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Oscillating charge order and spin polarization in photoexcited Mott insulators

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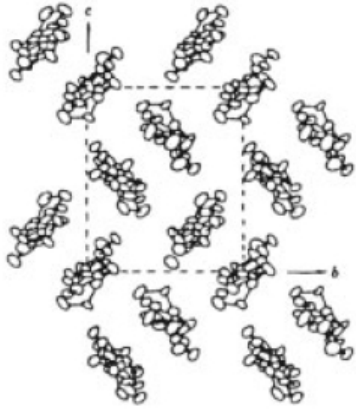
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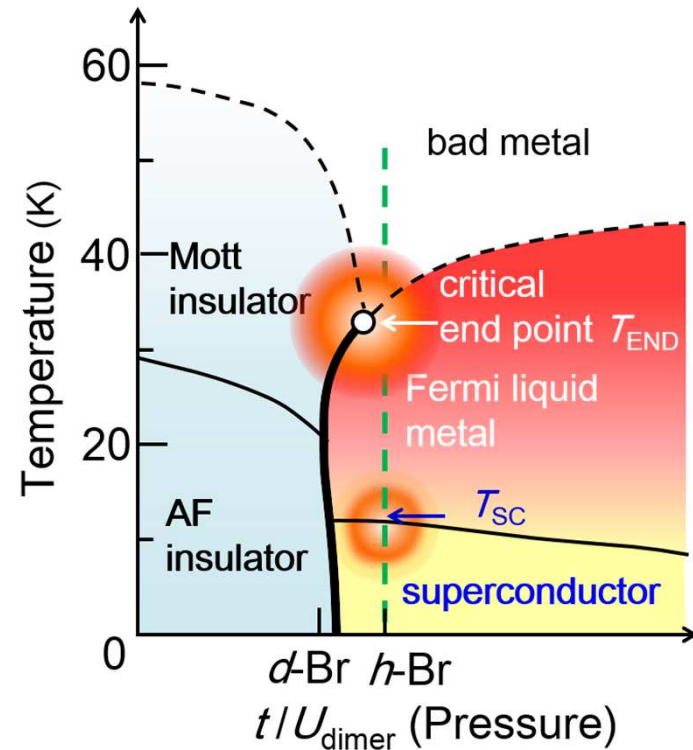
Manipulation of many-electron states by light

- Ultrafast
- Far from equilibrium
- Symmetry different from equilibrium one
- Transient order
 - Synchronized charge motion **after** pulse: 1st topic
 - Effective magnetic fields **during** photoex.: 2nd topic

Organic **dimer**-Mott/SC: κ -(ET)₂X

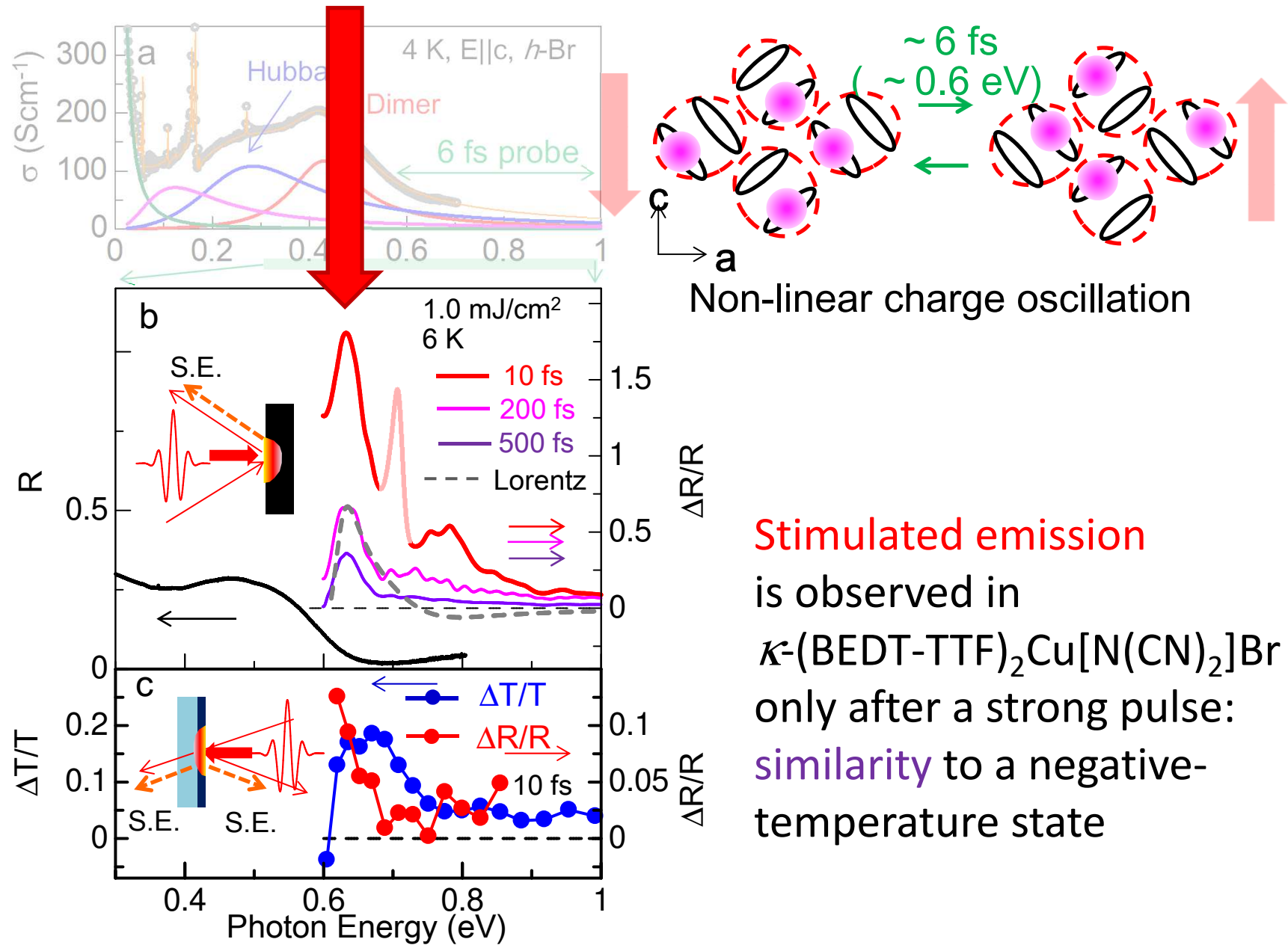


- Metal-Mott-insulator transition
- SC, AF, SL, criticality, etc.
- **Molecular DOF** in dimers
 - Anomalous dielectric permittivity
 - Photoinduced IM trans. – bandwidth/filling
 - **Stimulated emission** at a high energy



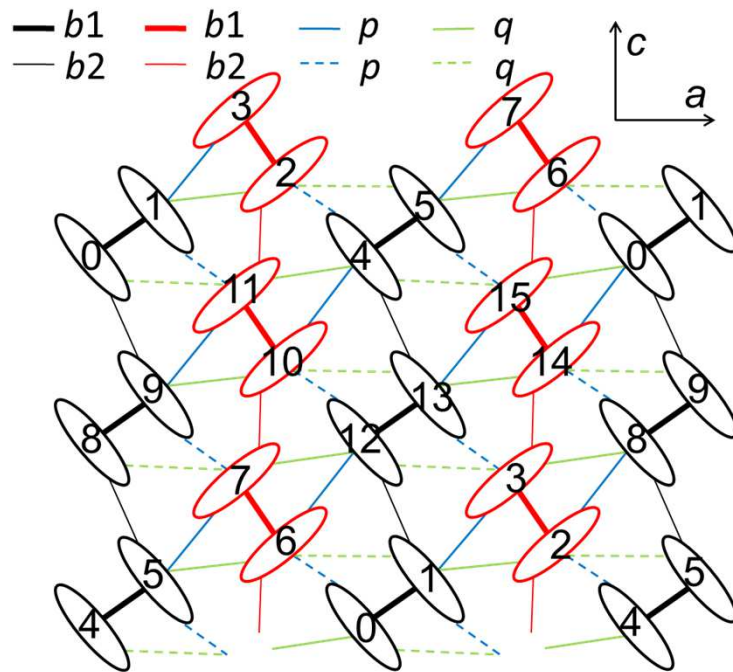
Y. Kawakami, S. Iwai et al.,
PRL **103**, 066403 (2009).

