# On the Area Law for Disordered Quasifree Fermions 

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## Outline

- Entanglement Entropy
- Area Law for Translation Invariant Systems
- Quasifree Fermions
- Area Law for Disordered Fermions
- Mean Entanglement Entropy
- Entanglement Entropy of Typical Realizations


## Entanglement Entropy (Generalities)

## Entanglement

Entanglement: a complex and delicate quantum phenomenon (since 1930' Einstein et al, Schrodinger), in particular a widely believed resource of quantum informatics (since 1980' Feynman).
Consider a bipartite quantum system consisting of two parts $A$ (lice) and $B(\mathrm{ob})$, thus with the state space

$$
\mathcal{H}_{A+B}=\mathcal{H}_{A} \otimes \mathcal{H}_{B}
$$

Pure state $\Psi \in \mathcal{H}_{A+B}$ is entangled if it is not separable

$$
\Psi=\Psi_{A} \otimes \Psi_{B}, \Psi_{A, B} \in \mathcal{H}_{A, B}
$$

Example: Bell states. $A$ and $B$ are qubits, i.e., $\operatorname{dim} \mathcal{H}_{A, B}=2$, $\left(|1\rangle_{A},|2\rangle_{A}\right)$ and $\left(|1\rangle_{B},|2\rangle_{B}\right)$ are orthonormal bases and

$$
\Psi=2^{-1 / 2}\left(|1\rangle_{A} \otimes|2\rangle_{B} \pm|2\rangle_{A} \otimes|1\rangle_{B}\right)
$$

## Entanglement Entropy (Generalities)

## Entanglement Entropy

Entropy (i) classical physics: a measure of the lack of knowledge (e.g., on microstates corresponding a given macrostate), hence related to classical probability or randomness
(ii) quantum physics: a measure of quantum correlations due to the "randomness" of quantum mechanics. One uses the von Neumann entropy of a state $\rho$

$$
S(\rho)=-\operatorname{Tr} \rho \log _{2} \rho .
$$

Renyi entropy: $R_{\alpha}(\rho)=-(\alpha-1)^{-1} \log _{2} \operatorname{Tr} \rho^{\alpha}$ and $\lim _{\alpha \rightarrow 1} R_{a}(\rho)=S(\rho)$ ("replica" version)
An important property: if $\operatorname{dim} \mathcal{H}=n$, then

$$
\max _{\rho} S(\rho)=\log _{2} n
$$

(as for classical entropy).

## Entanglement Entropy (Generalities)

Reduced Density Matrix

If $\rho$ is a density matrix of a bipartite system $A+B$, then (Dirac)

$$
\rho_{A}=\operatorname{Tr}_{B} \rho
$$

is the reduced density matrix of $A$.
Entanglement entropy of $A$ :

$$
S\left(\rho_{A}\right)=\operatorname{Tr}_{A} \rho_{A} \log _{2} \rho_{A}
$$

Example: If $\Psi$ is the Bell state, then $S(|\Psi\rangle\langle\Psi|)=0$ (valid for any pure state). However,

$$
\rho_{A}=2^{-1}\left(|1\rangle_{A}\left\langle\left. 1\right|_{A}+\mid 2\right\rangle_{A}\left\langle\left. 2\right|_{A}\right)\right.
$$

and $S\left(\rho_{A}\right)=\log _{2} 2=1$, i.e., is maximal possible, i.e., $S\left(\rho_{A}\right)$ is an entanglement quantifier.

## Extended Systems

## Area Law

Let $A+B$ be a macroscopic bipatite system in the $d$-dimensional volume $\Omega$ of linear size $L, A$ be its part in the $d$-dimensional volume $\Lambda$ of linear size $l$, and $B=\Omega \backslash \Lambda$ (environment). One is interested in the asymptotics of $S\left(\rho_{\Lambda}\right)$ for $1 \ll l \ll L$.
Recall the large distance behavior of binary (ternary, etc.), just take $A=\{x, y\}$ and let $I=|x-y| \rightarrow \infty$. Important in the analysis of pt 's.
Difference: $S_{\Lambda}$ is highly non-local, hence the qpt order parameter?.
According to Bekenstein, 1973, Hawking, 1974 (black holes physics); Bombelliet al., 1986, Srednicki, 1993 (QFT), Callan-Wilczek, 1994 (CFT); Calabrese-Cardy, 2005th (CFT, Quantum Spin Chains)

$$
S\left(\rho_{\Lambda}\right) \simeq\left\{\begin{array}{ccc}
\text { no } q p t, & I^{d-1}, & \text { area law } \\
q p t, & I^{d-1} \log I, & \text { violation of area law. }
\end{array}\right.
$$

