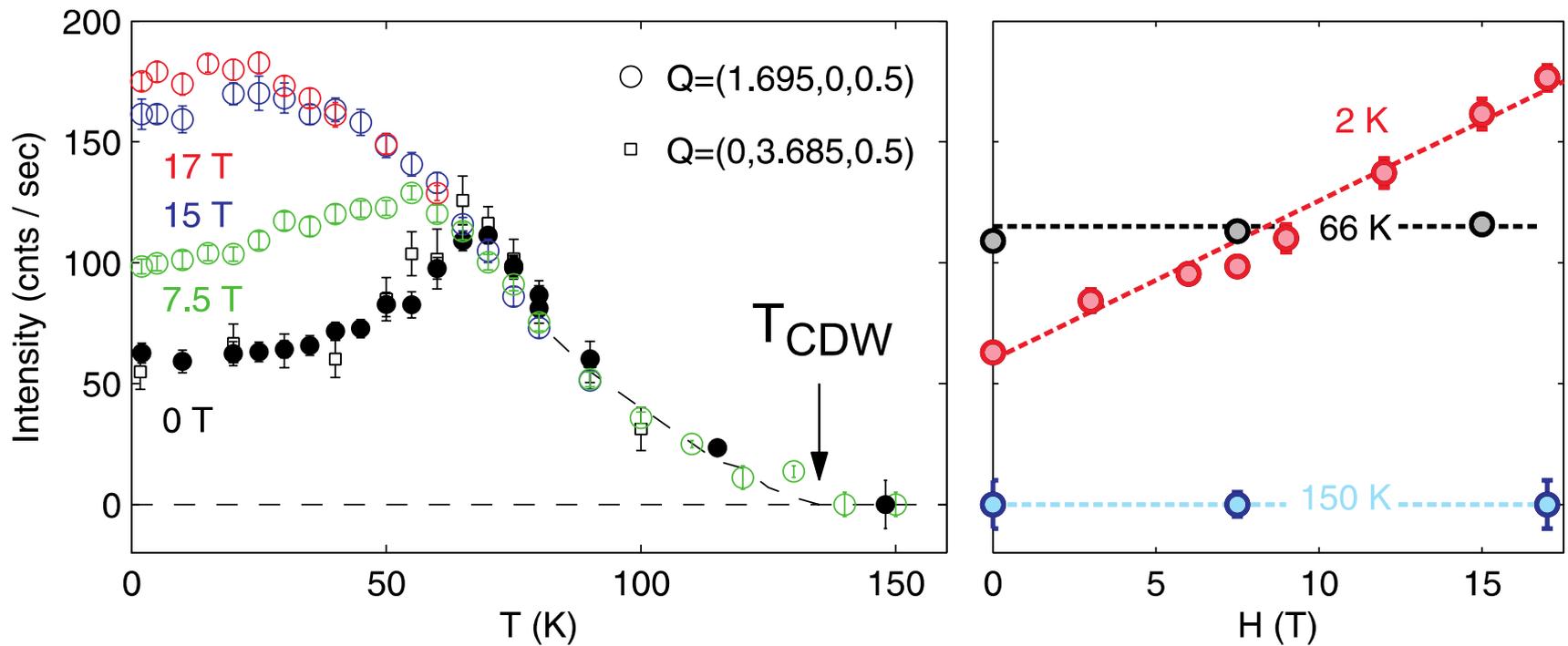
$$\xi_a = 95 \pm 5 \text{ \AA}$$

$$\xi_c \approx 0.6 c$$

(Gaussian sigmas)

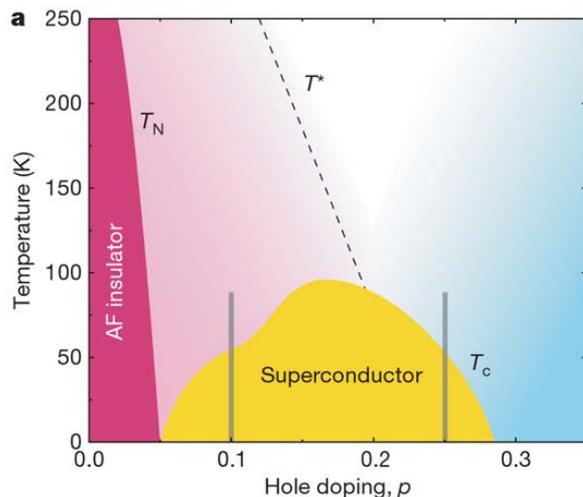
# What is changing?

... also seen in a large range of cuprates; this appears to be an almost universal instability of the Cu-O plane



Chang, EB *et al.*, Nature Physics (2012)

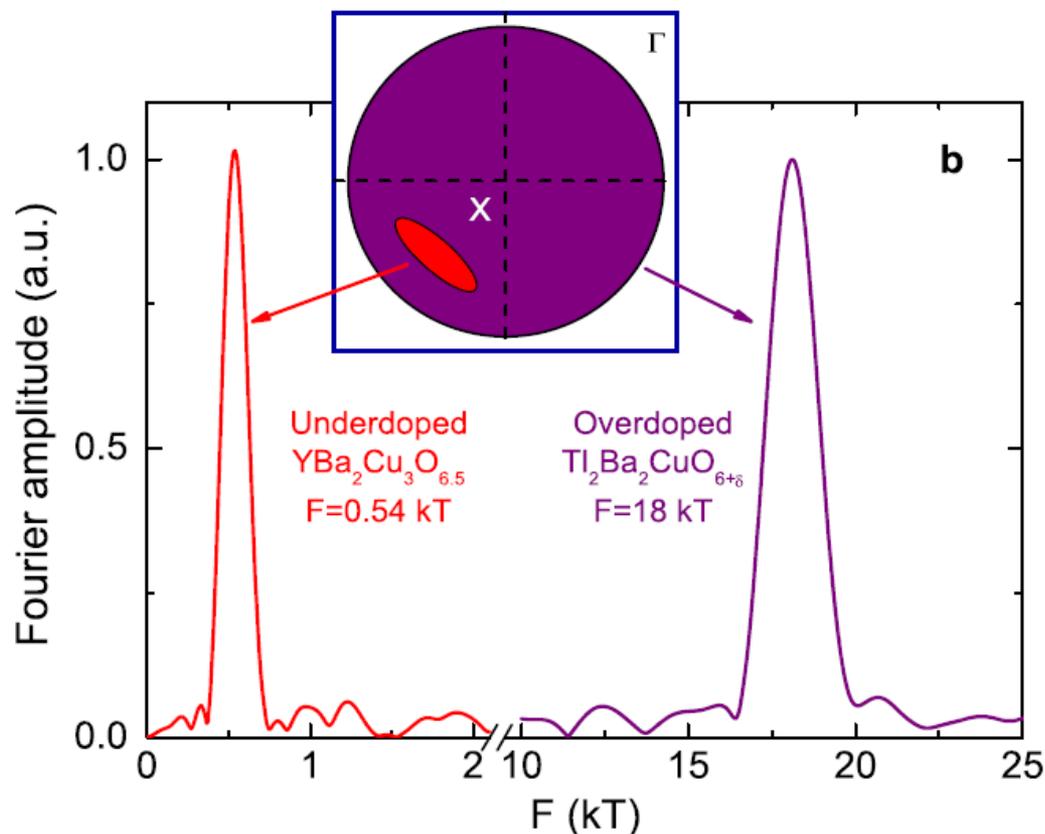
# This CDW affects the Fermi surface



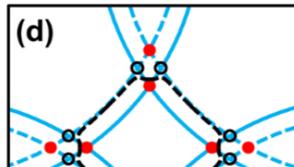
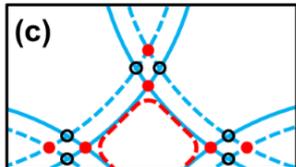
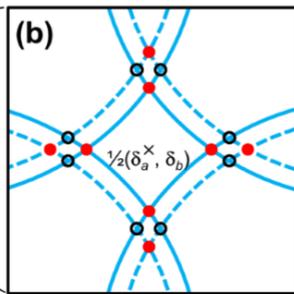
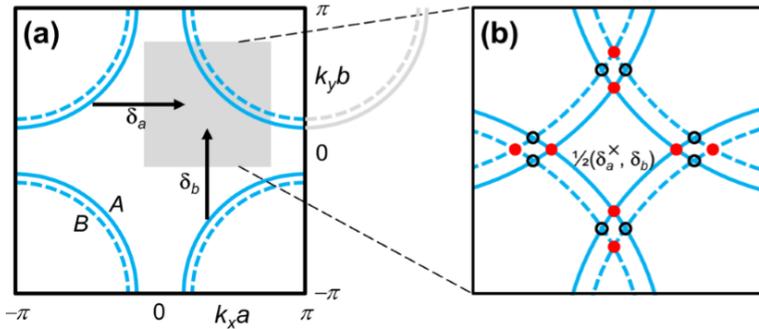
**Underdoped – tiny number of *electrons* not holes**