Y. Kawakami, KY, S. Iwai et al.,
Nat. Photon. **12**, 474 (2018).



Stimulated emission is observed in κ -(BEDT-TTF)₂Cu[N(CN)₂]Br only after a strong pulse: **similarity** to a negative-temperature state

2D 3/4-filled Hubbard model for κ -(ET)₂X



$$H = \sum_{\langle i,j \rangle \sigma} t_{ij} (c_{i,\sigma}^\dagger c_{j,\sigma} + c_{j,\sigma}^\dagger c_{i,\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Exact diagonalization

Photoexcitation

$$c_{i,\sigma}^\dagger c_{j,\sigma} \rightarrow \exp \left[\frac{ie}{\hbar c} \mathbf{r}_{ij} \cdot \mathbf{A}(t) \right] c_{i,\sigma}^\dagger c_{j,\sigma}$$

where $\mathbf{r}_{ij} = \mathbf{r}_j - \mathbf{r}_i$,
substituted into Hamiltonian H

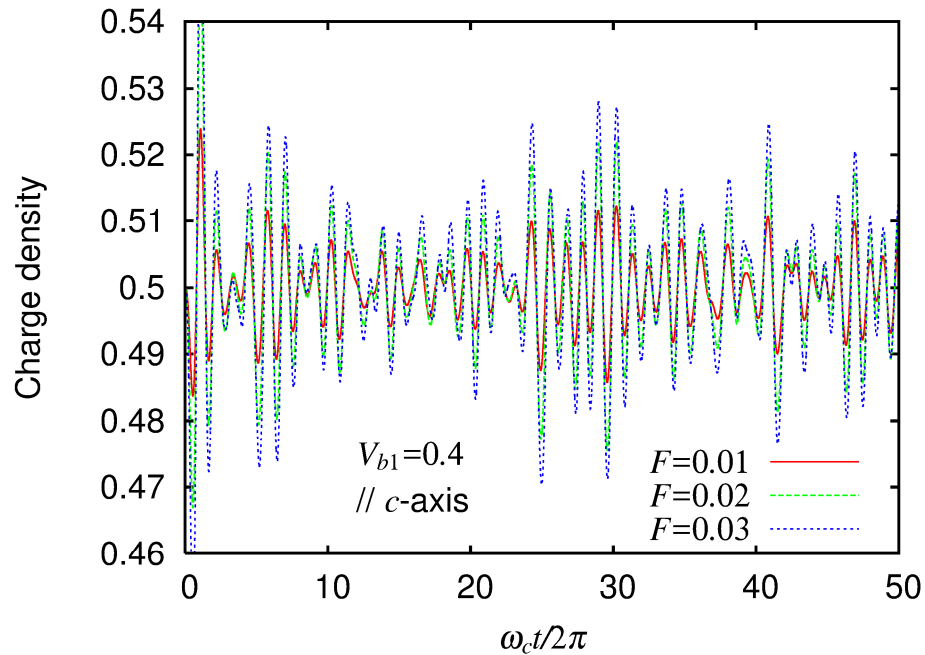
1-cycle pulse

$$\mathbf{A}(t) = \frac{c\mathbf{F}}{\omega} [\cos(\omega t) - 1] \theta(t) \theta\left(\frac{2\pi}{\omega} - t\right) \quad \mathbf{F} \parallel a \text{ or } c$$

Time evolution

time-dependent Schrödinger eq.

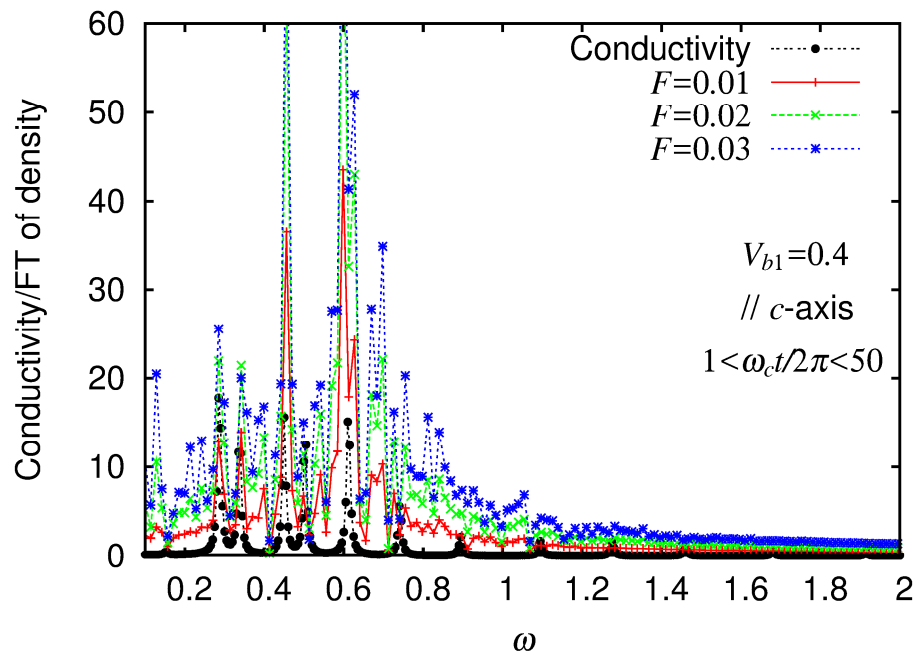
$$i\hbar \frac{\partial}{\partial t} \Psi = H\{\mathbf{A}(t)\} \Psi$$



Time profile of charge density at $i=0$

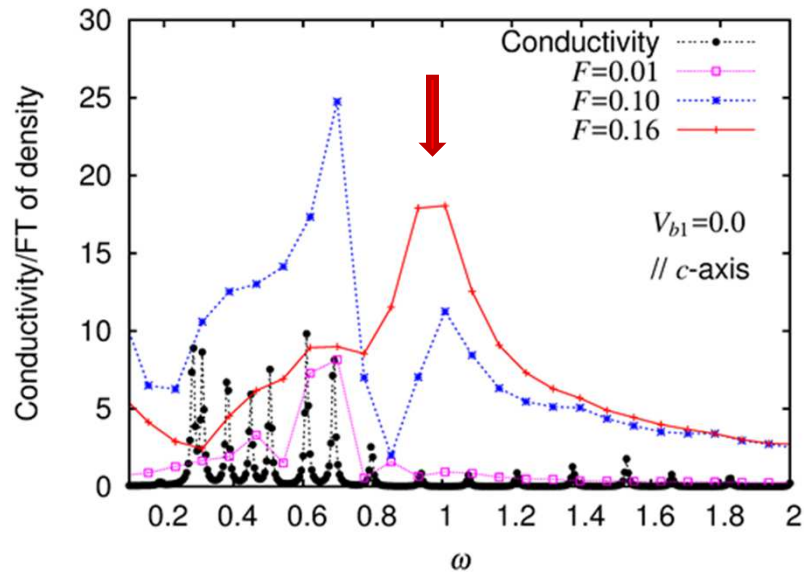
$$\left(2 - \sum_{\sigma} \langle c_{i,\sigma}^+ c_{i,\sigma} \rangle \right)$$

Fourier transform
after photoexcitation



For small F ,
FT of charge-density time profile
shows peaks at energies where
 $\sigma(\omega)$ has peaks.

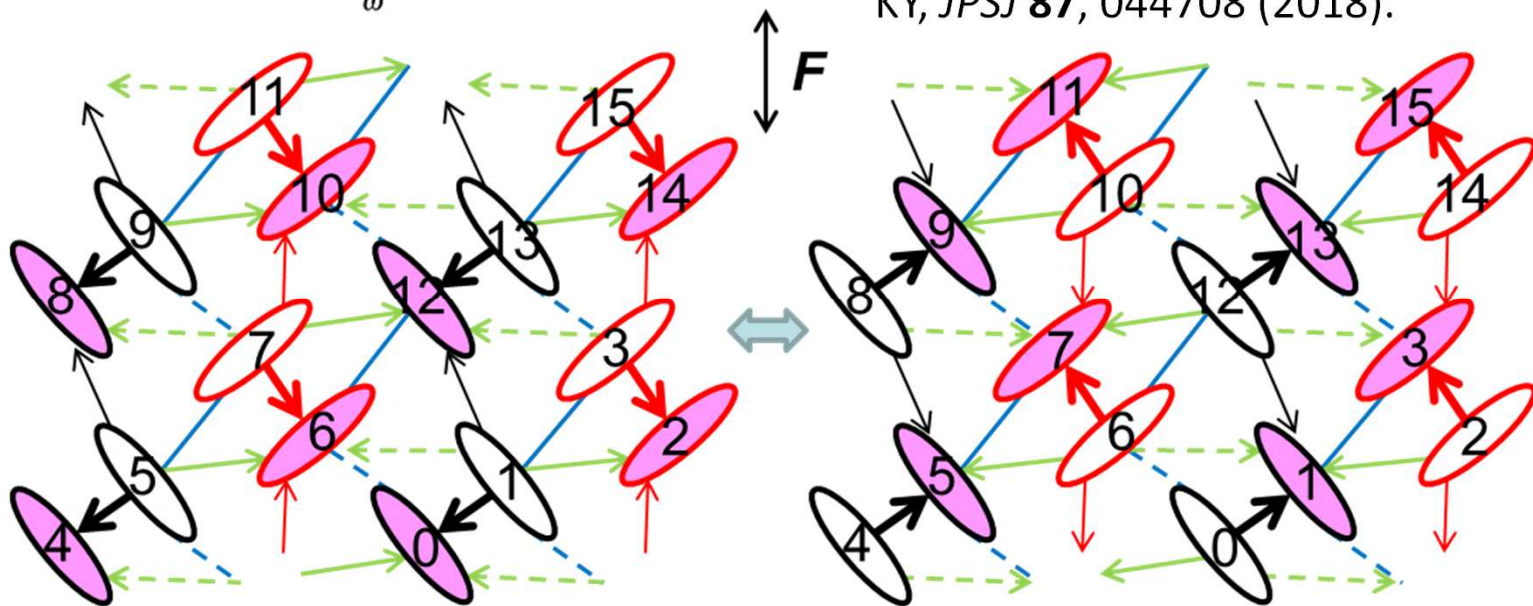
KY, *JPSJ* **87**, 044708 (2018).



For **large F**,
 FT of charge-density time profile
 shows a peak at ω_{osc} on the high-
 energy side of $\sigma(\omega)$.

