

# A Short Walk along Quantum Trajectories, in the Company of Alain

(On jumps and spikes in strong continuous measurement)

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# Plan

- 1 Alain (and I)
- 2 Open quantum systems and partial measurements
- 3 On jumps and spikes



**Alain (and I)**

# Alain, my teacher

- In 1986–1987
  - Coherent states in QFT
  - Kählen-Lehmann representation
  - BRS transformation
  - Landau levels
  - Ward identities in QED
  - One-loop effective potential for  $\Phi^4$
  - Path integrals to prove  $\theta$ -function identities
  - ...



# Alain, an inspirational colleague

- During the period 1990-2014 we met at most a few times a year. But each encounter was a great occasion for me to learn.
  - The local time
  - The Ray-Knight theorems
  - The local time and Bessel processes
  - ...



# Alain in administration

- During the period 1990-2014 we met at most a few times a year.
  - His role in the creation of LPTMS
  - His role at IHP
  - His role as a member of the IPhT evaluation committee
  - His role for the connexions between probabilists and theoretical physicists (... well, this is not just administration)
  - ...
  - His views about the recent changes in the French science landscape



I hope you understand my deep gratitude for Alain, and why I'm so proud to be here today.



# Open quantum systems and partial measurements



# Open quantum systems

- Open quantum systems are ubiquitous in nature
    - Transport phenomena
    - Contacts with reservoirs
    - ...
  - **Nonunitary** evolution of a **part** from **unitary** evolution of the whole **Universe**
  - The body of knowledge is huge ...
  - ... but today's aims are very modest :
    - Markovian setting
    - Non-unitary evolution due to partial measurements
    - An example of quantum trajectories with
      - unexpected
      - **but** universal
- features



# Open quantum systems

- The Hilbert space of the “Universe” splits as :

$$\mathcal{H} = \mathcal{H}_s \otimes \mathcal{H}_e$$

- the state of  $\mathcal{H}$  is described by a density matrix  $\rho$
- The “one time step” evolution operator on  $\mathcal{H}$  is  $U$

$$\rho \rightarrow U\rho U^\dagger$$

- The subsystem described by  $\mathcal{H}_s$  is our real interest ...
  - The relevant information is encoded in partial traces

$$\rho_s := \text{Tr}_{\mathcal{H}_e} \rho$$

Effect of  $U$  on  $\rho_s$ ? Complicated in general!



# Measurement

- Measure an observable  $\Lambda$  on  $\mathcal{H}_e$  after one time step:
  - One time step evolution

$$\rho \rightarrow U\rho U^\dagger$$

- Measurement of  $\Lambda$  on  $\mathcal{H}_e$

$$U\rho U^\dagger \rightarrow \frac{\langle i | U\rho U^\dagger | i \rangle \otimes | i \rangle \langle i |}{\text{Tr}_{\mathcal{H}} \langle i | U\rho U^\dagger | i \rangle \otimes | i \rangle \langle i |}$$

with probability  $p_i := \text{Tr}_{\mathcal{H}} \langle i | U\rho U^\dagger | i \rangle \otimes | i \rangle \langle i |$ .

- Basis  $|i\rangle$  diagonalizes  $\Lambda := \sum_i \lambda_i |i\rangle \langle i|$ .

- $p_i := \text{Tr}_{\mathcal{H}_s} \langle i | U\rho U^\dagger | i \rangle$ .

## Reminder

- If  $O$  is an operator on  $\mathcal{H}$  and  $|i\rangle \in \mathcal{H}_e$

$$(\text{Id}_{\mathcal{H}_s} \otimes |i\rangle \langle i|) O (\text{Id}_{\mathcal{H}_s} \otimes |i\rangle \langle i|) =: \langle i | O | i \rangle \otimes |i\rangle \langle i|$$

- Don't forget  $\langle i | O | i \rangle$  is still an operator on  $\mathcal{H}_s$ .