**N.B. QOs give the *area* of the electron pocket**

**Overdoped – all holes visible - obeys Luttinger theorem**



# We can chop up the Fermi surface with our CDW wavevectors.



A = antibonding

B = bonding

● = A-B degenerate -> hybridization possible

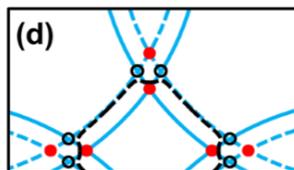
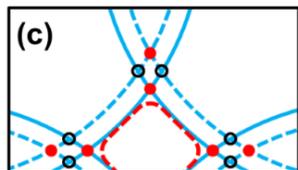
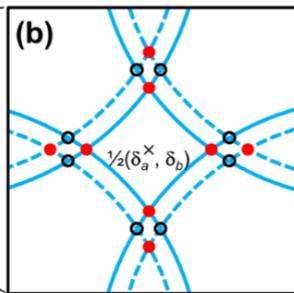
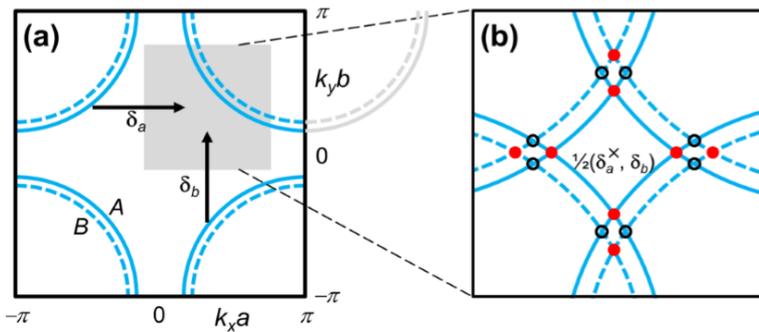
● = A-A or B-B degeneracy -> weaker hybridization

Electron-like  
Fermi surfaces

Possible magnetic  
breakdown routes

**This is schematic;  
one should also  
consider e.g. effect  
of pseudogap on  
FS, spin-orbit  
coupling, c-axis  
effect, etc.**

# We can chop up the Fermi surface with our CDW wavevectors.



A = antibonding

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Electron-like  
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Possible magnetic  
breakdown routes

**There is a lot of ongoing quantum oscillation work investigating the exact nature of these pockets.**

# What is the actual CDW distortion?

To find this we had to measure a sufficient number (>200) of different X-ray diffraction satellites due to the CDWs in an o-II sample to derive the ionic displacements that fit the data.

It should then be possible to deduce something about the physics of the CDW from these ionic displacements

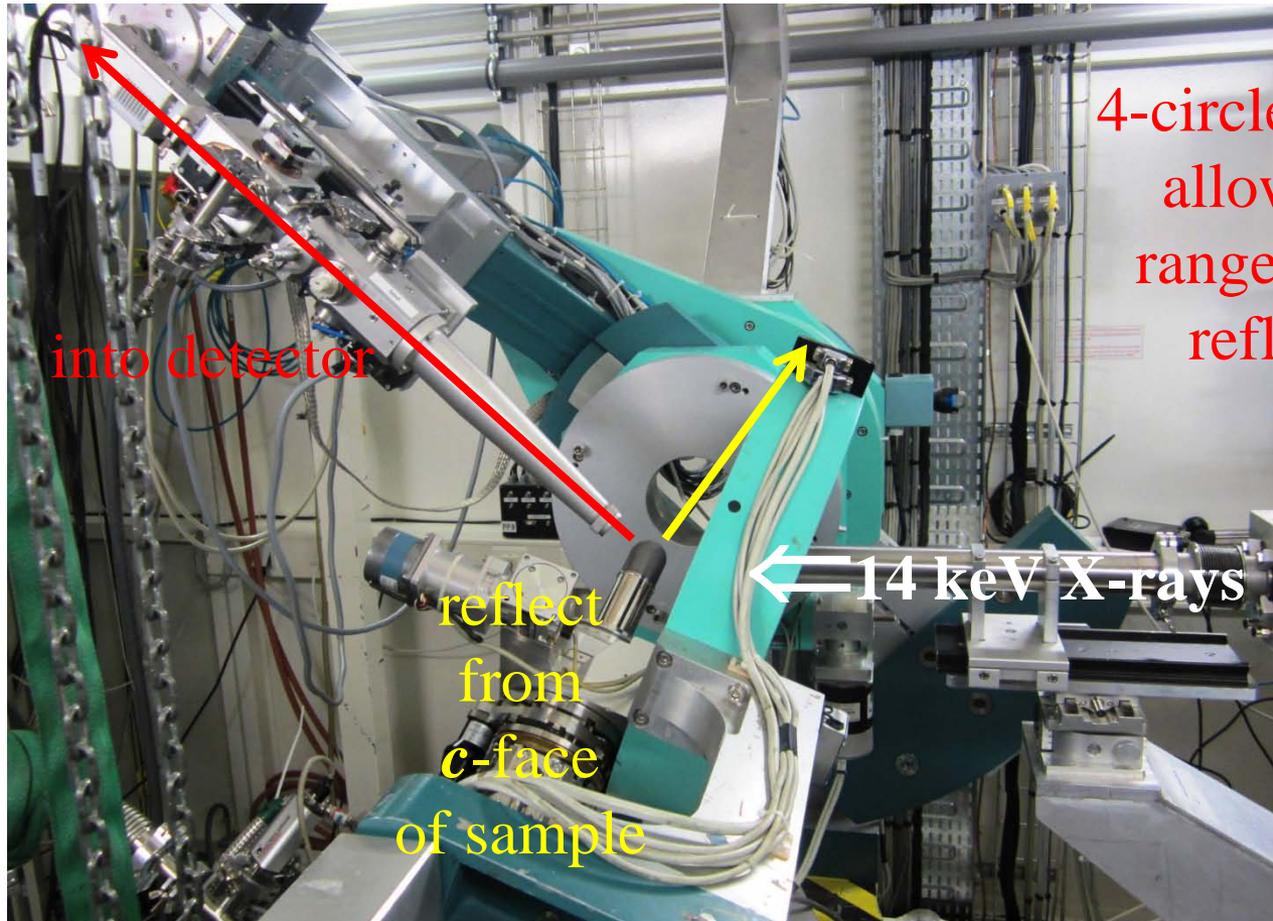
This was successful, and from the results it may be argued that the result is not a 'standard' CDW.

Measurements at the Cu-L-resonant X-ray wavelength are not suitable: you only see one atom and a very restricted range of reciprocal space due to the long wavelength

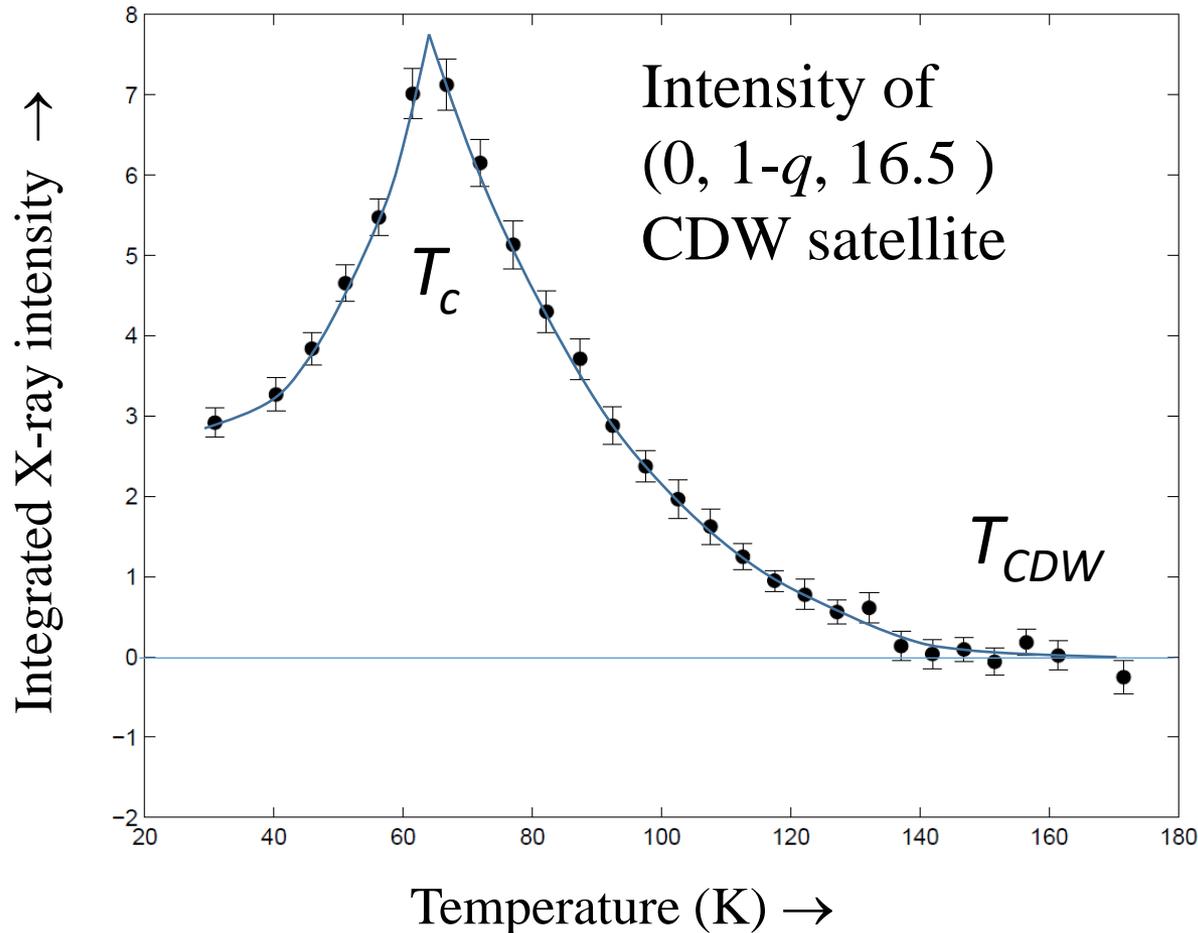
But non-resonant X-rays see ALL the 13 atoms in the YBCO unit cell, so the results are difficult to analyse!

