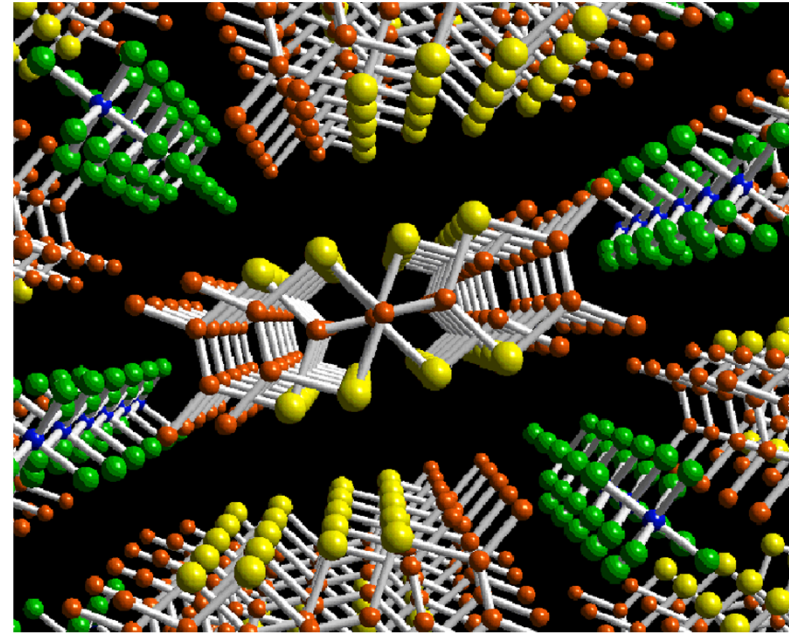
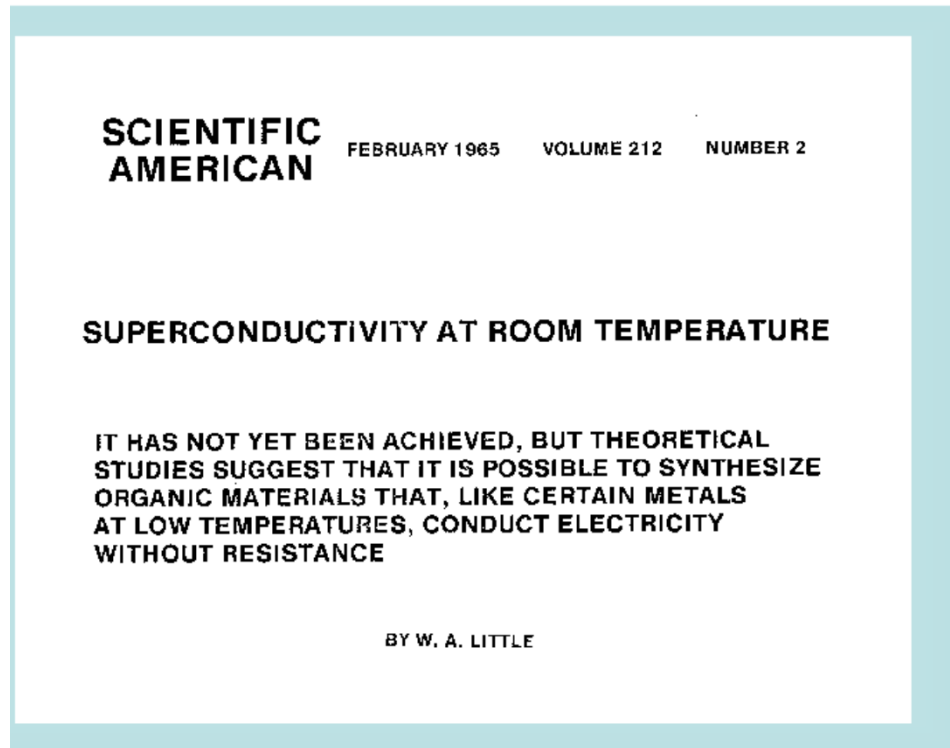


« In the beginning was the Word, ...  
and without him was not anything made that was made »



#### Excursion #4.

Glorious time of early 1980s, superconductivity in organic crystals, after a decade of a crazy and ambitious run over the world, the breakthrough at the LPS in Orsay, Denis Jerome and colleagues. In a crystal stack of a new molecule synthesized by Klaus Bechgaard.