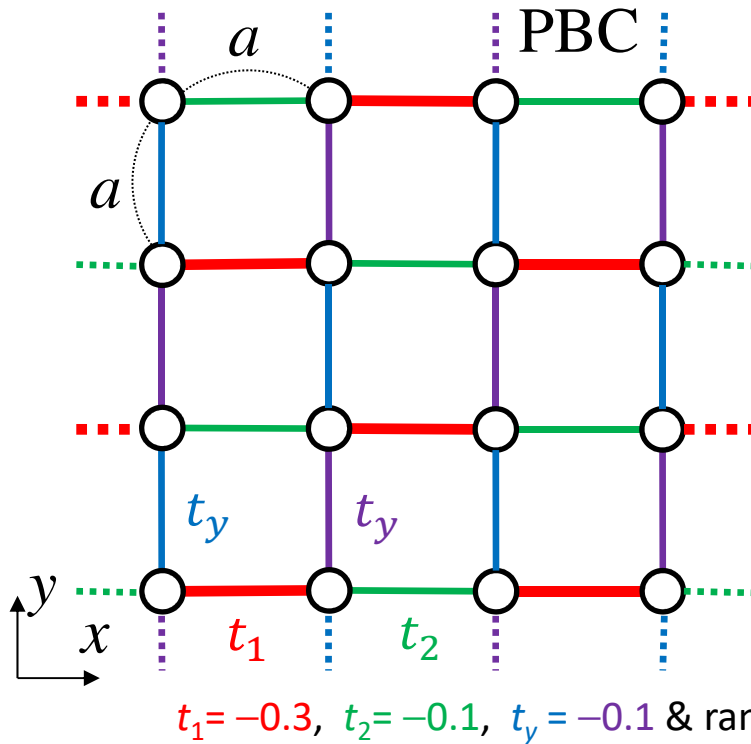
$$\omega_{\text{osc}} = 2(|t_{b1}| + |t_{b2}| + 2|t_q|)$$

KY, *JPSJ* **87**, 044708 (2018).



Single frequency in spite of different $|t|$ and $r_{ij} \rightarrow$ **Synchronization?**

To investigate whether synchronization occurs



$$H = \sum_{\langle i,j \rangle \sigma} t_{ij} (c_{i,\sigma}^\dagger c_{j,\sigma} + c_{j,\sigma}^\dagger c_{i,\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

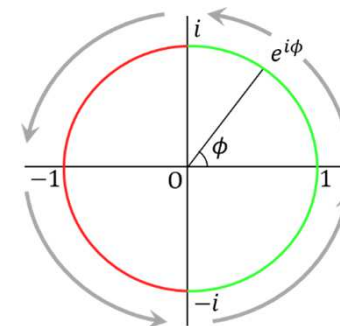
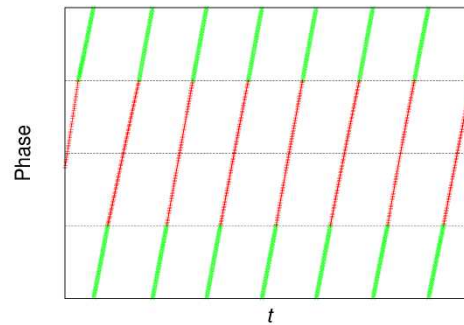
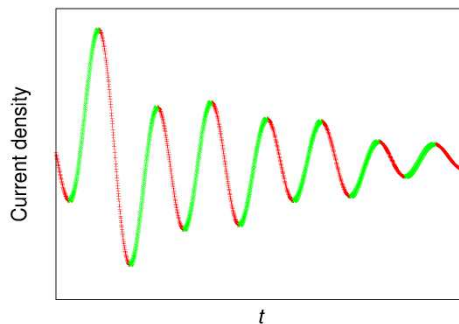
at 3/4 filling

Random numbers added on every bond:

$$t_{ij} \rightarrow t_{ij} (1 + \delta_{ij}) \quad \text{where } \delta_{ij} \in [-\varepsilon, \varepsilon]$$

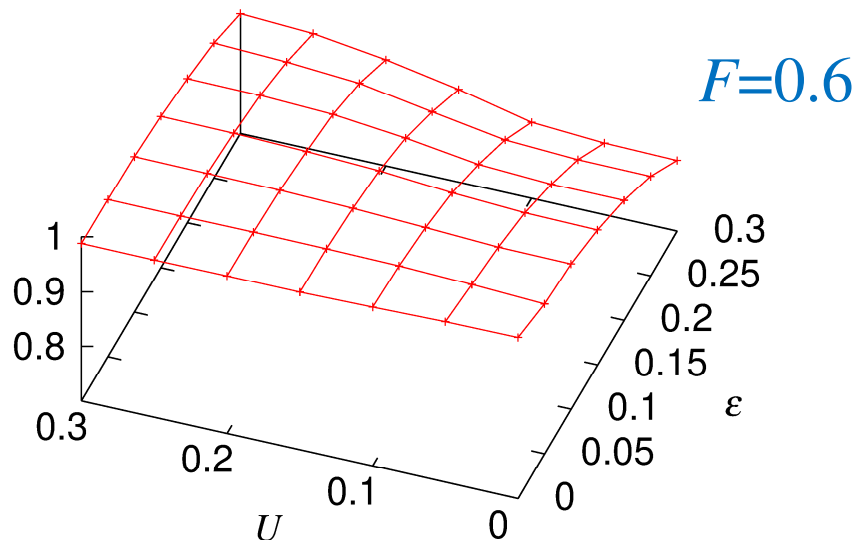
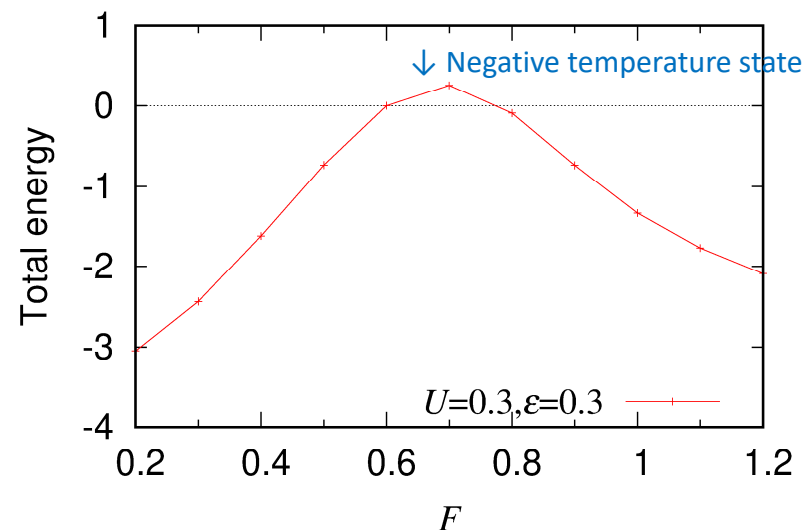
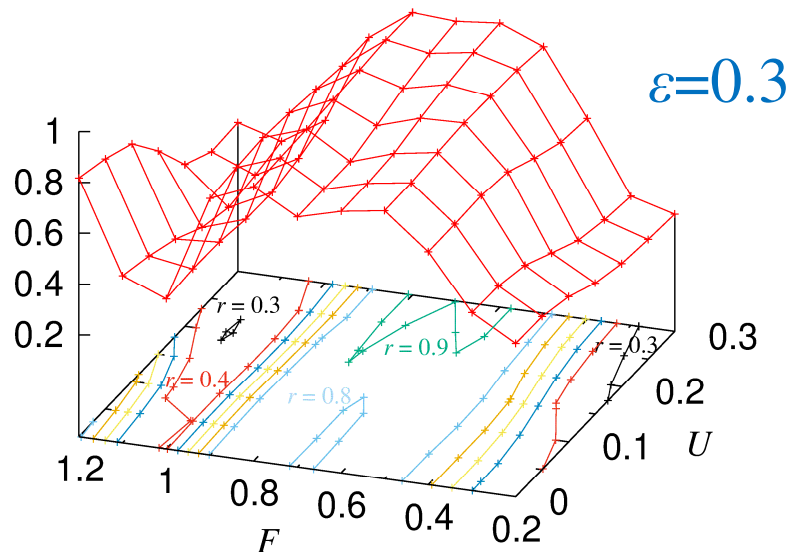
Synchronization order parameter: $r(t)$

$$r(t) e^{i\psi(t)} = \frac{1}{M} \sum_{m=1}^M e^{i\phi_m(t)}$$



Synchronization order parameter

(average over $3T < t < 6T$ after excitation $0 < t < T$ & average over random number distributions)



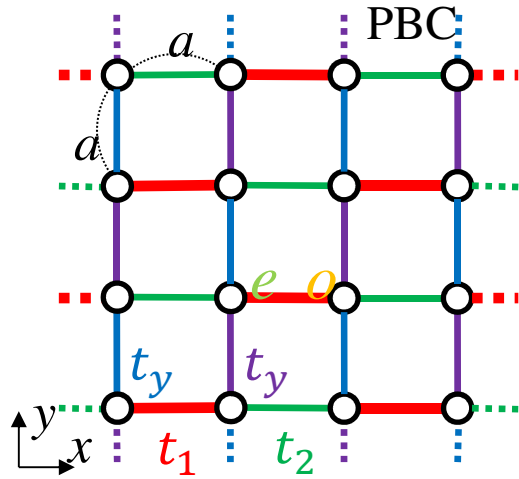
Randomness ϵ decreases the order parameter.



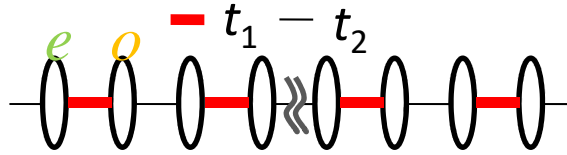
Competition

On-site repulsion U increases the order parameter.