# What is the actual CDW distortion?

Measurements done at XMaS Facility, ESRF



# What is the actual CDW distortion?



Make all observations of CDW intensities at  $T_c$  (superconductivity) = 60 K

# Assumptions made in analysing the data

Non-resonant X-rays are insensitive to small charge density changes. Instead they respond to the associated/resultant ionic *displacements* from their usual positions.

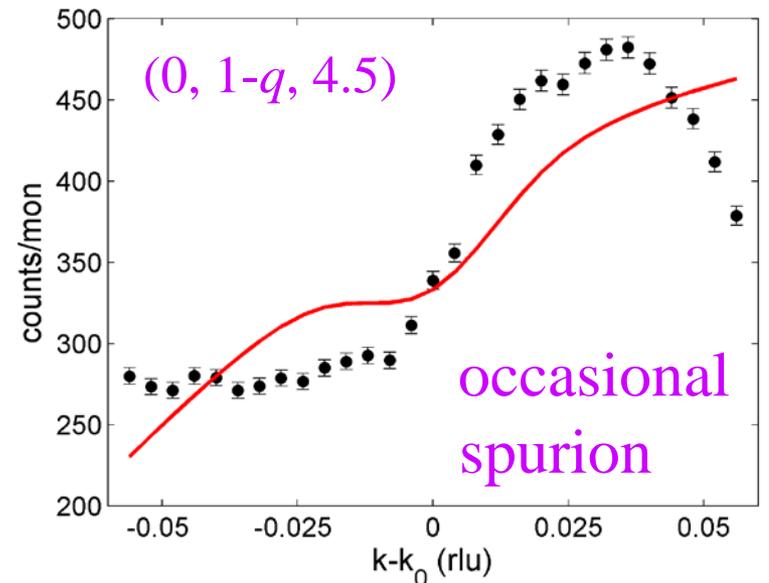
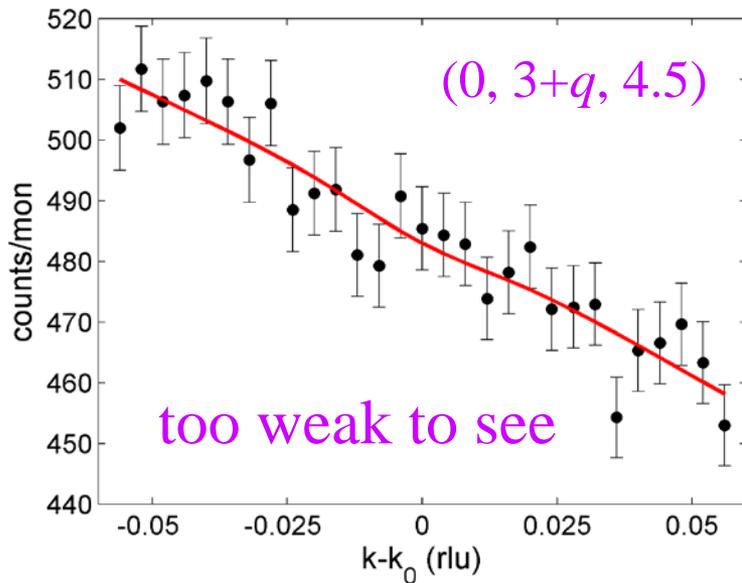
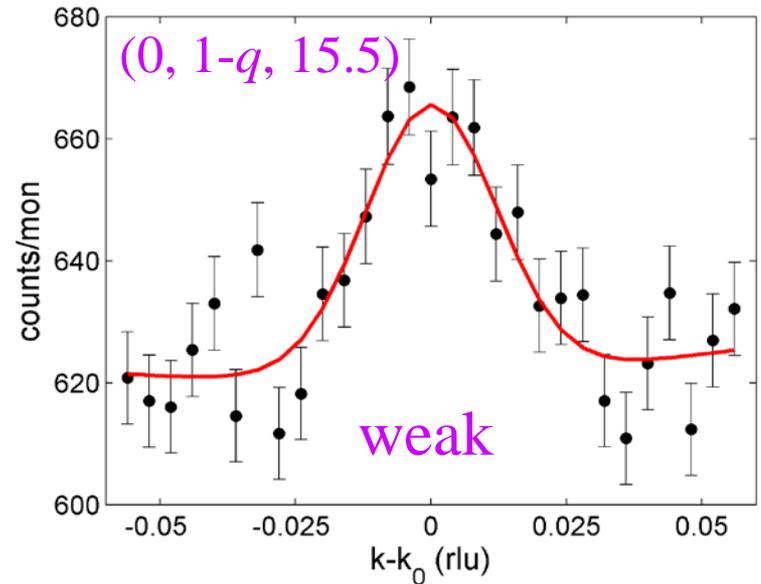
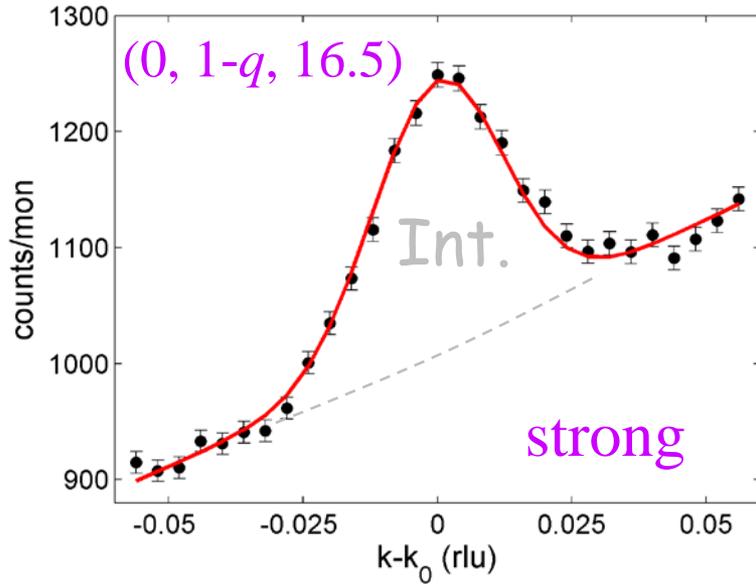
(Because ALL the electrons in a displaced ion scatter X-rays)

A single CDW can be described by an incommensurate  $q$ -vector along either the  $x$  or  $y$  ( $a$  or  $b$ ) crystal directions.