# Measurement (2)

- If  $\rho = \rho_S \otimes |\psi\rangle\langle\psi|$  the effect on  $\rho_S$  is simple :

$$\rho_S \rightarrow \rho'_S := \frac{A_i \rho_S A_i^\dagger}{\text{Tr}_{\mathcal{H}_S} A_i \rho_S A_i^\dagger} \text{ with proba } p_i := \text{Tr}_{\mathcal{H}_S} A_i \rho_S A_i^\dagger$$

- where  $A_i := \langle i | U | \psi \rangle$  (still an operator on  $\mathcal{H}_S$ )

- Consistency relation

$$\sum_i A_i^\dagger A_i = \text{Id}_{\mathcal{H}_S}$$

- Arbitrary consistent families  $A_i$ 's can be obtained by unitary evolutions

- The map  $\rho_S \rightarrow \rho'_S$  defines a random dynamical system
  - Though we cheated, iteration is meaningful
- Orbits are called **Quantum Trajectories**



**On jumps and spikes**

# An illustrative example

- $\mathcal{H}_s$  has dimension  $d = 2$
- $\{A_i\} = \{A_+, A_-\}$
- $\rho_s, A_+, A_-$  are real
- $A_+^2 + A_-^2 = \text{Id}_{\mathcal{H}_s}$

- $\rho_s := \frac{1}{2}(\text{Id} + X\sigma_z + K\sigma_x)$
- $A_+ := \begin{pmatrix} \cos \alpha \cos \beta & \sin \alpha \cos \beta \\ -\sin \alpha \sin \beta & \cos \alpha \sin \beta \end{pmatrix}$
- $A_- := \begin{pmatrix} \cos \alpha \sin \beta & \sin \alpha \sin \beta \\ -\sin \alpha \cos \beta & \cos \alpha \cos \beta \end{pmatrix}$

## Purification

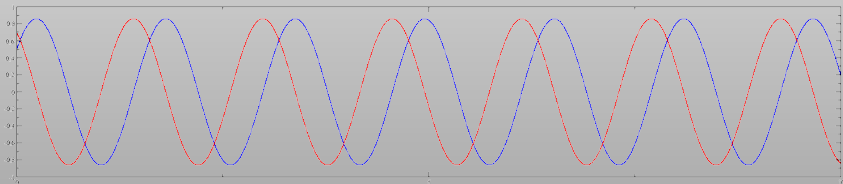
- Unless  $|\sin 2\beta| = 1$ 
  - The iterates of  $\det \rho_s$  decrease randomly but exponentially to 0, i.e. iterates of  $\rho_s$  purify
- Consequence for  $d = 2$  of the general identity
  - $\mathbb{E} \left( (\det \rho'_s)^{\frac{1}{d}} \right) = (\det \rho_s)^{\frac{1}{d}} \sum_i (\det A_i^\dagger A_i)^{\frac{1}{d}} \leq (\det \rho_s)^{\frac{1}{d}}$
  - **Supermartingale** convergence theorem

# An illustrative example (2)

- $\beta = \pi/4$  : Hamiltonian evolution of the system

$$X' = X \cos 2\alpha + K \sin 2\alpha \quad K' = -X \sin 2\alpha + K \cos 2\alpha$$

→ Rabi oscillations ( $\alpha$  small)

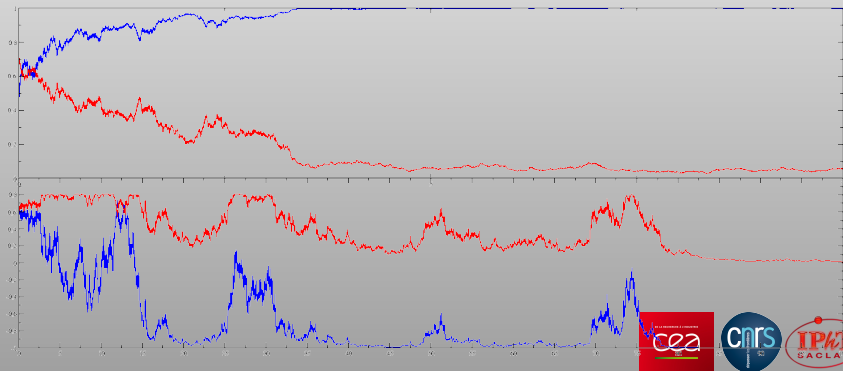


# An illustrative example (3)

- $\alpha = 0$  : progressive nondemolition measurement (martingales)

$$X' = \frac{X + \cos 2\beta}{1 + X \cos 2\beta} \quad K' = \frac{K \sin 2\beta}{1 + X \cos 2\beta} \quad \text{with prob } \frac{1 + X \cos 2\beta}{2}$$