To investigate **how synchronization** occurs



Generally, on a dimerized lattice



$$\begin{pmatrix} e_{k\sigma}^\dagger & o_{k\sigma}^\dagger \end{pmatrix} = \begin{pmatrix} e'_{k\sigma}^\dagger & o'_{k\sigma}^\dagger \end{pmatrix} \begin{pmatrix} e^{-i\frac{\phi_k}{2}} & 0 \\ 0 & e^{i\frac{\phi_k}{2}} \end{pmatrix}$$

$$\begin{pmatrix} e'_{k\sigma}^\dagger & o'_{k\sigma}^\dagger \end{pmatrix} = \begin{pmatrix} a_{k\sigma}^\dagger & b_{k\sigma}^\dagger \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}$$

$$H_{MF} = \sum_{k\sigma} \begin{pmatrix} e_{k\sigma}^\dagger & o_{k\sigma}^\dagger \end{pmatrix} \begin{pmatrix} \frac{U}{2}\delta n & h(\mathbf{k}) \\ h^*(\mathbf{k}) & -\frac{U}{2}\delta n \end{pmatrix} \begin{pmatrix} e_{k\sigma} \\ o_{k\sigma} \end{pmatrix}$$

$$H_{MF} = \sum_{k\sigma} \begin{pmatrix} a_{k\sigma}^\dagger & b_{k\sigma}^\dagger \end{pmatrix} \begin{pmatrix} -h'(\mathbf{k}) & \frac{U}{2}\delta n \\ \frac{U}{2}\delta n & h'(\mathbf{k}) \end{pmatrix} \begin{pmatrix} a_{k\sigma} \\ b_{k\sigma} \end{pmatrix}$$

(antibonding & bonding)

$$\delta n = \langle e_{i\sigma}^\dagger e_{i\sigma} - o_{i\sigma}^\dagger o_{i\sigma} \rangle = \frac{1}{N} \sum_{\mathbf{k}} \langle e_{k\sigma}^\dagger e_{k\sigma} - o_{k\sigma}^\dagger o_{k\sigma} \rangle = \frac{1}{2N} \sum_{k\sigma} \langle a_{k\sigma}^\dagger b_{k\sigma} + b_{k\sigma}^\dagger a_{k\sigma} \rangle$$

$$r_{1k\sigma} = \langle a_{k\sigma}^\dagger b_{k\sigma} + b_{k\sigma}^\dagger a_{k\sigma} \rangle$$

$r_{1k\sigma}$: Density difference between e&o sublattices

$$r_{2k\sigma} = \langle -i a_{k\sigma}^\dagger b_{k\sigma} + i b_{k\sigma}^\dagger a_{k\sigma} \rangle$$

$r_{2k\sigma}$: Current density between e&o sublattices

$$r_{3k\sigma} = \langle a_{k\sigma}^\dagger a_{k\sigma} - b_{k\sigma}^\dagger b_{k\sigma} \rangle$$

$r_{3k\sigma}$: Bond density between e&o sublattices

Bloch equations for Larmor precession under “magnetic” field

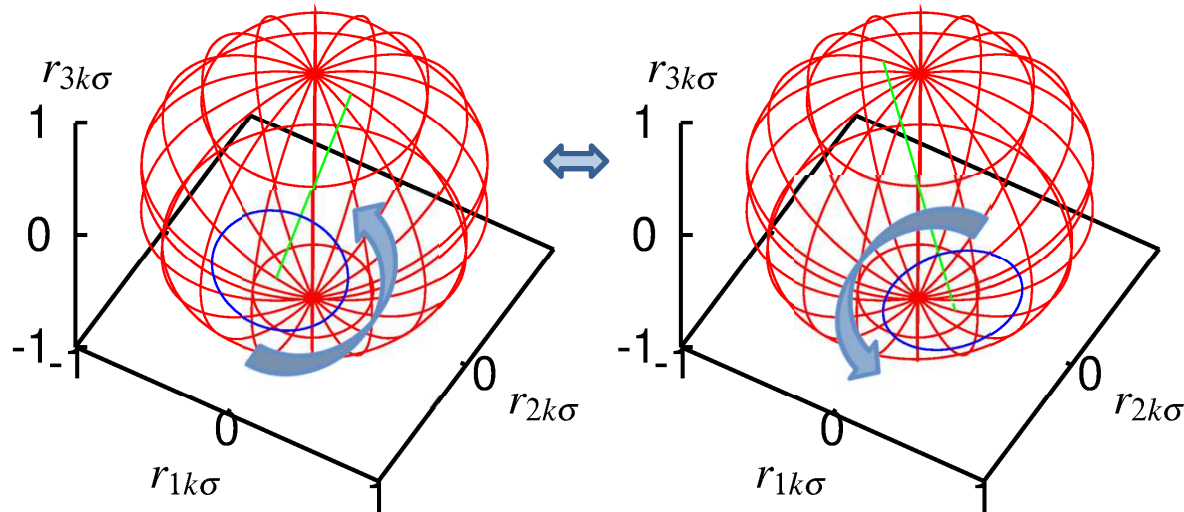
$$\begin{aligned}\dot{r}_{1k\sigma} &= 2h'(\mathbf{k}) r_{2k\sigma} \\ \dot{r}_{2k\sigma} &= -2h'(\mathbf{k}) r_{1k\sigma} - 2\Omega r_{3k\sigma} \\ \dot{r}_{3k\sigma} &= 2\Omega r_{2k\sigma}\end{aligned}$$

$$\Omega \equiv \frac{U}{2} \delta n = \frac{U}{4N} \sum_{k\sigma} r_{1k\sigma}$$

Static $\delta n \neq 0$ solution is absent for $U > 0$.

$$\dot{\mathbf{r}}_{k\sigma}(t) = \mathbf{B}_k(t) \times \mathbf{r}_{k\sigma}(t)$$

$$\mathbf{B}_k(t) = (B_{1k}(t), B_{2k}, B_{3k}) = (2\Omega(t) = U\delta n(t), 0, -2h'(\mathbf{k}) > 0)$$



$r_{1k\sigma}$: Density difference $r_{2k\sigma}$: Current density $r_{3k\sigma}$: Bond density

$U > 0$ and $V < 0$ enhance the current flow, both in k-space and in r-space.

Dynamics in Hubbard model on **1D** dimerized lattice

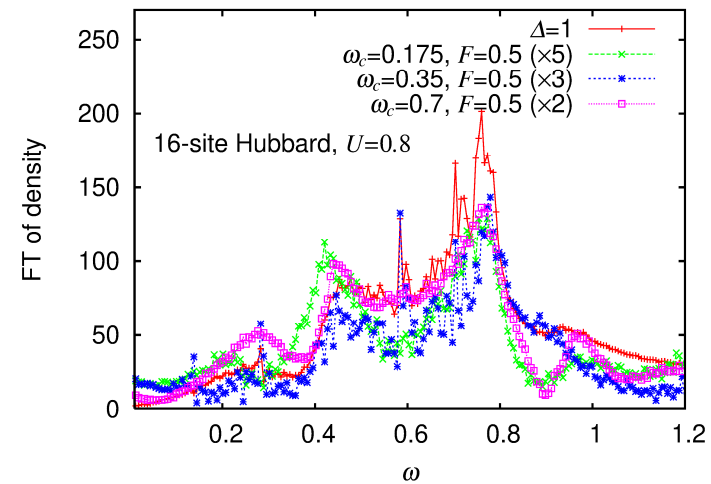
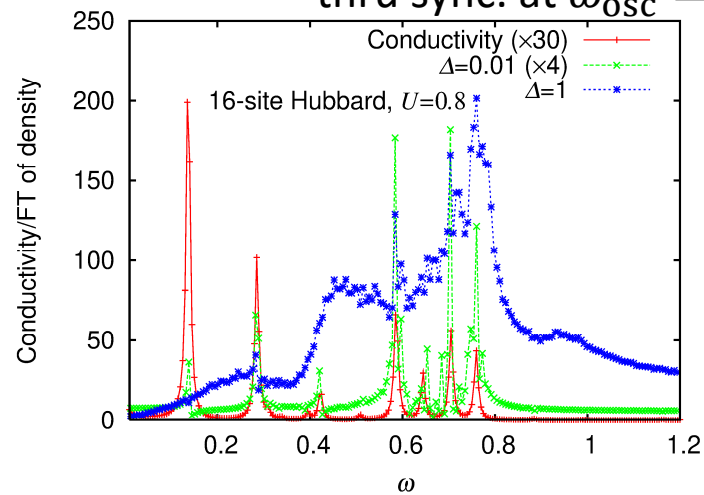
Near-equilibrium vs. far-from-equilibrium:

Linear charge oscillations vs. electronic breathing mode

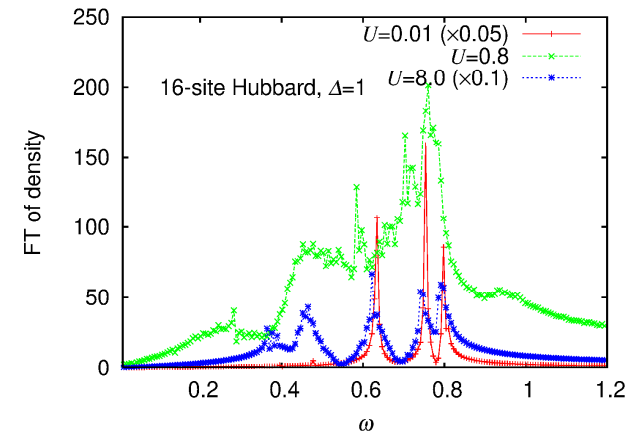
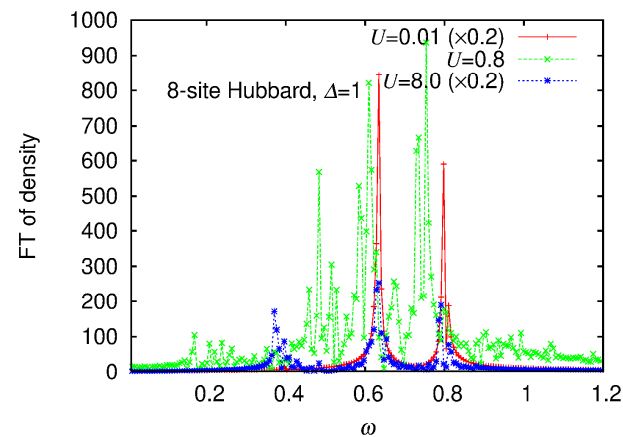
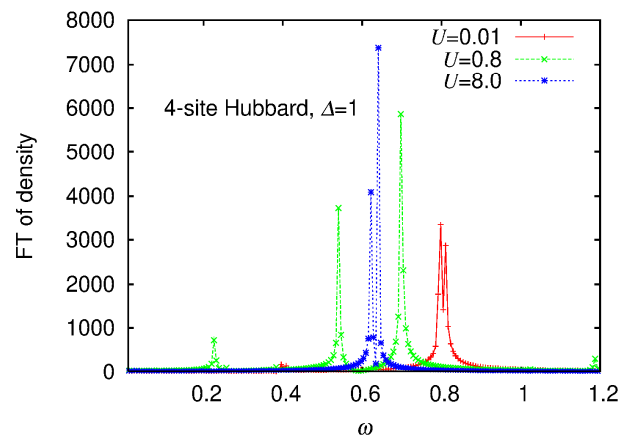
thru sync. at $\omega_{osc} = 2 \sum |t_{eo}| = 0.8$