Those days the 1D models were studied to understand the phase diagram of quasi-1D systems – *the source for this excursion*.

The intrigue against common beliefs: the 1D  $T=0$  phase diagram based upon diverging power-law susceptibilities, does not want at all to reproduce itself when electrons are allowed for the interchain hopping.

The system falls to the Fermi-liquid regime unless real or curious “imaginary” gaps appear from external symmetry lowering due to the crystal field or the magnetic field.

*S. Brazovskii & V. Yakovenko,*

*"On the theory of phase transitions in organic superconductors"*

*J. de Physique Lett. & JETP (1985), JETP Letters (1986);*

*V. Yakovenko JETP Lett (1992) and cond-mat (2000 – review and refs.)*

*"Coherence of tunneling between one-dimensional Luttinger liquids"*

## Typical types and order parameters of electronic symmetry breaking

$$\begin{aligned}
 O_{\text{CDW}}(z) &= \sum_{\sigma} \psi_{\sigma,+}^+(z) \psi_{\sigma,-}(z), \\
 O_{\text{SDW}}^{(j)}(z) &= \sum_{\sigma,\sigma'} \psi_{\sigma,+}^+(z) \sigma_{\sigma,\sigma'}^{(j)} \psi_{\sigma',-}(z). \\
 O_{\text{SS}}(z) &= \sum_{\sigma} \sigma \psi_{-\sigma,+}(z) \psi_{\sigma,-}(z), \\
 O_{\text{TS}}^{(j)}(z) &= \sum_{\sigma,\sigma'} \sigma \psi_{-\sigma,+}(z) \sigma_{\sigma,\sigma'}^{(j)} \psi_{\sigma',-}(z)
 \end{aligned}$$

$\sigma$  – Pauli matrices

Corresponding susceptibilities:  $\chi_i(T) = \sum_{\mathbf{m}} \int d^2z \langle O_i^+(z, \mathbf{m}) O_i(0, \mathbf{n}) \rangle$

Phase diagram (PD) of a 3d system of weakly interacting chains is usually supposed to correspond to the conventional 1d PD being defined by divergences of corresponding susceptibilities at  $\mathbf{T} \rightarrow \mathbf{0}$ .

$$\chi_{1d}^{(i)} \sim T^{-\beta_i} + \text{const.}, \quad \beta_i = 2 - \eta_i, \quad i = \text{CDW, SDW, SS, TS}$$

Conditions  $\beta_i > 0$  with choosing the maximal one were giving rise to the PD in coordinates of many coupling constants of the 1D Hamiltonian which influence  $\beta_i$

Typical picture of true phase transitions in quasi 1D systems:  
 on top of T=0 divergences in 1D - interchain coupling of order parameter

$$S_{\perp} = \sum_{i, \mathbf{m}, \mathbf{n}} \int d^2 z \lambda_{\mathbf{m}, \mathbf{n}}^{(i)} O_i^+(z, \mathbf{m}) O_i(z, \mathbf{n}), \quad \lambda_i = \sum_{\mathbf{m}} \lambda_{\mathbf{m}, \mathbf{n}}^{(i)}$$

Given an inter-chain coupling  $\lambda_i$  the total susceptibility yields

$$\chi^{(i)} = \chi_{1d}^{(i)} + \lambda_i (\chi_{1d}^{(i)})^2 + \dots \approx [(\chi_{1d}^{(i)})^{-1} - \lambda_i]^{-1}$$

$$\chi_{1d}^i \sim T^{-\beta_i} \quad \chi^{(i)}(T_c) = \infty, \quad \chi_{1d}^{(i)}(T_c) \sim \lambda_i^{-1}, \quad \beta_i > 0$$