Recently: The area law is valid "generically" for locally interacting quantum systems having a gap in their spectrum (Hastings 2010th).

## Extended Systems

## Quasifree Fermions

The violation of the area law:
(i) $d=1$ : explicitly solvable models, e.g., 1d quantum spin chains.
(ii) $d>1$ : mostly conjectured, established only for toy model of quasi-free translation invariant fermions, i.e., quadratic Hamiltonians

$$
\widehat{H}=\sum_{j, k \in \Omega} D_{j k} c_{j}^{+} c_{k}+\frac{1}{2} \sum_{j, k \in \Omega} O_{j k} c_{j}^{+} c_{k}^{+}+\frac{1}{2} \sum_{j, k \in \Omega} \bar{O}_{k j} c_{j} c_{k}
$$

where $D=D^{*}, O^{T}=-O, \bar{O}=\left\{\bar{O}_{j k}\right\}_{j, k=1}^{n}$.
Consider (for simplicity) the "diagonal" case $O=0$. Denote

$$
K=\left\{<c_{j} c_{k}^{+}>\right\}_{j, k \in \Omega}, K^{0}=\left.K\right|_{T=0}, K_{\Lambda}=\left.K^{(0)}\right|_{\Lambda}
$$

Then

$$
\begin{aligned}
S\left(\rho_{\Lambda}\right) & =-\operatorname{Tr} \rho_{\Lambda} \log _{2} \rho_{\Lambda}=\operatorname{tr} h\left(K_{\Lambda}\right) \\
h(x) & =-x \log _{2} x-(1-x) \log _{2}(1-x), 0 \leq x \leq 1
\end{aligned}
$$

where $\operatorname{Tr}$ and $\operatorname{tr}$ denote the trace in the $2^{|\Omega|}$ - and $|\Omega|$-dimensional spaces,

## Extended Systems

## Quasifree Fermions: Tranlation Invariant Case

Nice formulas but not too simple to use even in the translation invariant case.

For the quadratic Hamiltonian with finite range and translation invariant $D$ the large-/ scaling of the entanglement entropy for any $d \geq 1$ (both critical $I^{d-1} \log /$ and non critical $I^{d-1}$ ) was established
(i) via upper and lower bounds,
(ii) via certain conjectures on the subleading term in the Szego theorem for Toeplitz determinants (Gioev-Klich 06, Wolf 08)
(iii) rigorously, by using a rather sophisticated techniques of modern operator theory and harmonic analysis (Sobolev et al 14).

It turns out that the disordered case is in a way simpler (modulo basic results of localization theory)

## Extended Systems

## Quasifree Fermions: Disordered Case

Choose $D=\left(H-E_{F}\right) / T$, where $H$ is the Hamiltonian of the $d$-dimensional Anderson model with random i.i.d. potential $\left.V=\left\{V_{j}\right\}_{j \in \Omega}\right)$ and $E_{F}$ is the Fermi energy lying in the bulk of spectrum of $H$. Then

$$
K^{0}=\left.K\right|_{T=0}=\theta\left(E_{F}-H\right),
$$

where $\theta$ is the Heaviside function.
Thus, $K^{0}$ is the orthogonal projection on the ground state of the whole system (the Slater determinant on the first $n$ eigenstates of $\widehat{H}$, where $n /|\Omega|=N\left(E_{F}\right)$ and $N\left(E_{F}\right)$ is the Integrated Density of States of) $H$ and the entropy of the whole system is zero.
Start from the bounds (simple calculus or simple Maple)

$$
\varphi(x) \leq h(x) \leq(\varphi(x))^{a}, \varphi(x)=4 x(1-x), 0 \leq a \leq \ln 2
$$