Far-from-equilibrium:

Independence from the initial condition



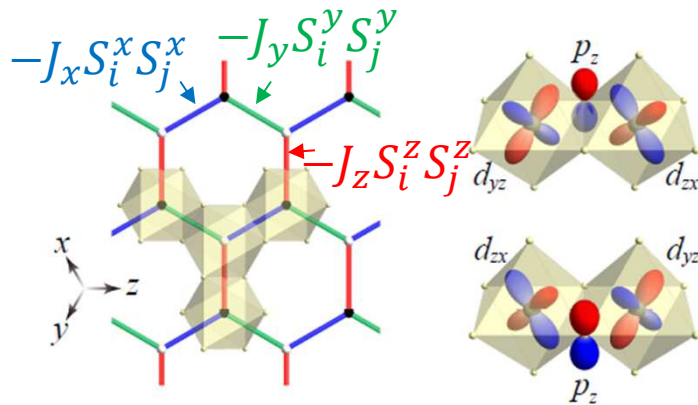
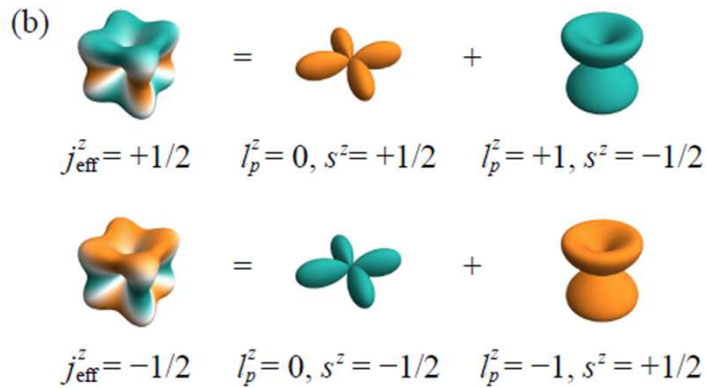
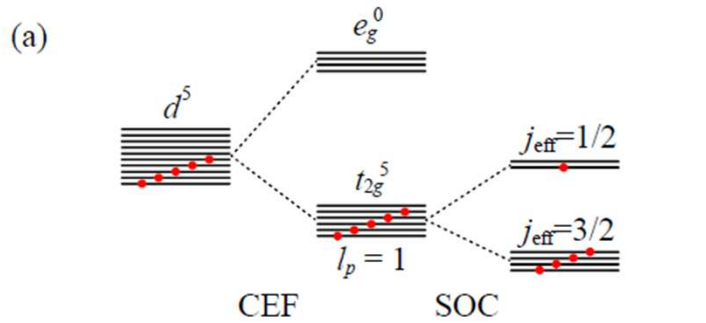
System-size dependence: **small U** and **large U** limits = integrable; no **synchronization**



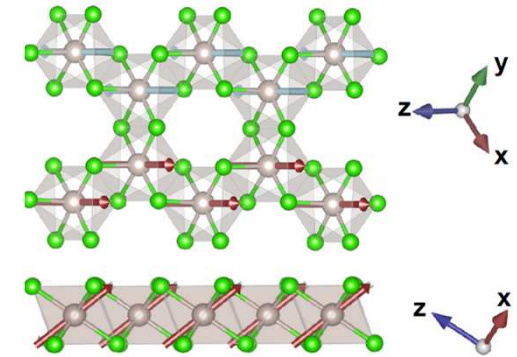
Summary on synch. charge motion in **dimer** lattices

- Charge oscillation **after strong** pulse excitation.
- Synchronization even with different transfer integrals (with random numbers added to).
- On-site repulsion U gives bond-**independent** force that **enhances** current flow.
 - Rotation axis in Bloch equation tilts into the **opposite** direction from that for SC, CDW, etc.

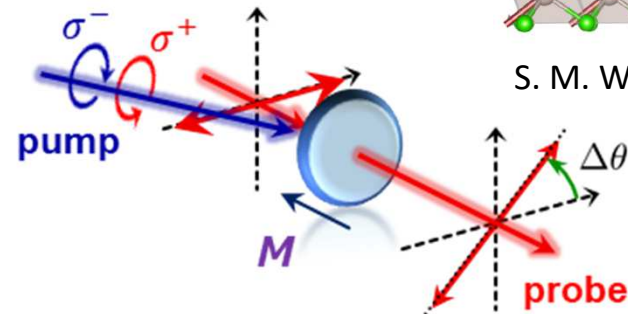
Quantum spin-liquid system: α -RuCl₃



Y. Motome and J. Nasu, JPSJ 2020



S. M. Winter et al., PRB 2016



T. Amano, KY, S. Iwai et al., PRR 2022

Theories

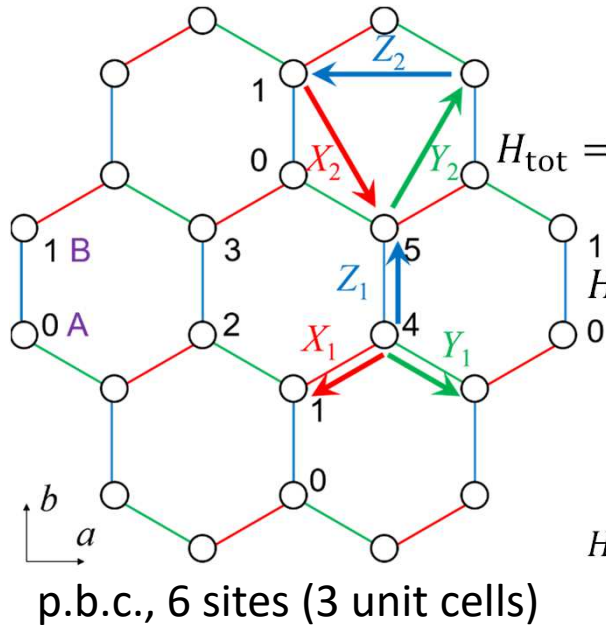
- A. Kitaev, Ann. Phys. 321, 2 (2006)
- G. Jackeli and G. Khaliullin, PRL 102, 017205 (2009)
- H.-S. Kim and H.-Y. Kee, PRB 93, 155143 (2016).
- S. M. Winter et al., PRB 93, 214431 (2016).

Experiments

- L. J. Sandilands et al., PRB 93, 075144 (2016).
- L. J. Sandilands et al., PRB 94, 195156 (2016).
- P. Warzanowski et al., PRR 2, 042007(R) (2020).¹⁴

Three-orbital Hubbard model for Kitaev materials

S. M. Winter, Y. Li, H. O. Jeschke, and Roser Valentí, PRB2016



$$H_{\text{tot}} = H_{\text{hop}} + H_{\text{CF}} + H_{\text{SO}} + H_U$$

$$\vec{c}_i^\dagger = (c_{i,yz,\uparrow}^\dagger, c_{i,yz,\downarrow}^\dagger, c_{i,xz,\uparrow}^\dagger, c_{i,xz,\downarrow}^\dagger, c_{i,xy,\uparrow}^\dagger, c_{i,xy,\downarrow}^\dagger)$$

(hole picture)

$$H_{\text{hop}} = - \sum_{ij} \vec{c}_i^\dagger \{ \mathbf{T}_{ij} \otimes \mathbb{I}_{2 \times 2} \} \vec{c}_j$$

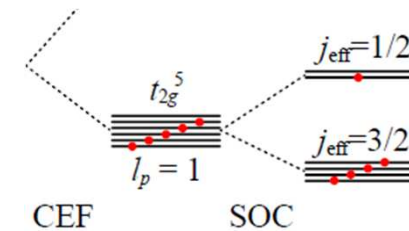
$$\mathbf{T}_1^X = \begin{pmatrix} t_3 & t_4 & t_4 \\ t_4 & t_1 & t_2 \\ t_4 & t_2 & t_1 \end{pmatrix}$$

$$\mathbf{T}_1^Y = \begin{pmatrix} t_1 & t_4 & t_2 \\ t_4 & t_3 & t_4 \\ t_2 & t_4 & t_1 \end{pmatrix}$$

$$\mathbf{T}_1^Z = \begin{pmatrix} t_1 & t_2 & t_4 \\ t_2 & t_1 & t_4 \\ t_4 & t_4 & t_3 \end{pmatrix}$$

$$H_{\text{SO}} = \frac{\lambda}{2} \sum_i \vec{c}_i^\dagger \begin{pmatrix} 0 & -i\sigma_z & i\sigma_y \\ i\sigma_z & 0 & -i\sigma_x \\ -i\sigma_y & i\sigma_x & 0 \end{pmatrix} \vec{c}_i$$

$$H_U = U, U', J_H \text{ terms} \quad U' = U - 2J_H$$



CEF SOC

Y. Motome and J. Nasu, JPSJ 2020

$J_{\text{eff}} = \frac{1}{2}$: half filled

$$\left| \frac{1}{2}, \frac{1}{2} \right\rangle = p_\uparrow^\dagger |0\rangle \equiv \frac{1}{\sqrt{3}} (-c_{xy,\uparrow}^\dagger - ic_{xz,\downarrow}^\dagger - c_{yz,\downarrow}^\dagger) |0\rangle$$

$$\left| \frac{1}{2}, -\frac{1}{2} \right\rangle = p_\downarrow^\dagger |0\rangle \equiv \frac{1}{\sqrt{3}} (c_{xy,\downarrow}^\dagger + ic_{xz,\uparrow}^\dagger - c_{yz,\uparrow}^\dagger) |0\rangle$$

$$m_x^{(1/2)} \equiv \frac{1}{2} \langle p_\uparrow^\dagger p_\downarrow + p_\downarrow^\dagger p_\uparrow \rangle$$

$$m_y^{(1/2)} \equiv \frac{1}{2} \langle -ip_\uparrow^\dagger p_\downarrow + ip_\downarrow^\dagger p_\uparrow \rangle$$

$$m_z^{(1/2)} \equiv \frac{1}{2} \langle p_\uparrow^\dagger p_\uparrow - p_\downarrow^\dagger p_\downarrow \rangle$$