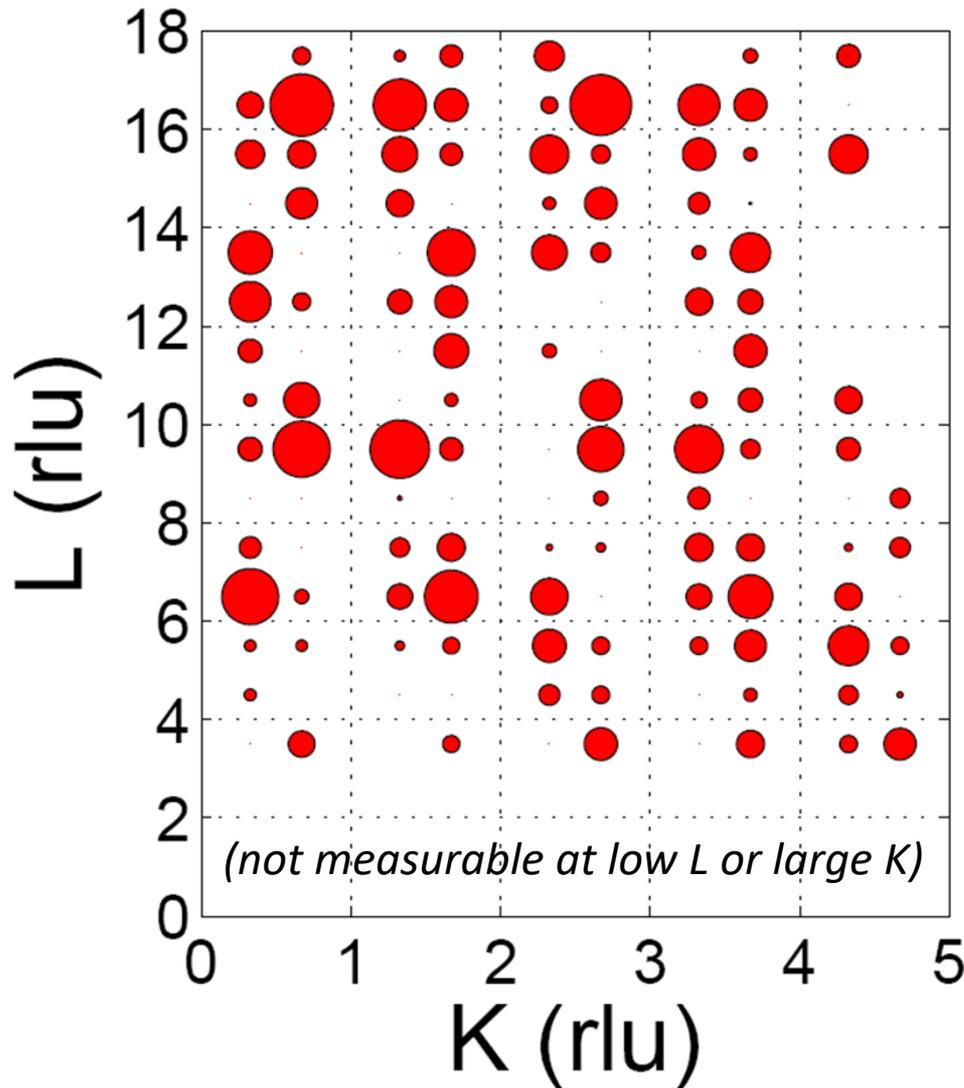
Adjacent unit cells in the  $c$ -direction are in antiphase  
(Doubled cell indicated by CDW satellites at half-integral  $\ell$ )

CDWs are longitudinal, with atomic displacements  
(e.g. for  $q // y$ ) along *both*  $y$  &  $z$  directions.

# Example CDW satellites at 60 K



# The CDW intensity pattern in the K-L plane



A total of 269 satellite positions were observed for  $q_b$  and 193 for  $q_a$

Area of circle  $\propto$  Intensity

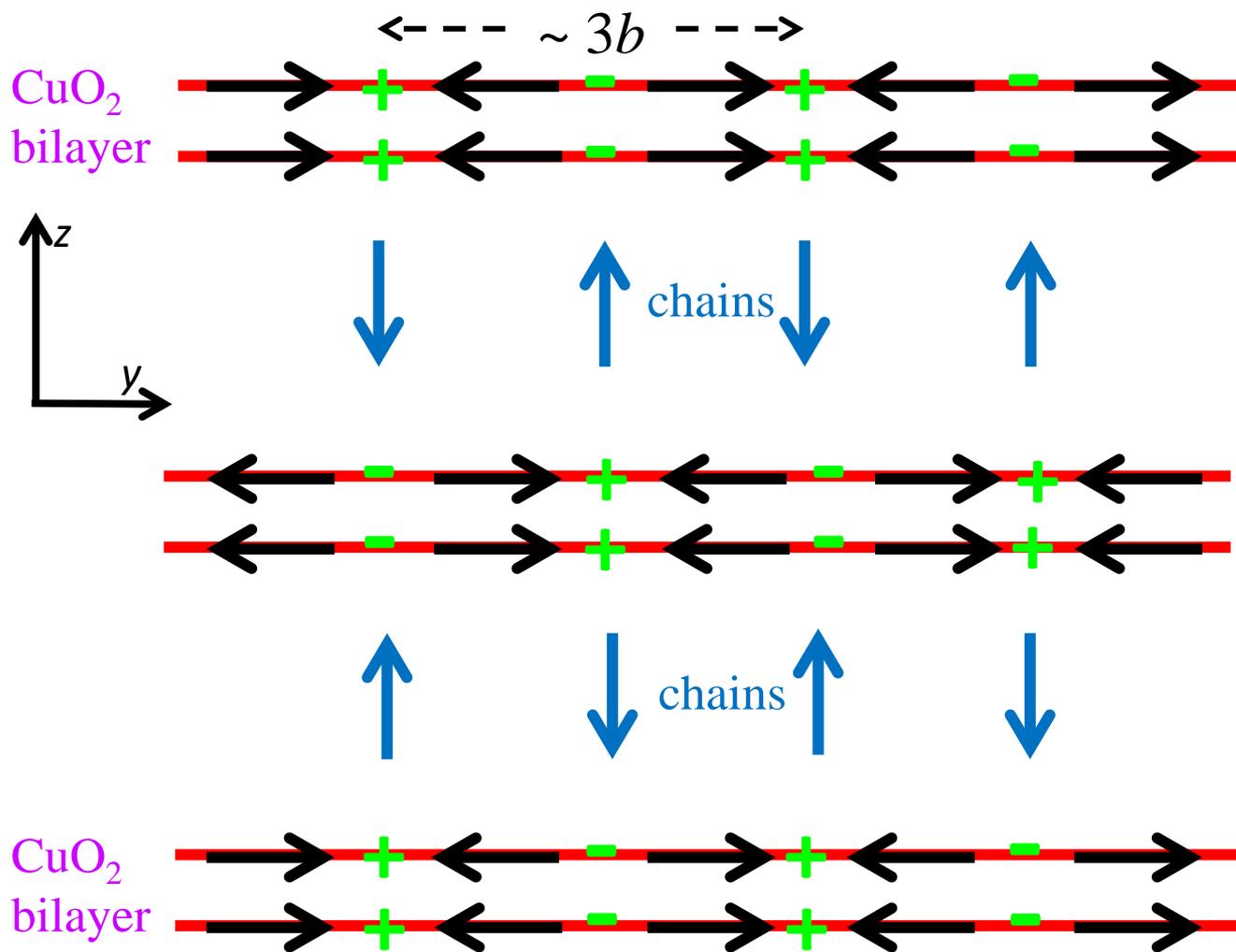
blank = not observed

Not a simple pattern so the displacements do not involve just one or two ions

You can always get from a model to the diffraction pattern - but not vice versa

# What did we expect? ionic displacements with this *symmetry*

$\leftrightarrow$  motion is even in  $z$  about bilayer, note:  $\uparrow\downarrow$  is odd in  $z$



CDW  $\leftrightarrow$   
ionic  
displacements

Next unit cell in  
antiphase  
( $\equiv \ell = 0.5$ )

also  $\exists$   $c$ -axis  
displacements

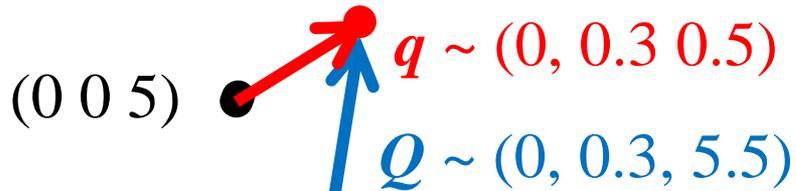
$\Rightarrow$  total of 13 ionic  
motion variables  
to fit the data

# How do we make deductions from the data?

CDW satellite intensities are proportional to  $(\mathbf{Q} \cdot \mathbf{u})^2$

So we can detect basal and  $c$ -axis displacements  $u_y$   $u_z$ .

● sensitive to  $u_z$  's



$b^*-c^*$  plane of reciprocal space:

● typical lattice Bragg peak

● typical CDW satellite

... but 1.6 million attempts

(different initial signs/values of 13 variables) failed to iterate to a fit of the data!