The unattended problem: 3d couplings  $\lambda_i$  do not appear explicitly (except for  $i = \text{CDW}$ ) due to the loss of electronic coherence in the course of an interchain tunneling.  $\lambda$  may or rather cannot be generated from the interchain hopping Hamiltonian

$$S_t = \sum_{\sigma, \mathbf{m}, \mathbf{n}, \alpha} \int d^2 z [t_{\mathbf{m}-\mathbf{n}} \psi_{\sigma, \alpha}^+(z, \mathbf{n}) \psi_{\sigma, \alpha}(z, \mathbf{m}) + \text{H.c.}] \quad z = x + it$$

Conventional systems:  $\lambda \propto t^2 / \Delta$  for SC or CDW,  $\lambda \propto t^2 / U$  for AFM, SDW - gaps  $\Delta$  or  $U$  are required to generate either Josephson or spin-exchange couplings

## Gapless Tomonaga-Luttinger regime

$$G = \langle \psi_{n\alpha}(\mathbf{0}) \psi_{na}^+(\mathbf{z}) \rangle \sim |\mathbf{z}|^{-\eta_F}, \quad z=x+it, \quad \eta_F=2-\beta_F$$

$$K_{n_1 n_2 n_3 n_4}^{\sigma \sigma'}(\mathbf{z}_1, \mathbf{z}_2, \mathbf{z}_3, \mathbf{z}_4) = \langle \psi_{\sigma^+}(\mathbf{z}_1, \mathbf{n}_1) \psi_{\sigma'^+}^+(\mathbf{z}_3, \mathbf{n}_3) \psi_{\sigma'^-}(\mathbf{z}_2, \mathbf{n}_2) \psi_{\sigma^+}^+(\mathbf{z}_4, \mathbf{n}_4) \rangle$$

Up to Log scaling:

$$K(\mathbf{z}_1 \dots \mathbf{z}_4) \propto (|\mathbf{z}_1 - \mathbf{z}_3| |\mathbf{z}_2 - \mathbf{z}_4|)^\eta \left( \frac{|\mathbf{z}_1 - \mathbf{z}_4| |\mathbf{z}_2 - \mathbf{z}_3|}{|\mathbf{z}_1 - \mathbf{z}_2| |\mathbf{z}_4 - \mathbf{z}_3|} \right)^\nu$$

$$\gamma = \gamma_\rho = K_\rho \quad \eta_i = 1 + \gamma \text{ (SC)} \quad \eta_i = 1 + 1/\gamma \text{ (DW)}$$

$$\eta = \eta_F = (2 + \gamma + 1/\gamma)/4 \quad \nu = (\gamma - 1/\gamma)/4$$

No interactions:  $\eta_F=1$   $\nu=0$ , Attraction:  $\gamma>1$ , Repulsion:  $\gamma<1$ , Mott instability:  $\gamma<1/2$

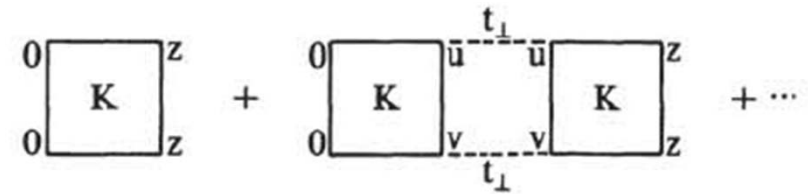
Expressions for  $\chi_{1d}$  follow from  $K$  if we bind the ends  $z_j$  by pairs,

e.g. for SC  $\mathbf{z}_1=\mathbf{z}_2$ ,  $\mathbf{z}_3=\mathbf{z}_4$ , and integrate over them.  $\int d^2z \begin{array}{|c|} \hline \square \\ \hline \end{array} = \chi$

It was just the artificial confining of the ends

that usually brought the over-optimistic result of reproducibility of  $\chi_{1d}$