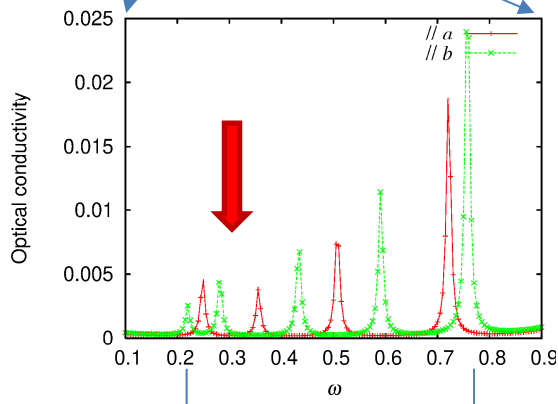
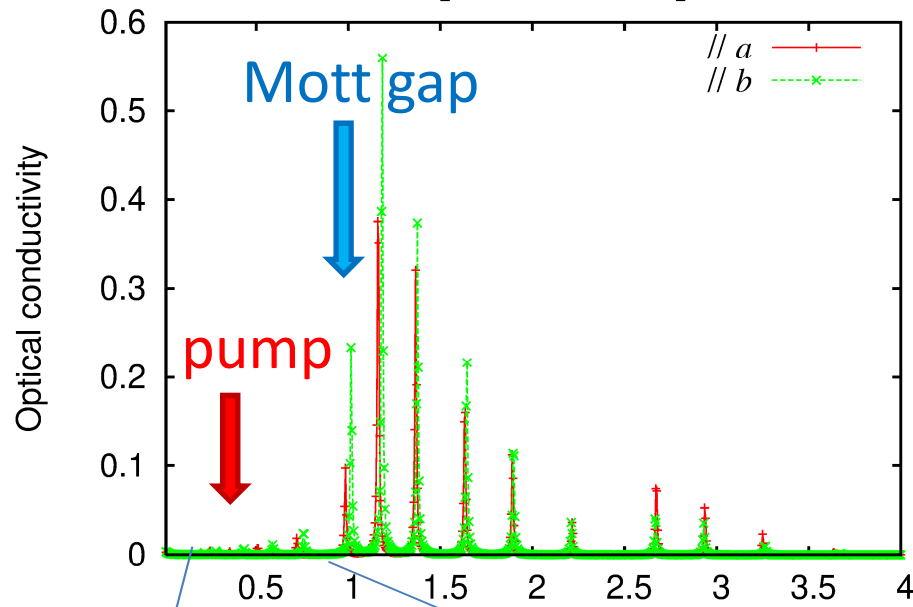
$$m_\perp^{(1/2)} \equiv \frac{1}{\sqrt{3}} (m_x^{(1/2)} + m_y^{(1/2)} + m_z^{(1/2)})$$

“Spin-orbit assisted Mott insulator”

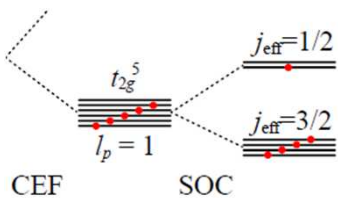
- Hopping induced mixing between $J_{\text{eff}} = \frac{1}{2}$ and $J_{\text{eff}} = \frac{3}{2}$ is suppressed by Coulomb interaction -> half-filled Mott insulator.
- Excitation of in-gap states -> charge dynamics.

Ground-state results: optical conductivity

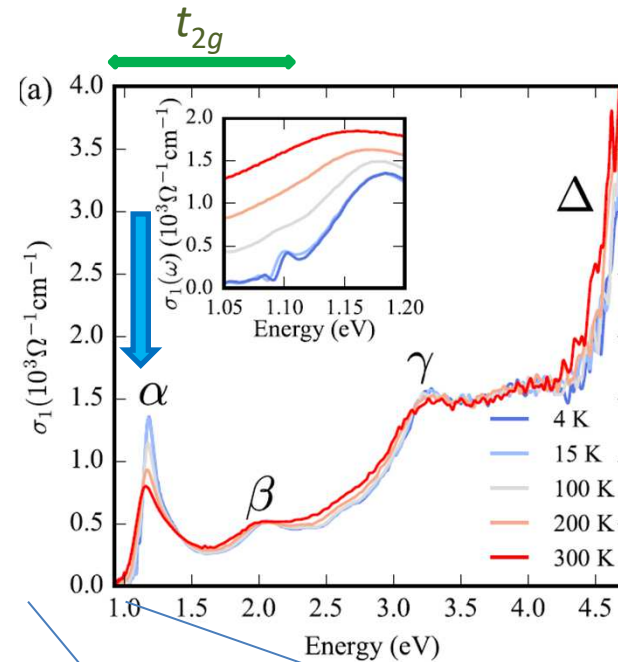
$$c_{i,a,\sigma}^\dagger c_{j,b,\sigma} \rightarrow \exp\left[-\frac{ie}{\hbar c} \mathbf{r}_{ij} \cdot \mathbf{A}(t)\right] c_{i,a,\sigma}^\dagger c_{j,b,\sigma}$$



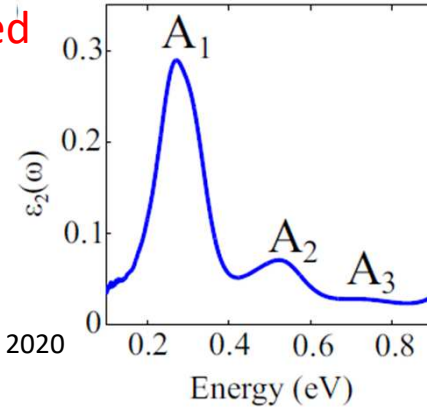
“Spin-orbit assisted Mott insulator”



Y. Motome and J. Nasu, JPSJ 2020



L. J. Sandilands et al., PRB 94, (2016)

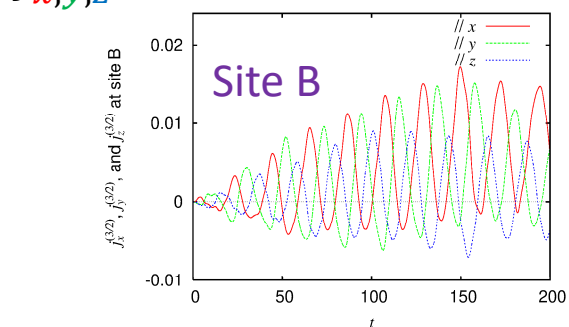
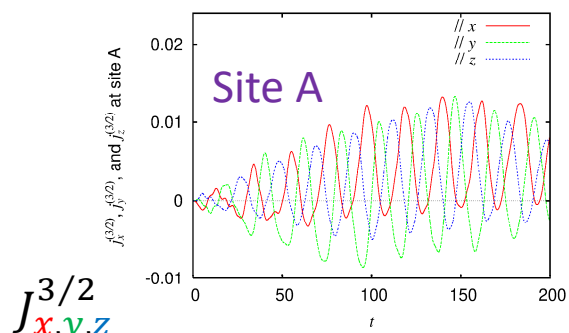
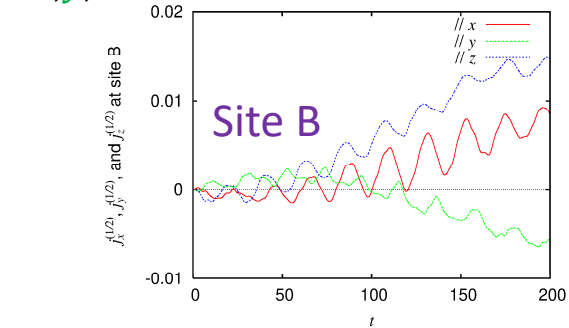
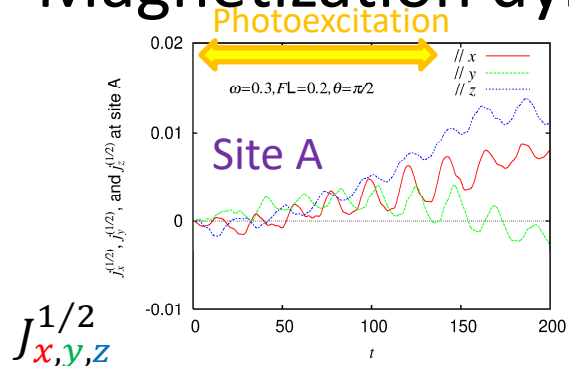


L. J. Sandilands et al., PRB 93, (2016)

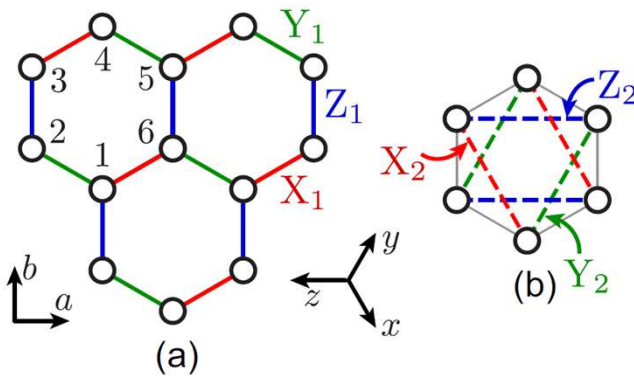
Spin-orbit coupled in-gap states, “spin-orbit excitons,” are excited by ω below the Mott gap.