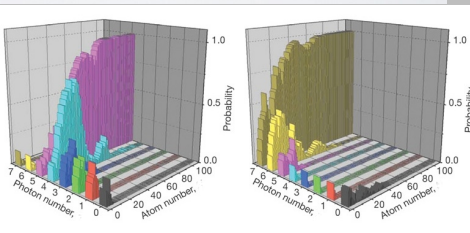
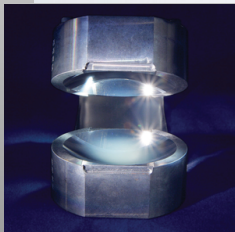
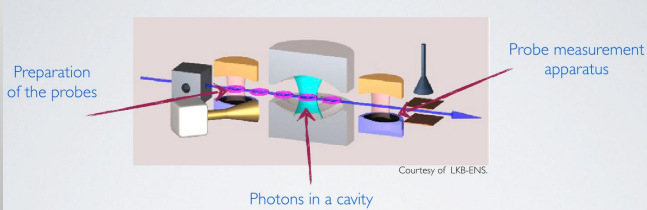
$$X' = \frac{X - \cos 2\beta}{1 - X \cos 2\beta} \quad K' = \frac{K \sin 2\beta}{1 - X \cos 2\beta} \quad \text{with prob } \frac{1 - X \cos 2\beta}{2}$$





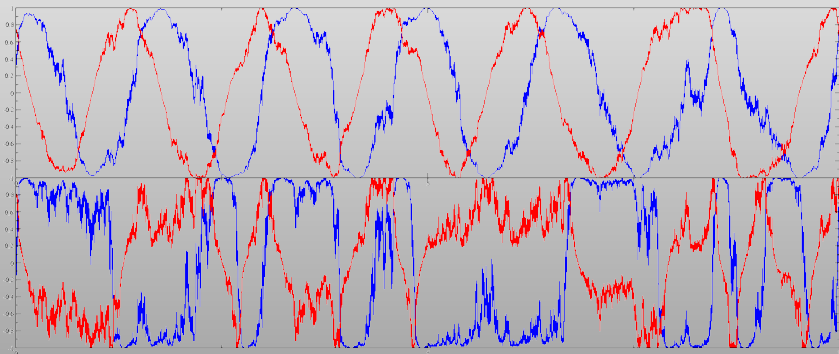
# An illustrative example (3')

- Pure progressive measurement is realized in experiments :
  - C. Guerlin et al (including S. Haroche), Nature 448 (2007) 889-893, **Progressive field-state collapse...**



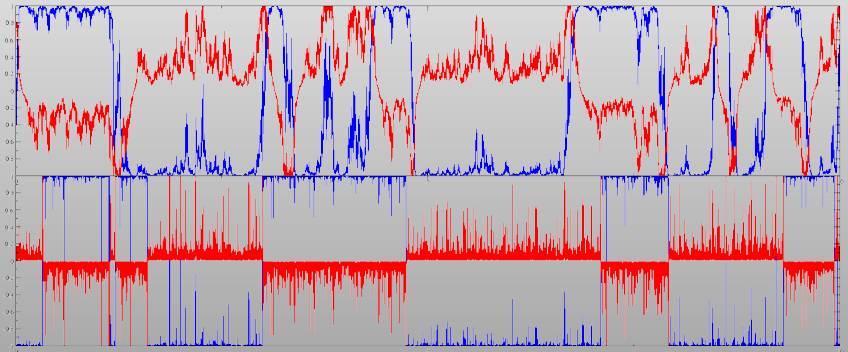
# An illustrative example (4)

- What if Hamiltonian dynamics and measurement are in competition ?



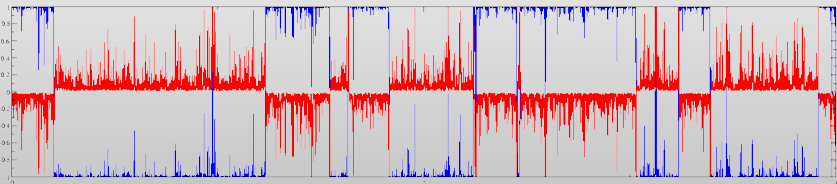
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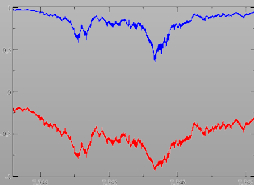
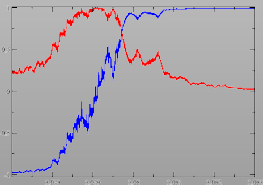


# An illustrative example (4)

- What if Hamiltonian dynamics and measurement are in competition?