## Bounds for Entanglement Entropy



## Extended Systems

## Quasifree Fermions

If $K^{0}=\left\{P_{j k}\right\}_{j, k \in \mathbb{Z}^{d}}$, then $K_{\Lambda}=\left\{P_{j k}\right\}_{j, k \in \Lambda}$ and for $a=1 / 2$ in the upper bound

$$
L_{\Lambda} \leq S_{\Lambda} \leq U_{\Lambda}, L_{\Lambda}=4 \operatorname{tr} \Gamma_{\Lambda}, U_{\Lambda}=2 \operatorname{tr} \sqrt{\Gamma_{\Lambda}}, \Gamma_{\Lambda}=K_{\Lambda}\left(\mathbf{1}_{\Lambda}-K_{\Lambda}\right)
$$

Denote $\bar{\Lambda}$ the exterior of $\Lambda$ and use the equality $\sum_{k \in \mathbb{Z}^{d}}\left|P_{j k}\right|^{2}=P_{j j}$ :

$$
\left(\Gamma_{\Lambda}\right)_{j k}=\sum_{t \in \bar{\Lambda}} P_{j t} P_{t k}, j, k \in \Lambda
$$

The large- $\Lambda$ behavior of $\Gamma_{\Lambda}$ is determined by the large $|j-k|$ decay of $P_{j k}$. $\Gamma_{\Lambda}$ can be expressed via the current-current correlator determining the a.c. conductivity. It is also closely related to the number statistics in $\Lambda$.

## Extended Systems

## Quasifree Fermions: Translation Invariant Case

In the 1d translation invariant case

$$
\begin{gathered}
P_{j k}=\frac{\sin p_{F}|t|}{|t|}, \\
S_{\Lambda} \gtrsim 8 \sum_{t=1}^{\infty} t \Pi_{t}, \Pi_{j-k}=\left|P_{j k}\right|^{2}
\end{gathered}
$$

and we have

$$
S_{\Lambda} \gtrsim\left(4 / \pi^{2}\right) \log I, I \gg 1
$$

i.e., the violation of the area law (in the 1d case the boundedness of $S_{\Lambda}$ ). Likewise, for $d \geq 1$ :

$$
S_{\Lambda} \gtrsim I^{d-1} \log I, I \gg 1
$$

## Mean Entanglement Entropy

Lower Bound
A fundamental result on the Anderson localization is the bound

$$
\langle | P_{j k}| \rangle \leq C e^{-\gamma| | j-k \mid}
$$

valid for a translation invariant in mean and short correlated random potentials and
(i) 1d case: all energies and and strengths of disorder;
(ii) $d \geq 2$ case : neighborhoods of band edges (any disorder) and for all energies if the disorder is large enough.
Since $\left|P_{j k}\right| \leq 1$, we have for $\left.\Pi_{j-k}=\left.\langle | P_{j k}\right|^{2}\right\rangle \leq C e^{-\gamma|j-k|}$ and the above lower bound implies

$$
\left\langle S_{\Lambda}\right\rangle \gtrsim c_{-} I^{d-1}, I \gg 1!
$$

This suggests the validity of the area law scaling for the mean entropy and any $d$ if $E_{F}$ is in the localized spectrum.

## Mean Entanglement Entropy

Upper Bound
It follows from the above that

$$
\left\langle S_{\Lambda}\right\rangle \leq\left\langle U_{\Lambda}\right\rangle, U_{\Lambda}=2 \operatorname{tr} \sqrt{\Gamma_{\Lambda}}, \Gamma_{\Lambda}=K_{\Lambda}\left(\mathbf{1}_{\Lambda}-K_{\Lambda}\right)
$$