P. Warzanowski et al., PRR 2, (2020)

Magnetization dynamics induced by circularly polarized light



Strong field case



Quantum Floquet theory for periodically driven systems

$$H(t) = H(t + T)$$

The time-dependent Schrödinger equation,

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = H(t) |\psi(t)\rangle,$$

has a “stationary” solution,

$$|\psi_n(t)\rangle = |u_n(t)\rangle e^{-\frac{i}{\hbar}\varepsilon_n t}, \quad \varepsilon_n: \text{quasi-energy},$$

$$|u_n(t)\rangle = |u_n(t + T)\rangle: \text{Floquet mode.}$$

For stroboscopic evolution,

$$U(t_0 + T, t_0) |\psi_n(t_0)\rangle = e^{-\frac{i}{\hbar}\varepsilon_n T} |\psi_n(t_0)\rangle,$$

the Floquet Hamiltonian $H_{t_0}^F$ defined as

$$U(t_0 + T, t_0) = e^{-\frac{i}{\hbar} T H_{t_0}^F} \quad \text{with} \quad H_{t_0}^F = \sum_n \varepsilon_n |u_n(t_0)\rangle \langle u_n(t_0)|$$

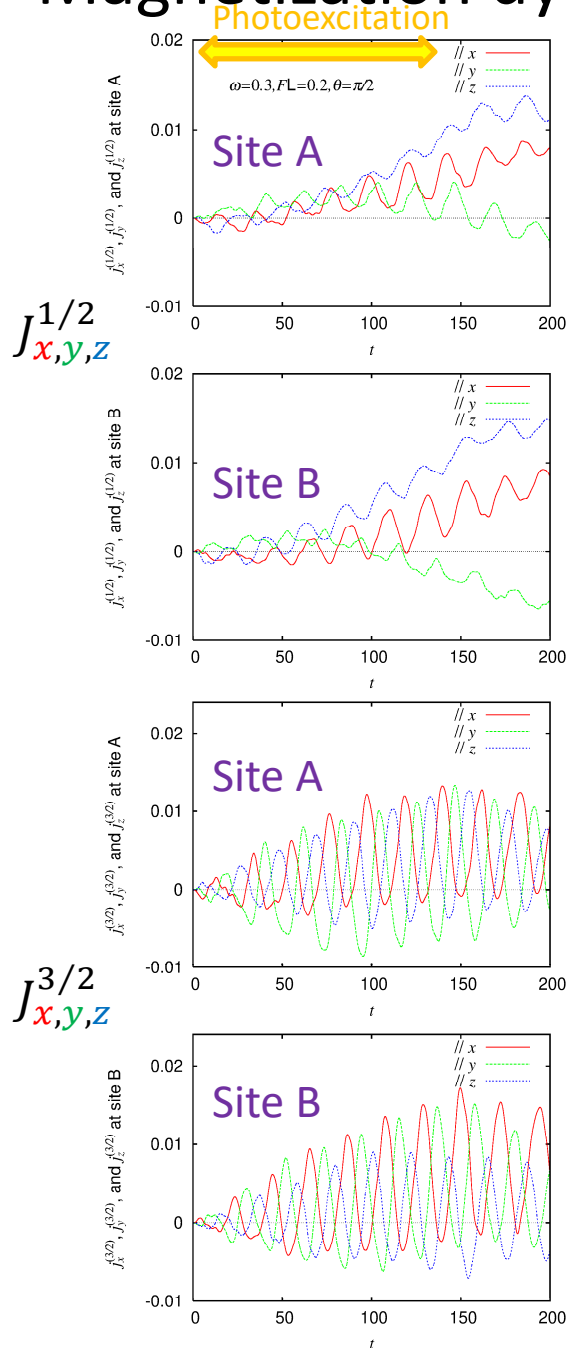
can be obtained perturbatively, e.g., by a high-frequency expansion,

$$H_F = H_F^{(1)} \left[\equiv \frac{1}{T} \int_0^T dt H(t) \right] + H_F^{(2)} \left[\equiv \sum_{m>0} \frac{[H_m, H_{-m}]}{m\hbar\omega} \right] + \dots$$

where

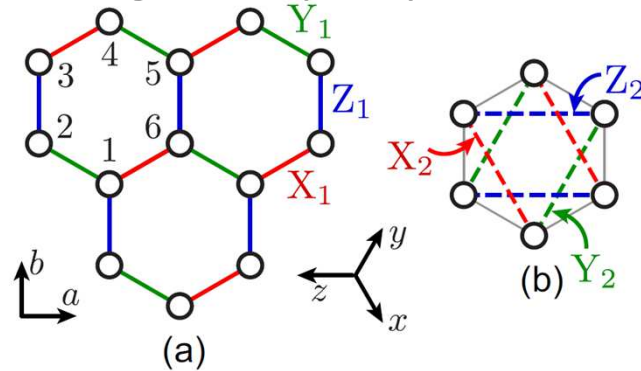
$$H_m = \frac{1}{T} \int_0^T dt e^{-im\omega t} H(t).$$

Magnetization dynamics induced by circularly polarized light



Strong field case

High-freq. expansion in Floquet theory: $H_F^{(2)}$



$$H_F^{(2)} = \sum_{m>0} \frac{[H_m, H_{-m}]}{m\hbar\omega}$$

For left-hand circular polarization and at site A,

$$H_F^{(2,A)} = \sum_{m>0} \frac{1}{m\hbar\omega} \sum_{kab\sigma} J_m^2 \left(\frac{eaF_L}{\hbar\omega} \right) (2i) \sin \frac{2m\pi}{3} (\star)_{ab} c_{k,a,\sigma}^\dagger c_{k,b,\sigma}$$

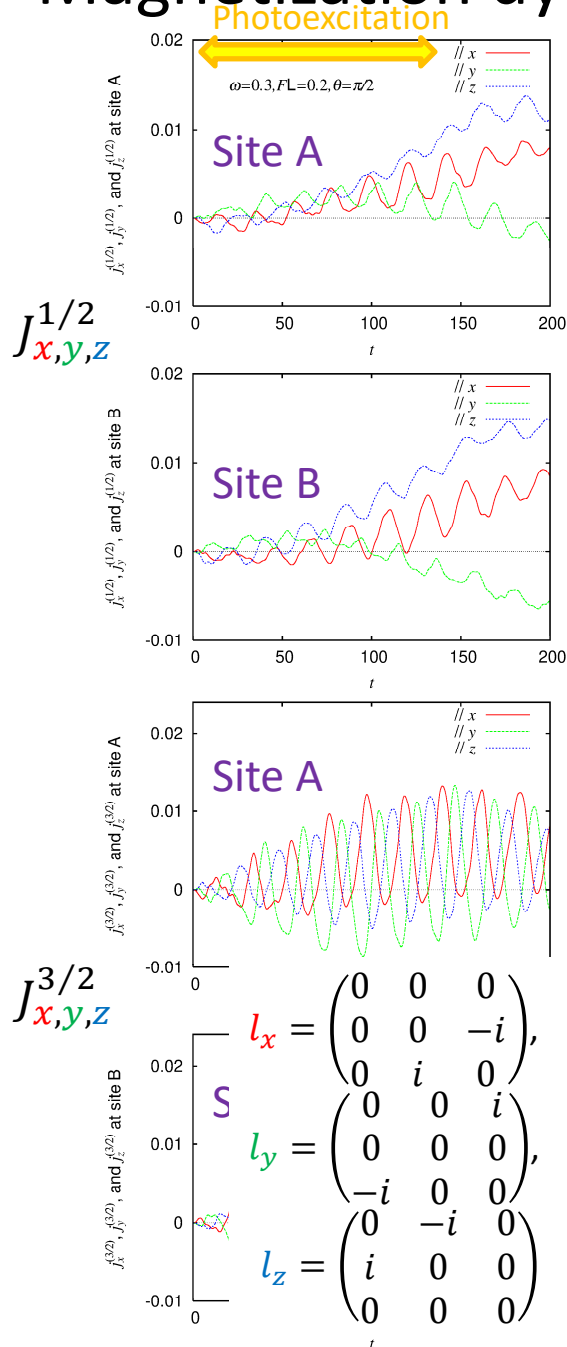
At site B, momenta are reversed.

where

$$\begin{aligned} \star &= e^{ik \cdot X_2} T_1^Y T_1^Z - e^{-ik \cdot X_2} T_1^Z T_1^Y + e^{ik \cdot Y_2} T_1^Z T_1^X - e^{-ik \cdot Y_2} T_1^X T_1^Z + e^{ik \cdot Z_2} T_1^X T_1^Y - e^{-ik \cdot Z_2} T_1^Y T_1^X \\ &= [T_1^Y, T_1^Z] \cos \mathbf{k} \cdot X_2 + [T_1^Z, T_1^X] \cos \mathbf{k} \cdot Y_2 + [T_1^X, T_1^Y] \cos \mathbf{k} \cdot Z_2 \\ &\quad + i\{T_1^Y, T_1^Z\} \sin \mathbf{k} \cdot X_2 + i\{T_1^Z, T_1^X\} \sin \mathbf{k} \cdot Y_2 + i\{T_1^X, T_1^Y\} \sin \mathbf{k} \cdot Z_2 \end{aligned}$$

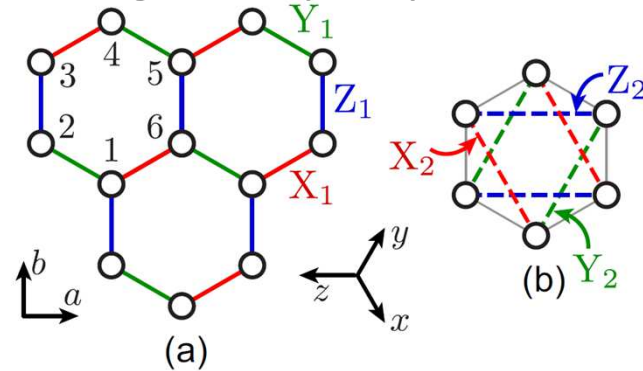
- Single-orbital systems have only \mathbf{k} -odd terms with anticommutators.
- Multi-orbital systems have \mathbf{k} -even terms with commutators, in addition.