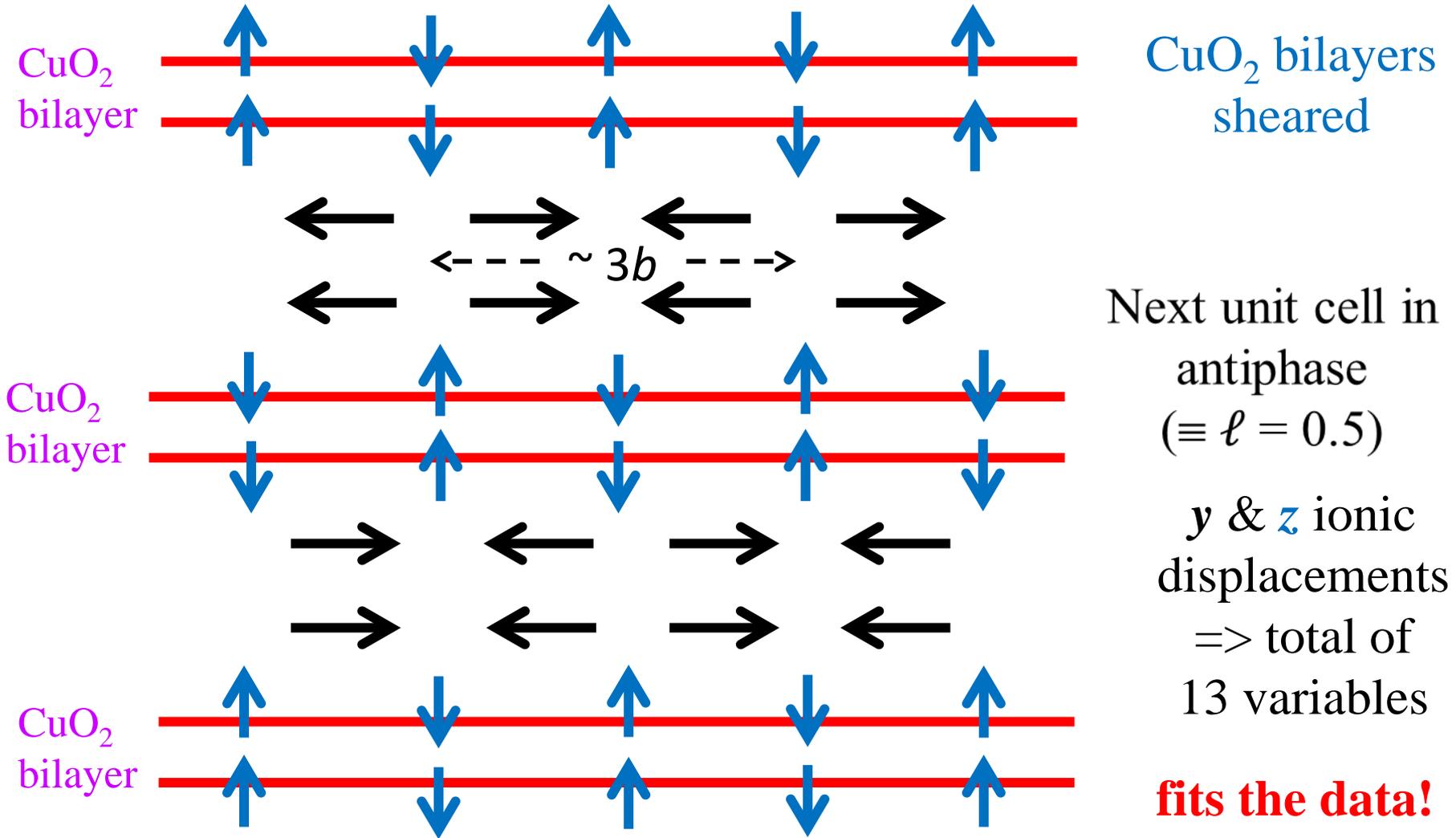
sensitive to  $u_y$  's

$Q \sim (0, 2.3, 0.5)$



# We are forced to consider displacements of this symmetry:

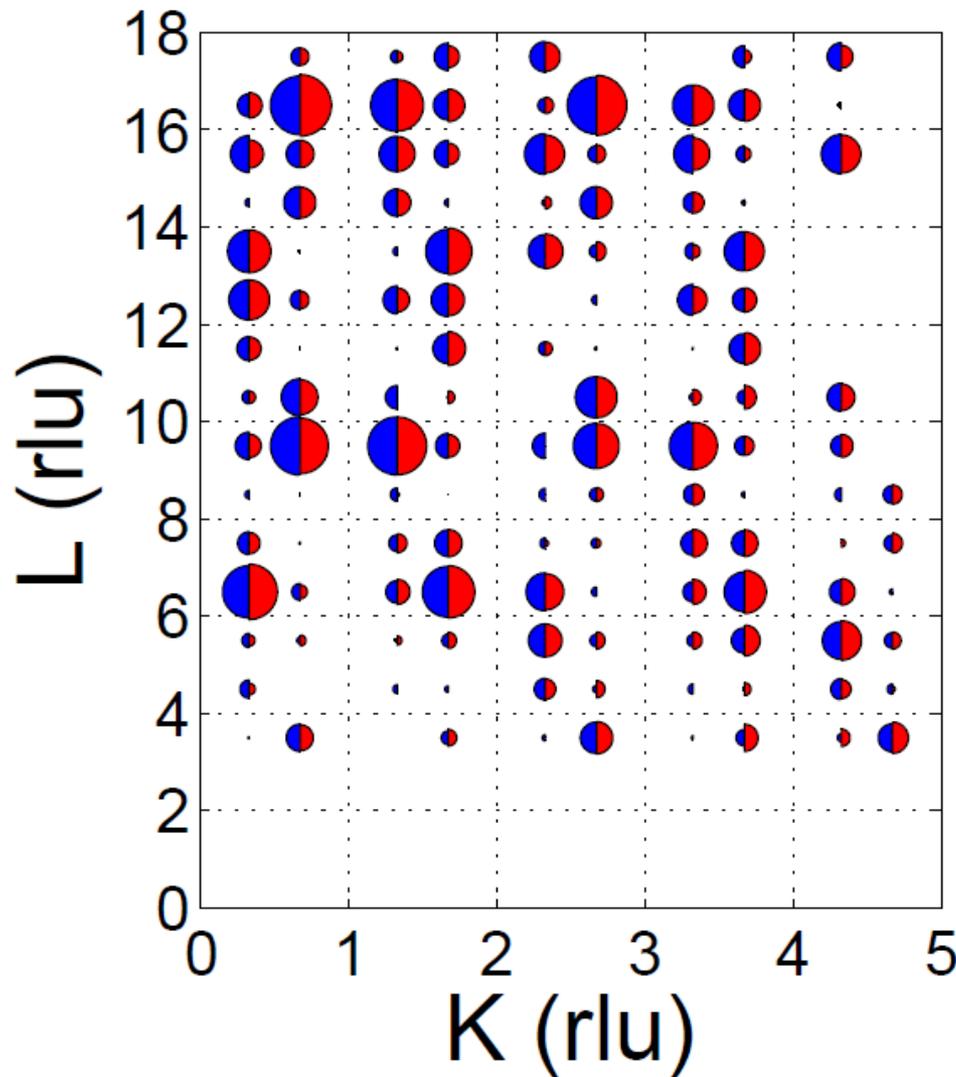
$\uparrow\downarrow$  motion even in  $z$  about bilayer, and  $\leftrightarrow$  odd in  $z$



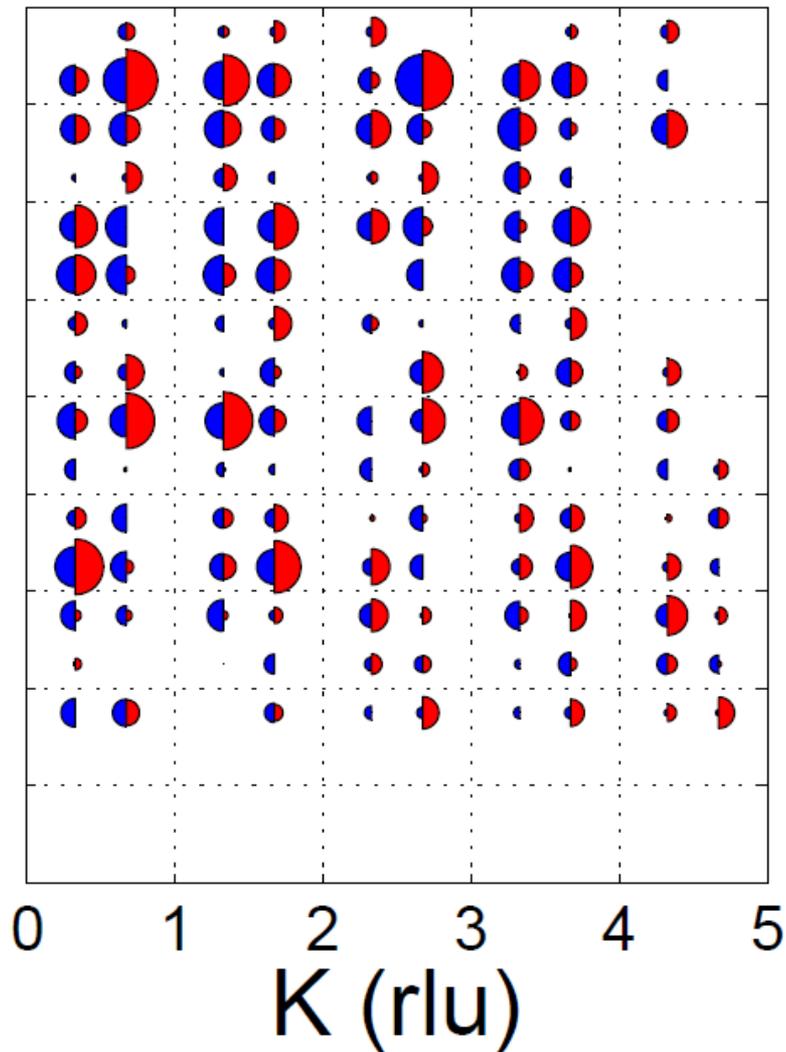
# Good fit

.....