Use the Peierls inequality: for any convex $f\left(f^{\prime \prime} \leq 0\right)$ and hermitian $M$

$$
\operatorname{tr} f(M) \leq \sum_{j} f\left(M_{j j}\right)
$$

with $f(x)=2 \sqrt{x}$ and $M=\Gamma_{\Lambda}$ and some calculus to obtain

$$
\left\langle U_{\Lambda}\right\rangle \lesssim 4\left(2^{d}-1\right) I^{d-1} \sum_{j=0}^{\infty}\left(\sum_{k=1}^{\infty} \Pi_{k+j}\right)^{1 / 2}
$$

and

$$
c_{-} I^{d-1} \leq\left\langle S_{\Lambda}\right\rangle \leq c_{+} I^{d-1}, \quad 0 \leq c_{-} \leq c_{+}<\infty .
$$

We have the area law scaling for the mean entanglement entropy and $d \leq 1$ if the fermi energy is in the localized spectrum.

## Entanglement Entropy of Typical Realizations (1d case)

## Analytical Results

Write $\Lambda=[-m, m], I=2 m+1$ and obtain $L_{m} \leq S_{m} \leq U_{m}$, where for $m \gg 1$

$$
L_{m} \simeq \mathcal{L}_{0}^{+}\left(T^{m} V\right)+\mathcal{L}_{0}^{-}\left(T^{-m} V\right)
$$

the shift operator $T$ act on $V=\left\{V_{j}\right\}_{j=-\infty}^{\infty}$ as $(T V)_{j}=V_{j+1}$ and, e.g.,

$$
\mathcal{L}_{0}^{+}=4 \sum_{j=-\infty}^{0} \sum_{k=1}^{\infty}\left|P_{j k}\right|^{2}
$$

The double sum is not zero and finite for all typical realizations (with probability 1) again because of the exponential localization bound. Likewise

$$
U_{m} \simeq \mathcal{U}_{0}^{+}\left(T^{m} V\right)+\mathcal{U}_{0}^{-}\left(T^{-m} V\right)
$$

## Bounds for the Entropy of Typical Realizations (1d case)

Histograms


## Bounds for the Entropy of Typical Realizations (1d case)

## Conclusions

- Histograms overlap, hence the entanglement entropy depends nontrivially on the realizations of disorder, i.e., is not selfaveraging for $m \gg 1$. Indeed, if the entropy were nonrandom, then the whole probability distribution of the upper bound has to lie on the right of that of lower bound.


## Bounds for the Entropy of Typical Realizations (1d case)

 Conclusions- Histograms overlap, hence the entanglement entropy depends nontrivially on the realizations of disorder, i.e., is not selfaveraging for $m \gg 1$. Indeed, if the entropy were nonrandom, then the whole probability distribution of the upper bound has to lie on the right of that of lower bound.
- Histograms are independent of $I=2 m+1 \gtrsim 15000$. Indeed, if the random potential is short correlated, the terms of the both bounds are statistically independent for $m \gg 1$, and since the potential is translation and reflection symmetric in the mean, the probability distributions of these terms are identical. Hence, for $m \gg 1$ the probability distribution of the r.h.s. of both bounds are the convolutions of those of $\mathcal{L}_{0}^{ \pm}$and $\mathcal{U}_{0}^{ \pm}$. This is also confirmed by our numerics.


## Convolutions: Lower Bound

Histograms


## Convolutions: Upper Bound

## Histograms



## More Conclusions

- Entropy is bounded with probability 1, i.e., satisfies the stochastic area law, if its distribution is concentrated on a finite interval. Otherwise, the entropy has to have "peaks" $s_{n} \rightarrow \infty, n \rightarrow \infty$, where $s_{n}$ solves $p\left(s_{n}\right) \simeq n^{-(1+\delta)}, \delta>0$ with $p(s)$ the large-s tail of the entropy probability distribution. In particular, if $p(s) \simeq e^{-s / s_{0}}$, then $s_{n} \simeq s_{0}(1+\delta) \log n$, corresponding to the critical scaling of the entropy. Note, however, that $s_{n}$ 's are just extremal and rather rare peaks of randomly fluctuating entropy but not its "regular" asymptotics.


## Emergence of the Area Law

## Weak Disorder



## Emergence of the Area Law

## Stronger Disorder