Magnetization dynamics induced by circularly polarized light



Strong field case

High-freq. expansion in Floquet theory: $H_F^{(2)}$



$$H_F^{(2)} = \sum_{m>0} \frac{[H_m, H_{-m}]}{m\hbar\omega}$$

For left-hand circular polarization and at site A,

$$H_F^{(2,A)} = \sum_{m>0} \frac{1}{m\hbar\omega} \sum_{kab\sigma} J_m^2 \left(\frac{eaF_L}{\hbar\omega} \right) (2i) \sin \frac{2m\pi}{3} (\star)_{ab} c_{k,a,\sigma}^\dagger c_{k,b,\sigma}$$

At site B, momenta are reversed.

where

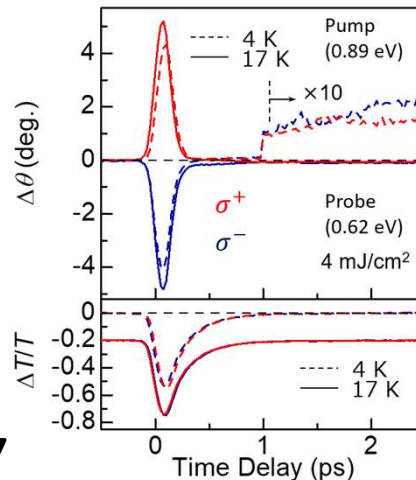
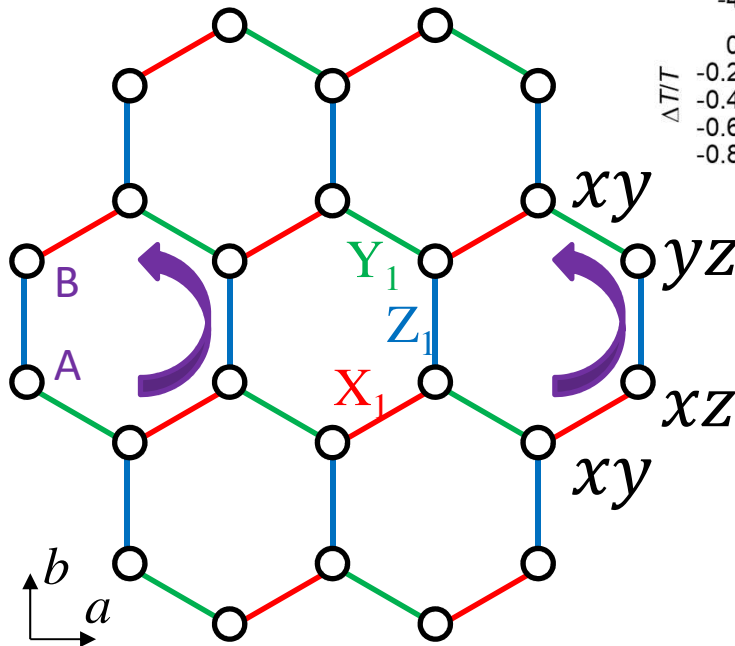
$$\begin{aligned} \star &= e^{ik \cdot X_2} T_1^Y T_1^Z - e^{-ik \cdot X_2} T_1^Z T_1^Y + e^{ik \cdot Y_2} T_1^Z T_1^X - e^{-ik \cdot Y_2} T_1^X T_1^Z + e^{ik \cdot Z_2} T_1^X T_1^Y - e^{-ik \cdot Z_2} T_1^Y T_1^X \\ &= [T_1^Y, T_1^Z] \cos \mathbf{k} \cdot X_2 + [T_1^Z, T_1^X] \cos \mathbf{k} \cdot Y_2 + [T_1^X, T_1^Y] \cos \mathbf{k} \cdot Z_2 \\ &\quad + i\{T_1^Y, T_1^Z\} \sin \mathbf{k} \cdot X_2 + i\{T_1^Z, T_1^X\} \sin \mathbf{k} \cdot Y_2 + i\{T_1^X, T_1^Y\} \sin \mathbf{k} \cdot Z_2 \end{aligned}$$

- Single-orbital systems have only \mathbf{k} -odd terms with anticommutators.
- Multi-orbital systems have \mathbf{k} -even terms with commutators, in addition.

$$\begin{aligned} H_F^{(2)} &\simeq \frac{1}{\hbar\omega} \sum_{iab\sigma} J_1^2 \left(\frac{eaF_L}{\hbar\omega} \right) (2i) \sin \frac{2\pi}{3} ([T_1^Y, T_1^Z] + [T_1^Z, T_1^X] + [T_1^X, T_1^Y])_{ab} c_{i,a,\sigma}^\dagger c_{i,b,\sigma} \\ &\simeq \frac{1}{\hbar\omega} J_1^2 \left(\frac{eaF_L}{\hbar\omega} \right) \sqrt{3} (t_2 - t_4) [t_2 - t_4 + 2(t_3 - t_1)] < 0 \text{ for L, } > 0 \text{ for R} \\ &\quad \times \sum_{i\sigma} (c_{i,yz,\sigma}^\dagger \quad c_{i,xz,\sigma}^\dagger \quad c_{i,xy,\sigma}^\dagger) \begin{pmatrix} 0 & -i & i \\ i & 0 & -i \\ -i & i & 0 \end{pmatrix} \begin{pmatrix} c_{i,yz,\sigma} \\ c_{i,xz,\sigma} \\ c_{i,xy,\sigma} \end{pmatrix} \end{aligned}$$

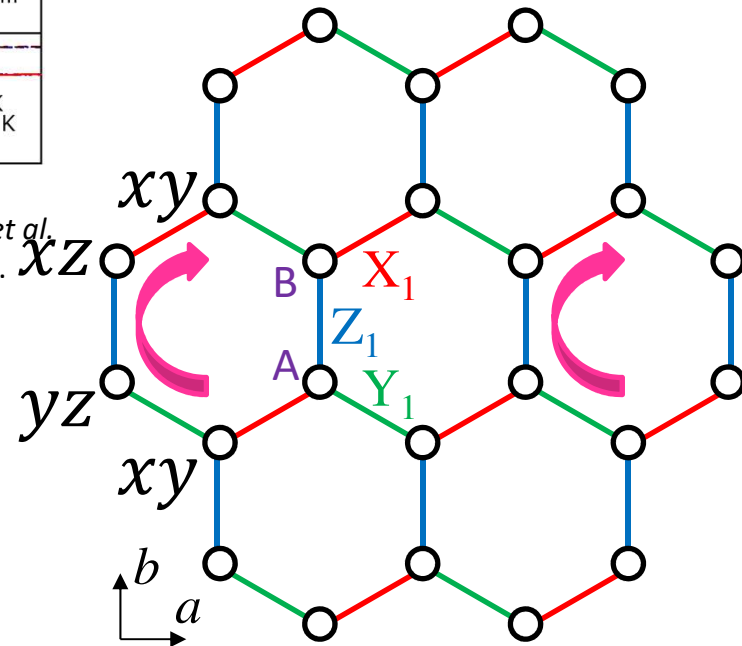
Emergence of angular momentum in **multi-orbital** systems

- Left-hand circular pol.



T. Amano, KY, S. Iwai *et al.*
Phys. Rev. Res. (2022).

- Right-hand circular pol.



- Main transitions when (interorbital) t_2 processes are dominant.

- Quantitatively, (intraorbital) t_3 processes are also important. $\mathbf{T}_1^Z = \begin{pmatrix} t_1 & t_2 & t_4 \\ t_2 & t_1 & t_4 \\ t_4 & t_4 & t_3 \end{pmatrix}$

Summary on effective mag. fields in **multi-orb.** systems

- Photoinduced magnetization in α -RuCl₃: explained by Floquet theory.
- $H_F^{(2)} = \sum_{m>0} \frac{[H_m, H_{-m}]}{m\hbar\omega}$ gives a helicity-dependent effective magnetic field on $l_{\text{eff}} \perp$ honeycomb plane.
- $H_{F,SO}^{(3)} \equiv \sum_{m \neq 0} \frac{[H_{-m}, [H_{SO}, H_m]]}{2(m\hbar\omega)^2}$ gives rotating effective magnetic fields on l_{eff} & $s \parallel$ honeycomb plane, which are antiparallel between A & B.

Intersite interorbital hopping processes are essential.

Charge DOF in frustrating spin systems for emergent magnetization in the spin-orbit assisted Mott insulator.

\Leftrightarrow effective magnetic fields **deep in the insulating phase.**

A. Sriram and M. Claassen, arXiv 2021, S. Banerjee et al., PRB 2022

Summary

- Now, many-electron states can be manipulated in various manners.
- **Synchronized** charge oscillation
 - Transient charge order
 - Stimulated emission
 - SHG in centrosymmetric systems
(Space-inversion symmetry breaking)
- Effective **magnetic** fields in multi-orbital systems
 - Emergence of magnetization \perp lattice
 - Inverse Faraday effect
 - Time-reversal symmetry breaking