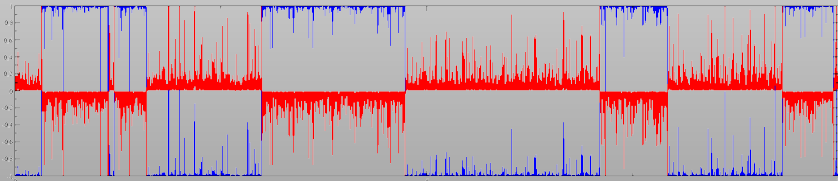


- Details of the **strong measurement** regime



# An illustrative example (5)

- Goal : understand the features of the **strong measurement** regime
  - The jumps of  $X$  and their statistics
  - The sign changes of  $K$
  - The spikes of  $X, K$  and their statistics
- ... in the continuous time limit



# An illustrative example (6)

## The continuous time limit

- $dX_t = \gamma u K_t dt - \gamma(1 - X_t^2)dB_t$
- $dK_t = -(\frac{\gamma^2}{2} K_t + \gamma u X_t)dt + \gamma K_t X_t dB_t$ 
  - $\dot{X}_t = \gamma u K_t - \gamma(1 - X_t^2)\xi_t$     $\dot{K}_t = -(\frac{\gamma^2}{2} K_t + \gamma u X_t) + \gamma K_t X_t \xi_t$     $\langle \xi_s \xi_t \rangle = \delta(t - s)$

- Purification (after a time  $\sim \gamma^{-2}$ ,  $X_t^2 + K_t^2 \simeq 1$ )
  - $X_t := \cos \Theta_t$     $K_t := -\sin \Theta_t$
  - $d\Theta_t = (\gamma u - \frac{\gamma^2}{2} \sin \Theta_t \cos \Theta_t)dt + \gamma \sin \Theta_t dB_t$
- Near  $\theta = k\pi$  : **sign changes** of  $K$

## Quantum Zeno effect

- $\gamma^{-2}$  is the time scale of measurement
- $u$  is the parameter for Hamiltonian evolution
- Why rescale  $u \rightarrow \gamma u$ ?

# An illustrative example (7)

## Invariant measure

- By classical formulæ:

$$\mu(\theta) = \frac{2J}{\gamma^2} \frac{e^{-\frac{2u}{\gamma} \cot \theta}}{\sin^3 \theta} \int_{\theta}^{\pi} d\eta \sin \eta e^{\frac{2u}{\gamma} \cot \eta} \quad J \text{ is the current}$$

- The tentative **currentless** invariant measure

$$\mu(\theta) \propto \sin^{-3} \theta e^{\frac{-2u}{\gamma} \cot \theta} \text{ is not } \mathbf{integrable}$$

## Jump mean waiting time

- The waiting time is  $T = 1/J$

$$T = \frac{2}{\gamma^2} \int_0^{\pi} d\theta \frac{e^{-\frac{2u}{\gamma} \cot \theta}}{\sin^3 \theta} \int_{\theta}^{\pi} d\eta \sin \eta e^{\frac{2u}{\gamma} \cot \eta} \sim_{\gamma \rightarrow \infty} \frac{1}{u^2} + \dots$$

where  $\dots$  involves horrible and inaccessible logarithms

# A nice detour

Inaccessible? Look at

- *The Lyapunov Exponent of Products of Random 2x2 Matrices Close to the Identity*
    - Comtet, A., Luck, J-M., Texier, C. & Tourigny, Y.
    - Journal of Statistical Physics. 150, 1, p. 13-65.
  - Nice trick: the Lyapunov Exponent has a companion, i.e is the real part of an analytic function.
- 
- We are indeed looking at products of random matrices close to the identity, though they are not independent
  - Nevertheless, the trick allows to rewrite the double integral as a quotient of two simple integrals
  - In the case at hand, a quotient of Bessel functions  
→ Large  $\gamma$  expansion is explicit!!!
    - Alas (?!), the corrections play no role in the sequel

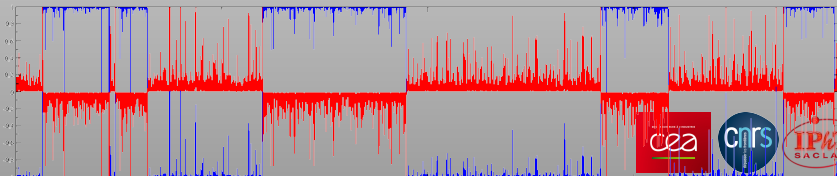


# An illustrative example (8)

## “Theorem” Part 1

- For large  $\gamma$  the finite dimensional distributions of the process  $Q_t := \frac{1+X_t}{2}$  converge **weakly** to those of a **finite state Markov process**  $\bar{Q}_t$  on  $\{0, 1\}$ .
- The Markov generator is  $\begin{pmatrix} -u^2 & u^2 \\ u^2 & -u^2 \end{pmatrix}$
- The  $\gamma|K_t|$  (resp.  $\gamma^2(1 - X_t)^2$ ) become **independent random variables** with distribution  $4u^2k^{-3}e^{-2u/k}dk$  (resp.  $2u^2x^{-2}e^{-2u/\sqrt{x}}dx$ )