Series of  $t_{\perp} = t$  for any  $\chi$ :



$$\chi^{(i)}(T) = \frac{1}{T^{2-\eta_i}} + t_{\perp}^2 \int \frac{d^2z d^2u d^2v f_i(u, v)}{|u-v|^{2\eta_F-\eta_i} [ |u| |v| |z-u| |z-v| ]^{\eta_i/2}} + \dots$$

$$i=\text{SS, TS}: \eta_i=2(\eta_F-\nu) \quad i=\text{CDW, SDW}: \eta_i=2(\eta_F+\nu)$$

$$\eta_F = (2 + \gamma + 1/\gamma)/4 \quad , \quad 2\eta_F - \eta_i = \pm 2\nu = \pm(\gamma - 1/\gamma)/2$$

$f=f(u, v)$  may come from additional symmetry lowering, otherwise  $f = \text{const}$ .

Regime 1:  $\int d^2w$ ,  $w=u-v$ , is convergent, two particles tunnel together, series of  $\chi_{1d}^i$  is reproduced accumulating to divergent  $\chi_{3d}$ .

Regime 2: divergence towards upper limit  $\sim 1/T$ ; series of  $\chi_{1d}^i$  is not reproduced: independently on the channel (i), the series goes in powers with the non-specific index  $\eta_F$  of the one-particle Green function, apparently working out the quasi-1d band picture.

If the integral over  $(\mathbf{u} - \mathbf{v})$  converges at some length  $\xi$ .

The intermediate ends  $\mathbf{u}, \mathbf{v}$  (tunnelling space-time points) become confined at the scale  $\xi$ . Then, the subseries of powers  $(\lambda_i \chi_i)^n$  with the effective coupling constant  $\lambda_i$ :

$$\lambda_i \sim \frac{t_{\perp}^2}{\xi^{\beta_i - 2\beta_F}} \quad T_{3d} \sim \xi^{-1} (t_{\perp} \xi^{\beta_F})^{2/\beta_i}$$

Symmetry lowering to the Mott state - the gap  $\Delta$  in the charge channel.

Or a singlet superconductivity or CDW - the gap  $\Delta$  in the spin channel.

The convergence length  $\xi \sim 1/\Delta$  is worked out

$$\lambda_i = t^2 \int \frac{d^2 w}{w^{2\eta_F - \eta_i}} \exp\left(-\frac{|w|}{\xi}\right) \propto \frac{t^2}{\Delta^{2 - 2\eta_F + \eta_i}}$$

Particles tunneling is confined –

generalization of Josephson or exchange couplings

But if no gaps,  $\Delta=0$  ?

Common believe of 1980's-90's : the temperature  $T$  takes the duty.

WRONG

Brutal-force power law convergence

of the sub-integral over  $\mathbf{w}=\mathbf{u}-\mathbf{v}$

$$\int \frac{d^2 w}{w^{\pm \nu}} = \int \frac{d^2 w}{w^{2\eta_F - \eta_i}}$$

In a common TL case with no gaps and symmetry lowering effects the convergence requires for  $2\eta_F - \eta_i > 2$ .

Not excluded for extremely strong long range repulsions, but still so far: for free fermions  $2\eta_F - \eta_i = 0$  since  $\gamma=1!$

Even for  $\mathbf{U} \rightarrow \infty$  Hubbard model:  $\gamma=1/2$ ,  $\eta_F=9/4$ ,  $2\eta_F - \eta_i = 1/2 < 2$

Here we need truly strong interaction, well beyond stability criteria, e.g.  $\gamma < 1/2$  for repulsion (Mott state =  $4K_F$  anomaly):

$$2\eta_F - \eta_i = \pm 2\nu > 2, \quad \gamma > \sqrt{5} + 2 \approx 4.24 \text{ (SC)}$$

or

$$\gamma < \sqrt{5} - 2 \approx 0.24 \text{ (DWs)}$$

This consideration touches hot topics from all 1990's of one- and two-particle inter-chain coherence of coupled TL chains  
*Bourbonnais&Caron; Clark,Strong&Anderson; Fabrizio et al;*  
*Finkelstaein&Larkin; Kusmartsev,Luther&Nersesyan; Mila&Poilblanc;*  
*H.Schultz; Tselik; Yakovenko*