# Bad fit



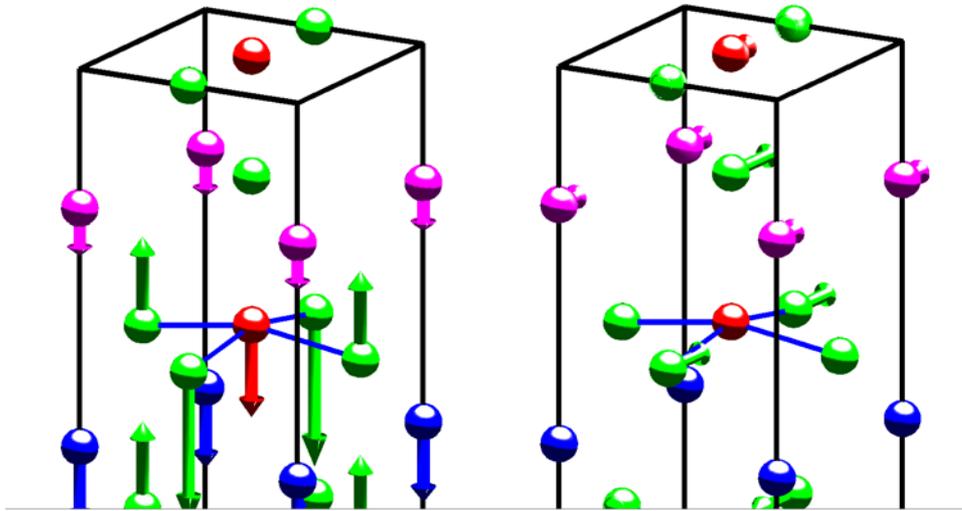
CuO<sub>2</sub> bilayers sheared



CuO<sub>2</sub> bilayers compressed

# The motif which is modulated to form the CDW

- from the results of the good fit to the  $q_b$  mode



CuO chains

BaO layer

CuO<sub>2</sub> plane

Y layer

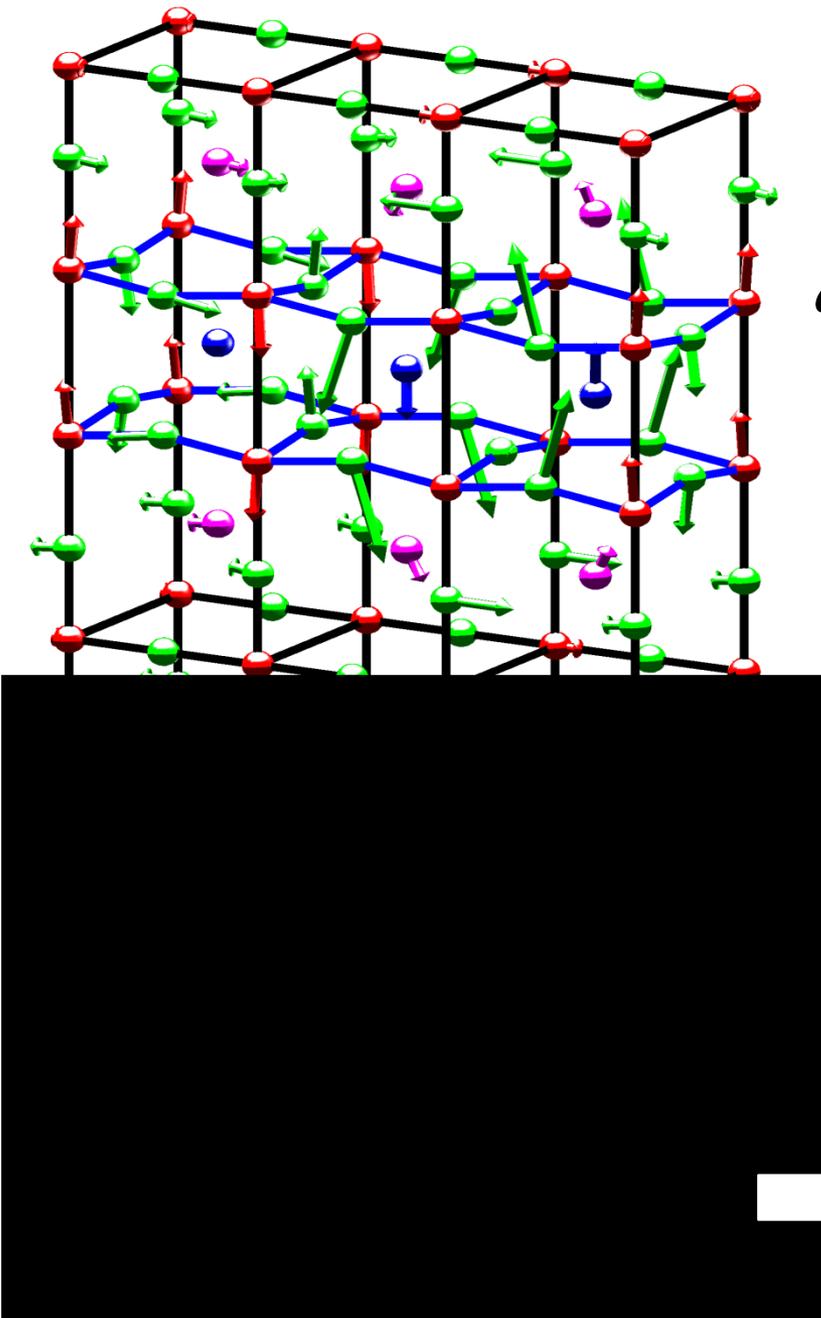
CuO<sub>2</sub> plane

$u_c$

$u_b$

for each ion,  $\mathbf{r}_0 \Rightarrow \mathbf{r}_0 + \mathbf{u}(\mathbf{r}_0)$

Next unit cell along  $c$  is in antiphase



Resulting modulated  
ionic displacements

$a$   $\swarrow$   $\searrow$   $b$

period only  $\sim 3$  unit cells  
so  $\pi$  phase change in only  $1\frac{1}{2}$  cells

not tilted  $\text{CuO}_5$  half-octahedra

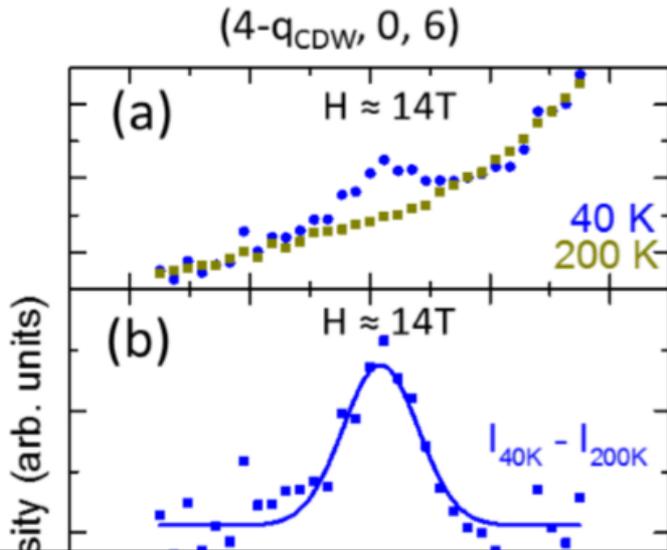
Plus a similar modulation in the  
perpendicular direction

Almost certainly in the same  
region of space

“double- $q$ ” or  
“biaxial” order

$\Rightarrow$  Fermi surface  
reconstruction

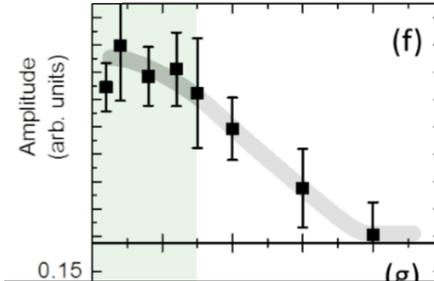
# HgBCO – no bilayer to worry about...



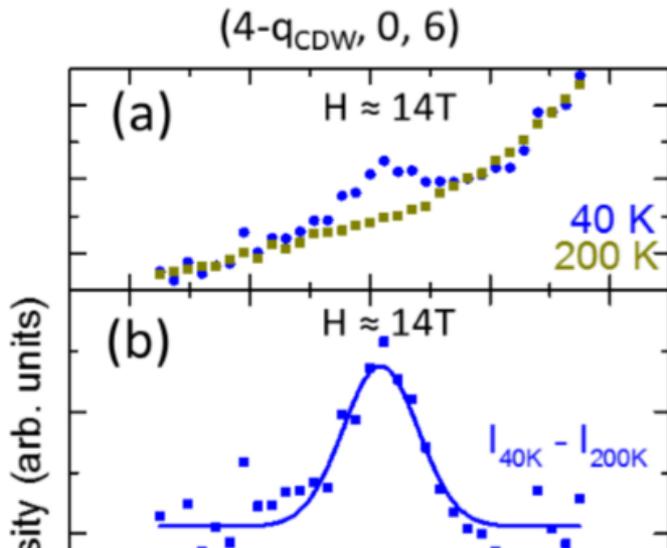
Initially seen using resonant X-ray diffraction at Cu L-edge.

Tabis *et al.*, Nat. Comms. **5**, 5875 (2014).

Needed high field to see clear signal with 80 keV X-rays.



# HgBCO – inferences from limited observations



CDWs in adjacent Cu-O planes are in phase.

The Cu-O plane is a mirror plane.