$$\bullet \rho = \begin{pmatrix} Q & \cdot \\ \cdot & \cdot \end{pmatrix}$$



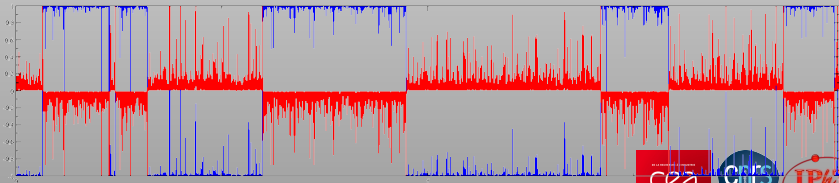
# An illustrative example (8)

## “Theorem” Part 2

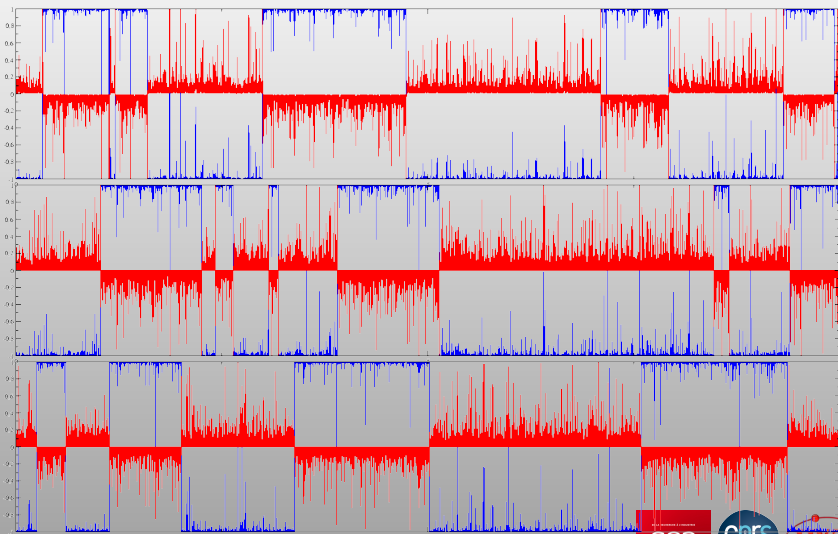
- The extrema of the spikes of  $Q_t$  converge to **spacetime Poisson point processes** on  $[0, 1] \times [0, +\infty[$  with intensity

$$(Q^{-2}dQ + \delta(1 - Q)) u^2 dt \text{ or } ((1 - Q)^{-2}dQ + \delta(Q)) u^2 dt$$

- Corrolary : the process  $Q_t$  does not converge weakly to the finite state process  $\bar{Q}_t$ .
- Emergence of scale invariance



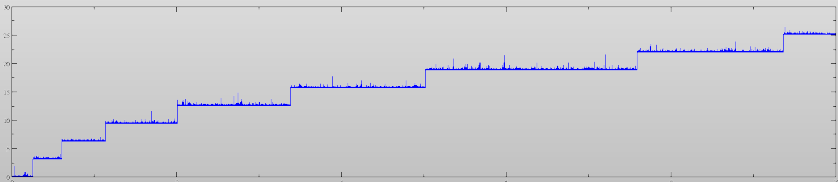
# An illustrative example (9)



# An illustrative example (10)

## Comments

- The spikes can be seen as aborted jumps ...
- ... or the jumps as successful spikes



- It is high-jumping with a bar at height 1 and in average  $q^{-1}$  missed jumps above  $q$  before each success.
- In the large  $\gamma$  limit,
  - the Hamiltonian evolution turns into a boundary condition
  - in the bulk everything is dominated by measurement

# Conclusions

- Quantum jumps and quantum trajectories are observed daily in experiments
- The usual interpretation is that there are intrinsic jumps in the measurement randomness
- The other features (**spikes?**) are interpreted as imperfections of the apparatus and/or as the effect of other sources of noise

- Spikes are a definite sign that something else is going on
- The features presented in the illustrative example :
  - emergence of jumps
  - finite state Markov process limit
  - spikes with their scale invariant distribution

are completely universal for strong partial measurement experiments in the continuous time limit!

→ A non-standard limit theorem

BBT, in preparation