“Imaginary correlation lengths” or virtual attractions  
from inequivalence of chains

A. Fortunate present from tiny structures of the Q-1D organic superconductors: alternating mean densities at neighboring chains ( $n$ )

$$k_f^{(a)} = k_F + (-1)^n \mathcal{K} \rightarrow f_i(u, v) = \cos[2\mathcal{K}(x_u - x_v)] \quad i=SS, TS$$

B. Spin density waves under magnetic field  $\mathbf{H}$  transverse to the inter-chain  $\mathbf{b}$  direction - progressive increments of Fermi wave numbers among neighboring chains:

$$\psi_{\sigma, \alpha}(z, n) \rightarrow \psi_{\sigma, \alpha}(z, n) \exp(iqnz), \quad q = (e/c)bH$$

$$f_i(u, v) = \exp[-iq(x_u - x_v)] \quad i=CDW, SDW$$

These external fields provide oscillating factors, which greatly improve the convergence of tunneling points

$$I_{SC} = \int d^2z \frac{\cos(2\kappa x)}{|z|^{2\nu}} \quad \nu = (\gamma - 1/\gamma)/4$$

$$I_{DW} = \int d^2z \frac{\cos(2qx)}{|z|^{2\nu}} \quad \nu = -(\gamma - 1/\gamma)/4$$

with  $\nu > 0$  being the sufficient condition rather than  $\nu > 1$  for super-strong interactions.  $\nu \neq 0$  at any  $\gamma \neq 1$  – already for small interactions.

The confinement within the tunneling pair is maintained, effective interchain coupling constant is worked out

$$\lambda \sim \frac{t_{\perp}^2}{\kappa^{2-2\nu}}$$

## WHERE WE ARE?

$$H \sim (\hbar/4\pi\gamma) [v_\rho(\partial_x\phi)^2 + (\partial_t\phi)^2/v_\rho]$$

$$- U\cos(2\phi+2kx) - W\cos(4\phi+4kx) + \{aU^2/2 + bW^2/2 + ck^2/2\}$$

U- dimerization, build-in or spontaneous

W- effect of  $1/4$  filling, octamerization

k – deviation from the commensurability

$\gamma = K_\rho$  controls renormalization of U and W, *without interactions*  $\gamma=1$

$\gamma < 1$ : renormalized **U**  $\neq 0$  - gap originated by build-in dimerization.

$\gamma < 1/2$ : spontaneous **U** is formed ( $4K_F$  condensed = charge ordering,  
- electronic energy gain  $\delta F_e \sim -U^\zeta$  ( $\zeta = 1/(1-\gamma) < 2$ )

overcomes the energy lost  $\sim U^2$  to pay spontaneous deformations.

$\gamma < 1/4 = 0.25$  renormalized **W**  $\neq 0$  - gap originated by generic  $1/4$  filling (*recall ThG*)

$\gamma < \sqrt{5}-2 = 0.24$  ultimate 3D SDW instability – today's lesson.

$\gamma < 3-\sqrt{2} = 0.17$  last features (ARPES) of electrons disappear.

$\gamma = 1/8 = 0.125$  spontaneous W is formed – greatest Coulomb enhancement of repulsive interactions; close to estimate from optical tails (*ThG, LD*)

Only atomic gases in the vacuum can pass  $\gamma = 1/2$  in 1D and  $\gamma = 0.24$  for an array of 1D.

Outcome:

Interchain mismatches  $\kappa, \mathbf{q}$  of wave numbers work as imaginary gaps,

$1/\kappa, 1/\mathbf{q}$  work as imaginary correlation lengths of e-e or e-h pairs.

Having found, after tunnelings, themselves together away from the

Fermi points, the particles get confined, keep coherence, and

transmit on-chain divergences of pair-wise correlation functions.

Methodological hint for these observations:

A lesson from the diagram technique epoch

– always check for cumbersome higher order terms,

above the happily found seemingly significant ones.

An advice hardly realizable in earlier time of decoupling techniques

and the later RG epoch.