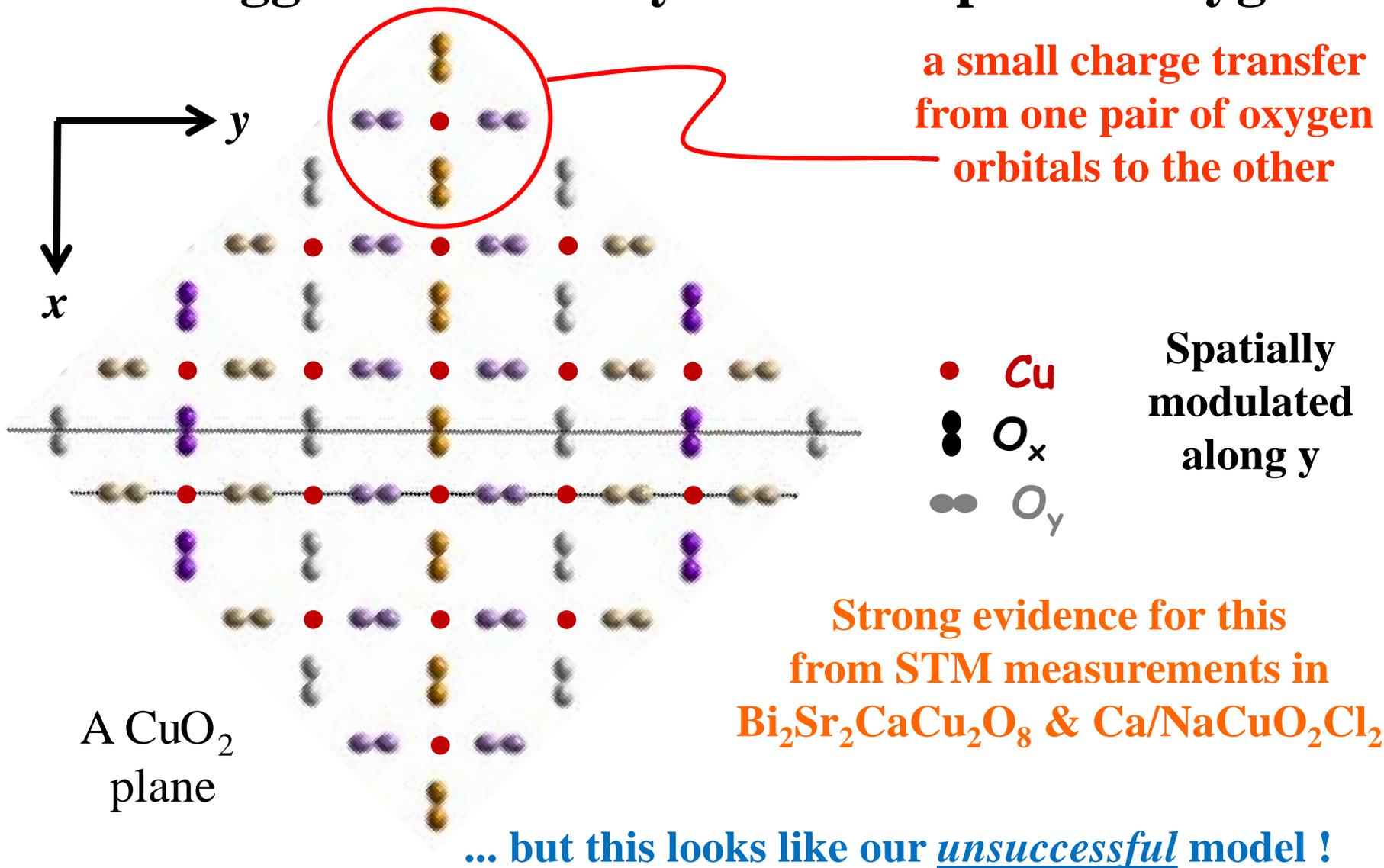
Small shear  $c$ -axis displacements (as in YBCO) will only affect carrier energies of 2<sup>nd</sup> order, whereas 1<sup>st</sup> order in YBCO.

=> Negligible coupling to FS reconstruction

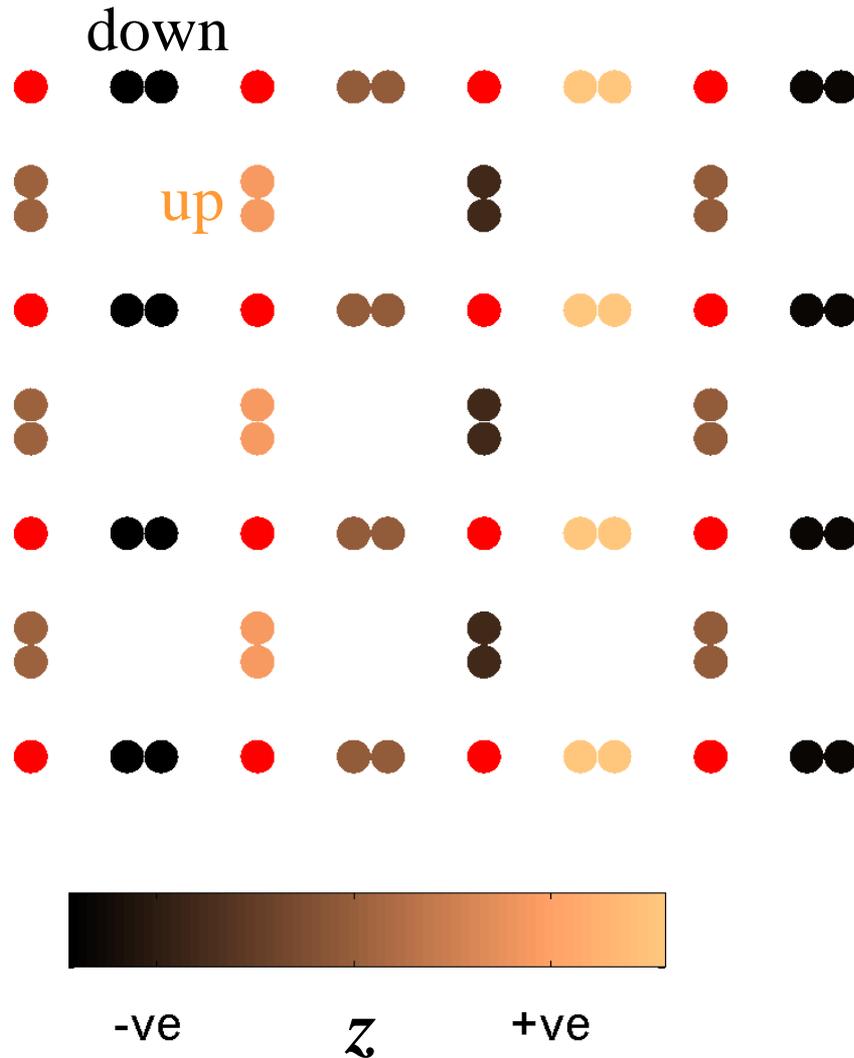
Similarly for transverse basal plane displacements.

We therefore deduce that the CDW is longitudinally polarised (and we assume that it is biaxial).

# STM suggests “*d*-density wave” on planar oxygens



# A plot of the modulated oxygen $z$ -displacements



for a single CDW mode

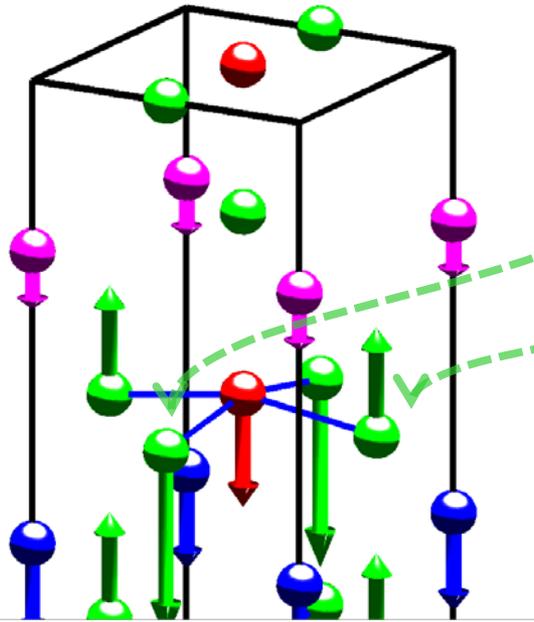
modulation  
direction →

You have seen this  
pattern before...

An ion closer to an STM tip  
would appear to have a larger  
charge

So our CDW shear *simulates* a  
“bond  $d$ -density wave”

# Or is it the other way round? The chicken or the egg...



If these  $O_y$  develop a  $-ve$  charge, they are attracted to  $Y^{3+}$

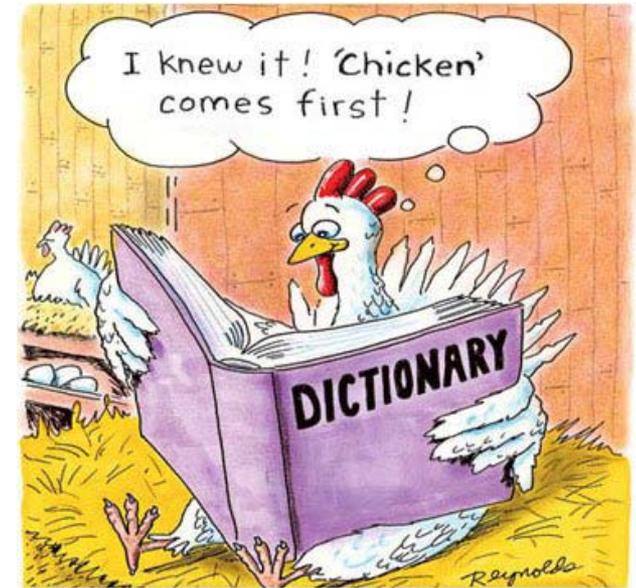
- and these  $O_x$  with a  $+ve$  charge are repelled by the  $Y^{3+}$

- conversely if  $O_x$  &  $O_y$  move relative to  $Y^{3+}$ , they will develop induced charges!

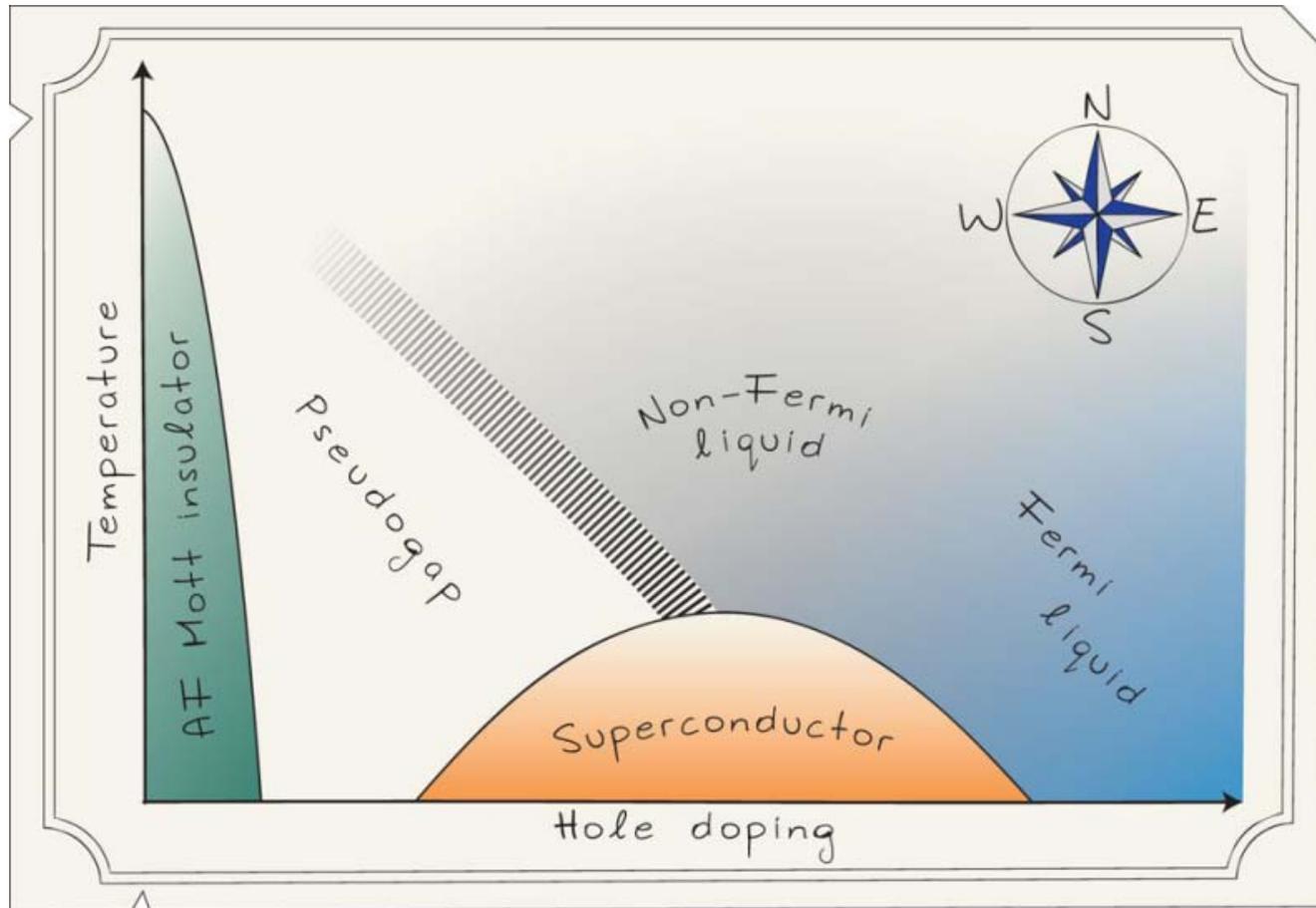
- but

**Cu's** in the bilayers move with the **Y's**

- at least in YBCO, it's **Chicken!**



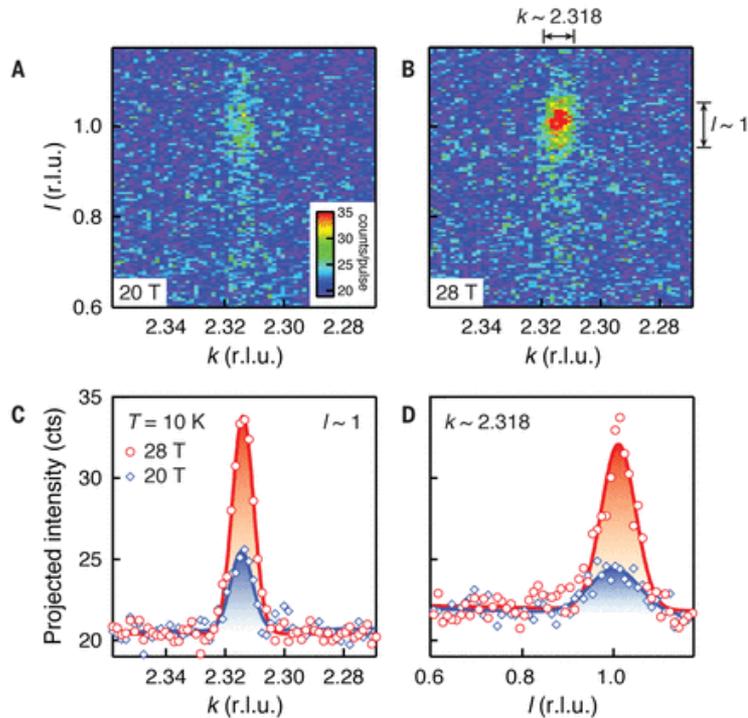
# Reassessing the phase diagram



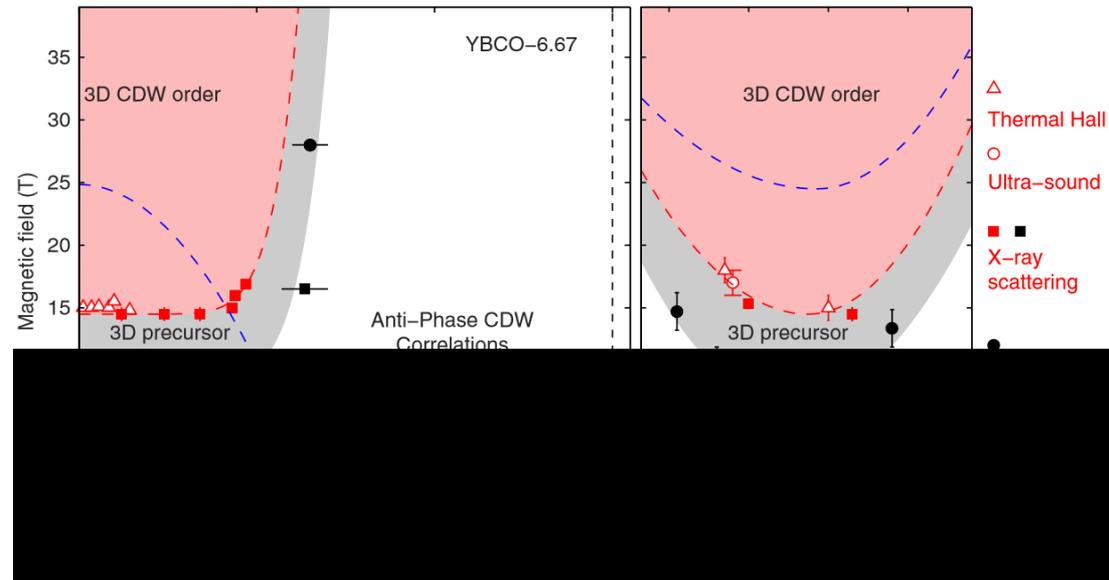
Wahl, Nature Physics (2012)

# Final words

In high fields, one of the CDWs in YBCO (along  $b$ ) becomes 3D



Gerber *et al.*, Science **350**, 949 (2015)



Chang, EB *et al.*, Nat Comms **7**, 11494 (2016)